



# *A non-minimal SU(6) GUT and high-quality Axion*

南开大学物理科学学院  
陈宁

2021.07.09, @USTC

based on 2106.00223  
with 刘宇统, 滕召隆



# Background: GUT

- \* The grand(gauge) unified theory (GUT) was first invented by Georgi & Glashow in 1974, with  $SU(5)$  as the minimal simple Lie group to unify  $\mathcal{G}_{SM} = SU(3)_c \otimes SU(2)_L \otimes U(1)_Y$ , with  $\text{rank}(\mathcal{G}_{SM}) = \text{rank}(SU(5)) = 4$ .
- \* 15 SM complex chiral fermions fit into irreps of  $\bar{\mathbf{5}}_F$  and  $\mathbf{10}_F$  in the  $SU(5)$ , which are required by the gauge anomaly cancellation.
- \* There was also an  $SO(10)$  GUT by Fritzsch & Minkowski in 1975. This is automatically anomaly-free, and its irrep of  $\mathbf{16}_F$  even includes a  $\nu_R$ .



# Background: GUT

- \* Over 40 yrs since the  $SU(5)$  and  $SO(10)$ , there is convincing evidence that the BSM new physics should be put forth to address:
  - (1) Strong CP problem, e.g., the Peccei-Quinn mechanism
  - (2) neutrino masses through the seesaw mechanism
  - (3) Baryon asymmetry through the baryogenesis/leptogenesis
  - (4) Dark matter
- \* The simplest  $SU(5)$ ,  $SO(10)$  and their varieties, do not seem to include all necessary ingredients for BSM. One often needs to put new physical ingredients into the  $SU(5)$  &  $SO(10)$  GUTs by hand.



# Background: GUT

Topics	Authors	Publications	Date
Fourth color unification	Pati, Salam	[5]	1974
SU(5) GUT	Georgi, Glashow	[6]	1974
SO(10) GUT	Fritzsch, Minkowski	[7]	1975
Peccei-Quinn mechanism	Peccei, Quinn	[8]	1977
Seesaw mechanism	Yanagida	[9]	1979
	Gell-Mann, Ramond, Slansky	[10]	1979
KSVZ axion	Kim	[11]	1979
	Shifman, Vainshtein, Zakharov	[12]	1980
SU(N + 4) global symmetry	Dimopoulos, Raby, Susskind	[13]	1980
SUSY SU(5) GUT	Dimopoulos, Georgi	[14]	1981
DFSZ axion	Zhitnitsky	[15]	1980
	Dine, Fischler, Srednicki	[16]	1981
Axion in SU(5) GUT	Wise, Georgi, Glashow	[17]	1981
Leptogenesis	Fukugita, Yanagida	[18]	1986
...			

**Table 1:** Collections of the major theoretical advances in the GUT and some related BSM physical issues listed in chronological order.



# Background: GUT

- \* We call  $SU(5)$  and  $SO(10)$  the *minimal* GUTs due to their fermion representations. The original 1974 Georgi & Glashow  $SU(5)$  paper assumed “*as few leptons (fermions) as possible, no unobserved leptons (fermions)...*”.
- \* Our assumption: *a successful GUT can address as many BSM issues as possible, with the minimal set of fields unless otherwise necessary.*
- \* We choose to deal with the PQ-quality issue for the strong CP problem, and we get more than that (see next).



# Background: Strong CP

- \* The strong CP problem, a topological term for the QCD vacuum  $\mathcal{L}_\theta = \theta \frac{\alpha_{3c}}{8\pi} G_{\mu\nu}^a \tilde{G}^{\mu\nu a}$ , and experimentally from the neutron EDM:  $|\bar{\theta}| \lesssim 10^{-10}$ , with  $\bar{\theta} = \theta + \arg \det M_q$ , very different from the  $\mathcal{O}(1)$  expectation of  $\theta$  parameter.
- \* PQ mechanism: to replace  $\theta$  by a periodic pseudo-scalar field  $a \rightarrow a + 2\pi f_a$ ,  $f_a$  is known as the axion decay constant. There is a classical window of  $10^8 \text{ GeV} \lesssim f_a \lesssim 10^{12} \text{ GeV}$ .
- \* Axion induced potential:  $V_{\text{QCD}} = -\Lambda_{\text{QCD}}^4 \cos(a/f_a)$ .
- \* Invisible axion models such as KSVZ and DFSZ,  $a$  comes from a complex scalar field  $\Phi = \frac{1}{\sqrt{2}}(v_a + \rho_a)\exp(ia/f_a)$ .



# Background: Strong CP

- \* PQ quality:  $U(1)_{PQ}$  symmetry (expressed in terms of  $\Phi$ ) is global and put in by hand, and the gravity does not respect global symmetries, it can induce a general operator of  $\mathcal{O}_{PQ}^{d=2m+n} = k \frac{|\Phi|^{2m} \Phi^n}{M_{pl}^{2m+n-4}} + H.c.$  ['92 Kamionkowski, March-Russell, and etc.]  $\Delta PQ = n$  with  $PQ(\Phi) = 1$ .
- \* The  $\mathcal{O}_{PQ}^{d=2m+n}$  shifts the  $V_{QCD}$  minima  $|\bar{\theta}| = |\langle a \rangle / f_a| \lesssim 10^{-10}$
- \* if  $|k| \sim 10^{-2}$  and  $2m + n = 5$ ,  $\Rightarrow f_a \lesssim 10 \text{ GeV}$ , ruled out, else if  $f_a \sim 10^{12} \text{ GeV}$  and  $2m + n = 5$ ,  $\Rightarrow |k| \lesssim 10^{-55}$ , very fine-tuned.
- \* NB, the renormalizable operators with  $2m + n \leq 4$  are in principle possible. The discussion above considered a general  $\Phi$  w.o. any gauge symmetry.



# Global Symmetries

- \* The usual wisdom of a high-quality PQ is to have the  $U(1)_{PQ}$  as an emergent global symmetry.
- \* The QCD has the global symmetry of:  
 $\mathcal{G}_{\text{global}} = SU(3)_L \otimes SU(3)_R \otimes U(1)_V \otimes U(1)_A$  , while QCD is vectorial.
- \* The chiral gauge theory w.o. Unification: to put another confining theory with the SM, e.g.  $SU(5) \otimes \mathcal{G}_{\text{SM}}$  by Gavela, Ibe, Quilez, and Yanagida [1812.08174].
- \* Dimopoulos-Raby-Susskind (1980) studied a strongly-interacting theory: an anomaly-free  $SU(N + 4)$  chiral gauge theory with  $N$  anti-fundamental fermions and one rank-2 anti-symmetric fermion, and it has  
 $\mathcal{G}_{\text{global}} = SU(N) \otimes U(1)$  ,  $N \geq 2$  .



# Our result:

- \* We start from  $SU(6)$ , and identify  $\mathcal{G}_{\text{global}} = SU(2)_F \otimes U(1)_{\text{PQ}}$ .
- \* Our finding is that a *non-minimal*  $SU(6)$  GUT with its minimal fermion && Higgs setup can lead to:
  - (1) Automatic high-quality PQ symmetry breaking @  $10^8 \text{ GeV} \lesssim f_a \lesssim 10^{10} \text{ GeV}$ , with an extended symmetry of  $\mathcal{G}_{331} = SU(3)_c \otimes SU(3)_L \otimes U(1)_N$
  - (2) Automatic KSVZ vector-like quarks  $m_D \sim f_a$ , with fixed electric charge of  $-1/3$ .
  - (3) A cosmological-safe axion model, no DW formation
  - (4) Automatic Type-I seesaw mechanism with sterile neutrino mass at  $\sim f_a$
  - (5) Automatic Type-II 2HDM at the EW scale



# The $SU(6)$ model



# The SU(6) model

- \* The minimal anomaly-free SU(6) has fermions of:  $2 \times \bar{\mathbf{6}}_F \oplus \mathbf{15}_F$
- \* How to break the SU(6) to the  $\mathcal{G}_{\text{SM}} = \text{SU}(3)_c \otimes \text{SU}(2)_L \otimes \text{U}(1)_Y$ ? The Georgi-Glashow SU(5) is a subgroup of the SU(6), while the direct breaking to the SU(5) is unwelcome. The sequential breaking of  $\text{SU}(5) \rightarrow \mathcal{G}_{\text{SM}}$  leads to the proton decays with lower mass scale, hence faster decay rate.
- \* Alternative pattern is:  $\text{SU}(6) \rightarrow \mathcal{G}_{331} \rightarrow \mathcal{G}_{\text{SM}}$ , with  $\mathcal{G}_{331} = \text{SU}(3)_c \otimes \text{SU}(3)_L \otimes \text{U}(1)_N$ . This is achievable with an adjoint Higgs of  $\mathbf{35}_H$  at the GUT scale (1974 Ling-Fong Li).



# The SU(6) Higgs sector

- \* An adjoint Higgs of  $35_H$  at the GUT scale.
- \* There is a brute-force method: to perform the tensor products of all SU(6) fermions  $\bar{6} \otimes \bar{6} = \bar{15} \oplus \bar{21}$ ,  $\bar{6} \otimes 15 = 6 \oplus 84$ , and  $15 \otimes 15 = \bar{15} \oplus \bar{105} \oplus \bar{105}'$ , and include all possible Higgs fields to form gauge-invariant Yukawa couplings.
- \* Physical requirements: all SM Yukawa couplings should be reproduced  $\Rightarrow 6_H$  (for  $d^i$  and  $\ell^i$ ) and  $15_H$  (for  $u^i$ )
- \* Two  $6_H^{I,II}$  are needed to respect the  $SU(2)_F$ .
- \* A  $21_H$  is introduced for the sterile neutrino Yukawa couplings.



# The SU(6) Higgs sector

- \* minimal Higgs sector:  $\mathbf{6}_H^{\alpha=I,II}$ ,  $\mathbf{15}_H$ ,  $\mathbf{21}_H$ ,  $\mathbf{35}_H$
- \* Hierarchies of Higgs VEVs:  $\langle \mathbf{35}_H \rangle \sim \Lambda_{\text{GUT}}$ ,  
 $\langle \mathbf{6}_H^{II} \rangle = v_3$ ,  $\langle \mathbf{21}_H \rangle = v_6$ ,  $v_3 \sim v_6 \sim v_{331}$   
 $\langle \mathbf{6}_H^I \rangle = v_d = v_{\text{EW}} \sin \beta$ ,  $\langle \mathbf{15}_H \rangle = v_u = v_{\text{EW}} \cos \beta$   
 $\Lambda_{\text{GUT}} \gg v_{331} \gg v_{\text{EW}} = (\sqrt{2}G_F)^{-1/2} \simeq 246 \text{ GeV}$
- \*  $\mathbf{6}_H^{II}$  and  $\mathbf{21}_H$  are responsible for the  $\mathcal{G}_{331} \rightarrow \mathcal{G}_{\text{SM}}$  breaking.
- \* Two Higgs doublets from  $\mathbf{6}_H^I$  and  $\mathbf{15}_H$  are responsible for the EWSB.



# The SU(6) fermions

SU(6)	$\mathcal{G}_{331}$	$\mathcal{G}_{\text{SM}}$
$\bar{\mathbf{6}}_{\text{F}}^{\text{I}}$	$(\bar{\mathbf{3}}, \mathbf{1}, +\frac{1}{3})_{\text{F}}^{\text{I}}$ $(\mathbf{1}, \bar{\mathbf{3}}, -\frac{1}{3})_{\text{F}}^{\text{I}}$	$(\bar{\mathbf{3}}, \mathbf{1}, +\frac{2}{3})_{\text{F}}^{\text{I}} : \underline{d_R^c}$ $(\mathbf{1}, \mathbf{2}, -1)_{\text{F}}^{\text{I}} : \underline{(e_L, -\nu_L)}$ $(\mathbf{1}, \mathbf{1}, 0)_{\text{F}}^{\text{I}} : \underline{N}$
$\bar{\mathbf{6}}_{\text{F}}^{\text{II}}$	$(\bar{\mathbf{3}}, \mathbf{1}, +\frac{1}{3})_{\text{F}}^{\text{II}}$ $(\mathbf{1}, \bar{\mathbf{3}}, -\frac{1}{3})_{\text{F}}^{\text{II}}$	$(\bar{\mathbf{3}}, \mathbf{1}, +\frac{2}{3})_{\text{F}}^{\text{II}} : \underline{D_R^c}$ $(\mathbf{1}, \mathbf{2}, -1)_{\text{F}}^{\text{II}} : \underline{(e'_L, -\nu'_L)}$ $(\mathbf{1}, \mathbf{1}, 0)_{\text{F}}^{\text{II}} : \underline{N'}$
$\mathbf{15}_{\text{F}}$	$(\bar{\mathbf{3}}, \mathbf{1}, -\frac{2}{3})_{\text{F}}$ $(\mathbf{1}, \bar{\mathbf{3}}, +\frac{2}{3})_{\text{F}}$ $(\mathbf{3}, \mathbf{3}, 0)_{\text{F}}$	$(\bar{\mathbf{3}}, \mathbf{1}, -\frac{4}{3})_{\text{F}} : \underline{u_R^c}$ $(\mathbf{1}, \mathbf{2}, +1)_{\text{F}} : \underline{(\nu_R'^c, e_R'^c)}$ $(\mathbf{1}, \mathbf{1}, +2)_{\text{F}} : \underline{e_R^c}$ $(\mathbf{3}, \mathbf{2}, +\frac{1}{3})_{\text{F}} : \underline{(u_L, d_L)}$ $(\mathbf{3}, \mathbf{1}, -\frac{2}{3})_{\text{F}} : \underline{D_L}$



# The SU(6) Yukawa

- \* The most general Yukawa coupling:

$$\mathbf{15}_F \bar{\mathbf{6}}_F^\alpha \mathbf{6}_H^{\alpha*} + \mathbf{15}_F \mathbf{15}_F \mathbf{15}_H + \bar{\mathbf{6}}_F^\alpha (i\sigma_2)_{\alpha\beta} \bar{\mathbf{6}}_F^\beta \mathbf{21}_H + H.c.$$

- \* The PQ charge and a discrete  $\mathbb{Z}_4$  symmetry:

	$\bar{\mathbf{6}}_F^\alpha$	$\mathbf{15}_F$	$\mathbf{6}_H^\alpha$	$\mathbf{15}_H$	$\mathbf{21}_H$	$\mathbf{35}_H$
$U(1)_{PQ}$	1	1	2	-2	-2	0
$SU(2)_F$	$\square$	1	$\square$	1	1	1
$\mathbb{Z}_4$	1	$\frac{1}{2}$	$\frac{3}{2}$	-1	-2	0

At the UV the global  $U(1)_{PQ}[SU(6)]^2$  anomaly:  $N_{SU(6)} = 9$



# The SU(6) Yukawa

\* At the  $\mathcal{G}_{331} \rightarrow \mathcal{G}_{\text{SM}}$  breaking:

$$\mathbf{15}_F \bar{\mathbf{6}}_F^{\text{II}} \mathbf{6}_H^{\text{II}*} + H.c. \supset$$

$$(\mathbf{3}, \mathbf{3}, 0)_F \otimes (\bar{\mathbf{3}}, \mathbf{1}, +\frac{1}{3})_F^{\text{II}} \otimes (\mathbf{1}, \bar{\mathbf{3}}, -\frac{1}{3})_H^{\text{II}} + H.c.$$

$$(\mathbf{1}, \bar{\mathbf{3}}, +\frac{2}{3})_F \otimes (\mathbf{1}, \bar{\mathbf{3}}, -\frac{1}{3})_F^{\text{II}} \otimes (\mathbf{1}, \bar{\mathbf{3}}, -\frac{1}{3})_H^{\text{II}} + H.c.$$

$$\Rightarrow m_D \sim m_{e'} \sim m_{\nu'} \simeq \mathcal{O}(v_{331})$$

$D$ -hadron lifetime:  $\tau_D \sim m_D^{-1} \sim \mathcal{O}(10^{-36}) - \mathcal{O}(10^{-34})$  sec, Vs. the BBN constraint of  $\tau_Q \lesssim 10^{-2}$  sec.

\*  $\bar{\mathbf{6}}_F^{\text{I}} \bar{\mathbf{6}}_F^{\text{II}} \mathbf{21}_H + H.c. \supset$

$$(\mathbf{1}, \bar{\mathbf{3}}, -\frac{1}{3})_F^{\text{I}} \otimes (\mathbf{1}, \bar{\mathbf{3}}, -\frac{1}{3})_F^{\text{II}} \otimes (\mathbf{1}, \mathbf{6}, +\frac{2}{3})_H + H.c.$$

$$\Rightarrow m_{N, N'} \simeq \mathcal{O}(v_{331})$$



# The SU(6) Yukawa

$$* \mathbf{15}_F \bar{\mathbf{6}}_F^I \mathbf{6}_H^{I*} + H.c. \supset$$

$$(\mathbf{3}, \mathbf{2}, +\frac{1}{3})_F \otimes (\bar{\mathbf{3}}, \mathbf{1}, +\frac{2}{3})_F^I \otimes (\mathbf{1}, \mathbf{2}, -1)_H^I + H.c.$$

$$(\mathbf{1}, \mathbf{2}, -1)_F \otimes (\mathbf{1}, \mathbf{1}, +2)_F^I \otimes (\mathbf{1}, \mathbf{2}, -1)_H^I + H.c.$$

$$\Rightarrow m_{d,\ell} \simeq \mathcal{O}(v_{EW})$$

$$\mathbf{15}_F \mathbf{15}_F \mathbf{15}_H + H.c. \supset$$

$$(\mathbf{3}, \mathbf{2}, +\frac{1}{3})_F \otimes (\bar{\mathbf{3}}, \mathbf{1}, -\frac{4}{3})_F^I \otimes (\mathbf{1}, \mathbf{2}, +1)_H + H.c.$$

$$\Rightarrow m_u \simeq \mathcal{O}(v_{EW})$$

The EW EFT = type-II 2HDM



# The $SU(6)$ Axion



# The SU(6) Axion

\* The physical axion field comes from:  $\mathbf{6}_H^{\text{II}} \supset (\mathbf{1}, \mathbf{3}, +\frac{1}{3})_H \supset \frac{v_3}{\sqrt{2}} \exp(ia_3/v_3)$

and  $\mathbf{21}_H \supset (\mathbf{1}, \mathbf{6}, +\frac{2}{3})_H \supset \frac{v_6}{\sqrt{2}} \exp(ia_6/v_6)$

\* To impose an orthogonality condition between the  $U(1)_{\text{PQ}}$

$J_{\text{PQ}}^\mu = q_3 v_3 (\partial^\mu a_3) + q_6 v_6 (\partial^\mu a_6)$  and the  $U(1)_N J_N^\mu = \frac{1}{3} v_3 (\partial^\mu a_3) + \frac{2}{3} v_6 (\partial^\mu a_6)$

currents. Physical charge:  $q \equiv c_1 \text{PQ} + c_2 N$ .

\* 't Hooft global anomaly matching:  $N_{\text{SU}(3)_c} = N_{\text{SU}(6)} \Rightarrow c_1 = 1$ .

\*  $a_{\text{phys}} = \cos \phi a_3 + \sin \phi a_6$ ,  $\tan \phi = \frac{v_3}{2v_6}$ .

\* Axion decay const:  $9v_{331}^{-2} = \frac{1}{4}v_6^{-2} + v_3^{-2}$  and  $f_a = v_{331}/18$ .



# The PQ quality

- \* The leading PQ-breaking operator respecting the  $SU(2)_F$  and  $\mathbb{Z}_4$ :

$$\mathcal{O}_{\text{PQ}}^{d=6} = \left[ \epsilon_{\alpha\beta} \epsilon_{abc} (\mathbf{1}, \mathbf{3}, +\frac{1}{3})^{a,\alpha} (\mathbf{1}, \mathbf{3}, +\frac{1}{3})^{b,\beta} (\mathbf{1}, \mathbf{3}, -\frac{2}{3})^c \right]^2$$

if no  $\mathbb{Z}_4$ :  $\mathcal{O}_{\text{PQ}}^{d=3} = \epsilon_{\alpha\beta} \epsilon_{abc} (\mathbf{1}, \mathbf{3}, +\frac{1}{3})^{a,\alpha} (\mathbf{1}, \mathbf{3}, +\frac{1}{3})^{b,\beta} (\mathbf{1}, \mathbf{3}, -\frac{2}{3})^c$  is dangerous in PQ-quality.

- \* Axion effective potential:

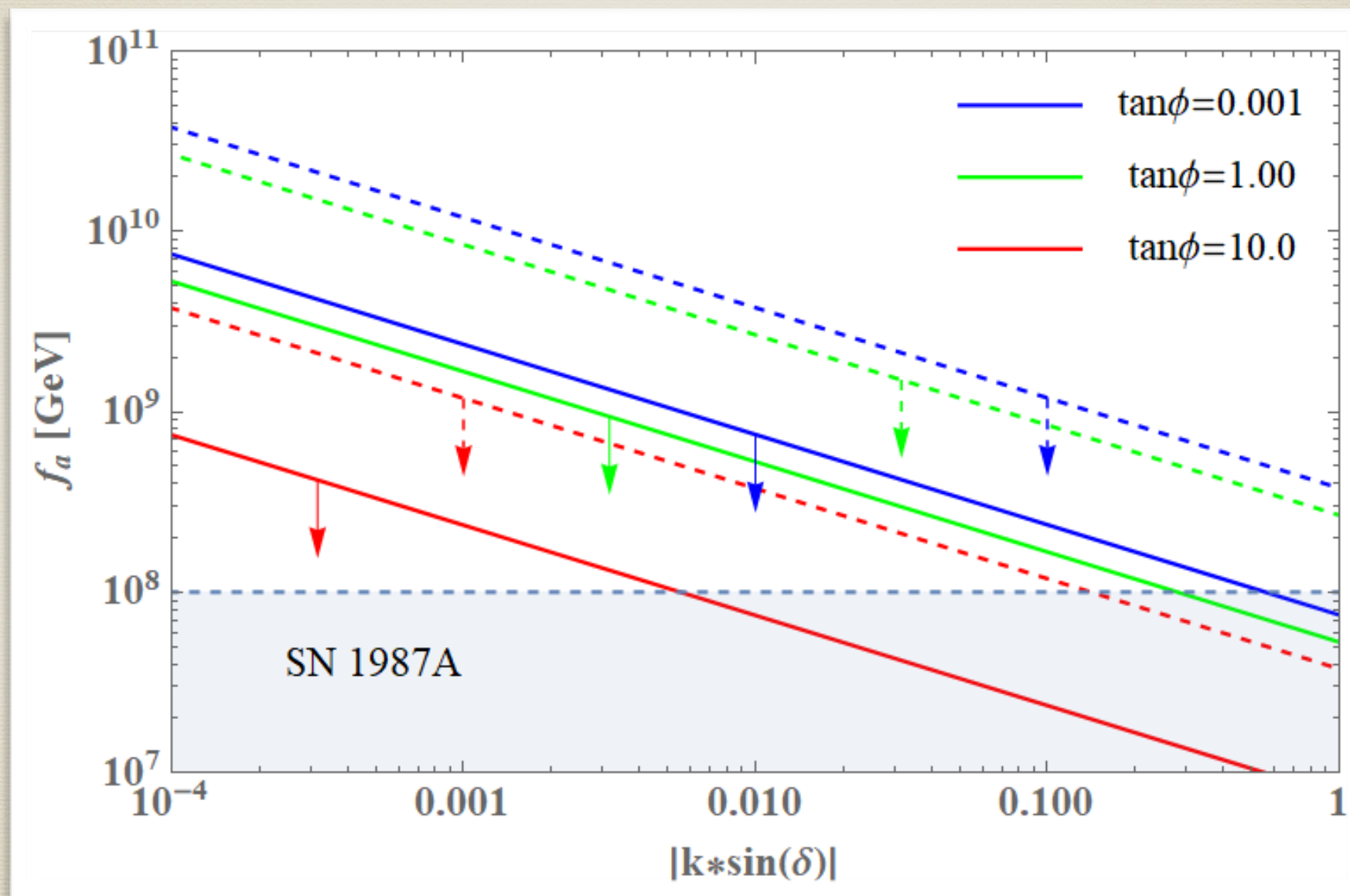
$$V = -\Lambda_{\text{QCD}}^4 \cos\left(\frac{a_{\text{phys}}}{f_a}\right) + \frac{|k| (v_u v_d v_3)^2}{4M_{\text{pl}}^2} \cos\left(\frac{a_{\text{phys}}}{6f_a} + \delta\right)$$

$$* \quad |\bar{\theta}| \equiv \left| \frac{\langle a_{\text{phys}} \rangle}{f_a} \right| \lesssim 10^{-10} \Rightarrow f_a \lesssim \frac{3.7 \times 10^7 \cos \phi}{|k \sin(\delta)|^{1/2}} \left( \tan \beta + \frac{1}{\tan \beta} \right) \text{ GeV}$$

This is solely determined by symmetry considerations in a GUT!



# The PQ quality

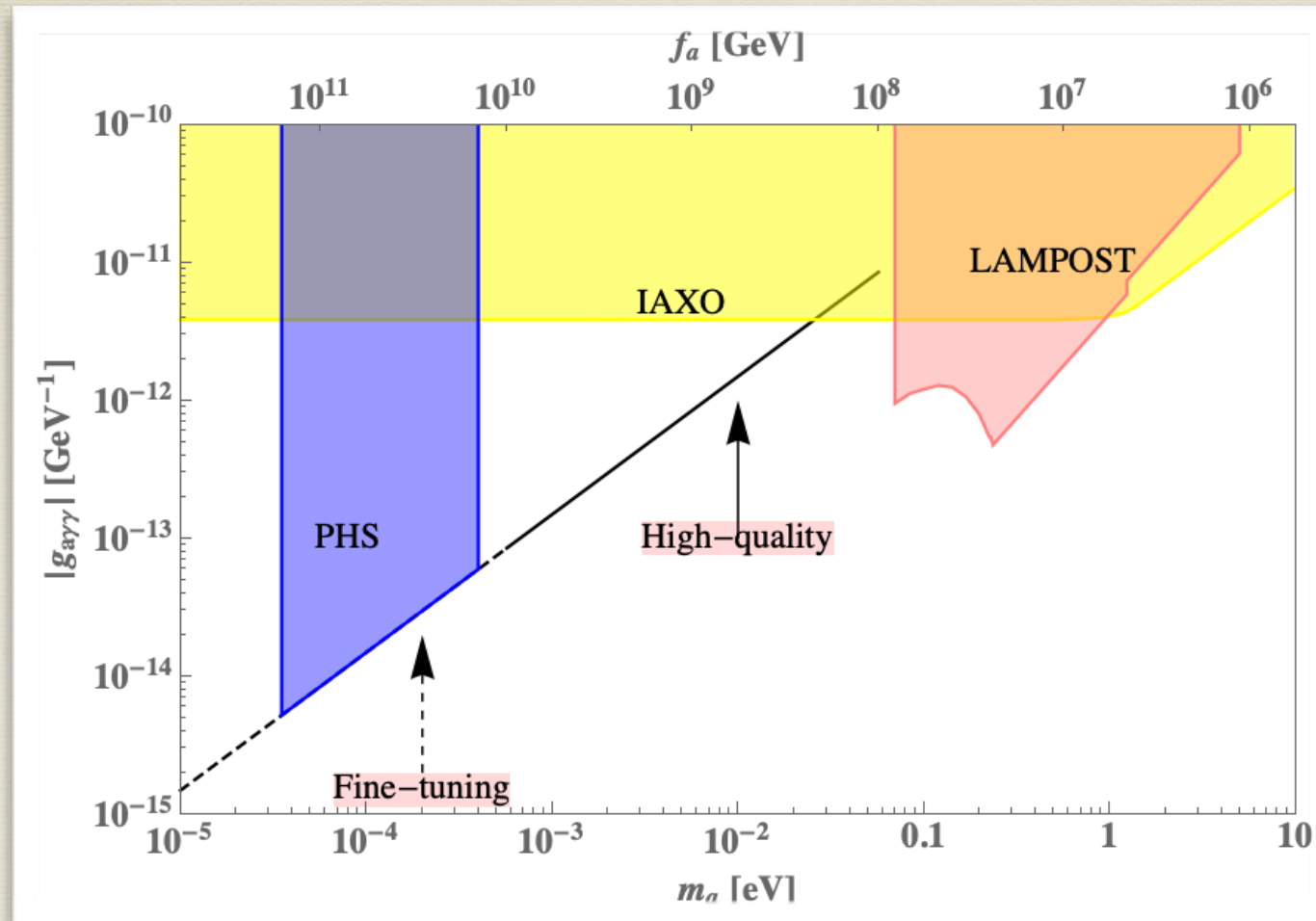


$$10^8 \text{ GeV} \lesssim f_a \lesssim 10^{10} \text{ GeV}$$

$$m_a = 5.70 \left( \frac{10^{12} \text{ GeV}}{f_a} \right) \mu\text{eV} \sim (10^{-4}, 10^{-2}) \text{ eV}$$



# The axion searches



$$g_{a\gamma\gamma} = \left( \frac{E}{N_{\text{SU}(3)_c}} - 1.92 \right) \left( \frac{1.14 \times 10^{-3} \text{ GeV}}{f_a} \right) \text{ GeV}^{-1}$$

$$\text{U}(1)_{\text{PQ}}[\text{U}(1)_{\text{em}}]^2 \text{ anomaly factor : } E = \sum_f \text{PQ}_f \dim(\mathcal{C}_f) \text{Tr} q_f^2 = 24$$



# The Axion domain walls

- \* Back to the axion effective potential:

$$V = -\Lambda_{\text{QCD}}^4 \cos\left(\frac{a_{\text{phys}}}{f_a}\right) + \frac{|k|(v_u v_d v_3)^2}{4M_{\text{pl}}^2} \cos\left(\frac{a_{\text{phys}}}{6f_a} + \delta\right)$$

- \* The  $\cos\left(\frac{a_{\text{phys}}}{f_a}\right)$  term is periodic and has degenerate minima, this leads to the DWs.
- \* DWs are problematic in cosmology, with the energy density  $\rho_{\text{DW}} \sim \sigma/t$ . The energy densities for radiation/matter:  
 $\rho_{\text{rad}} \propto t^{-2}$ ,  $\rho_{\text{matt}} \propto t^{-3/2}$ . DWs can overtake the Universe once they are formed.



# The Axion domain walls

- \* The second PQ-breaking term acts as the biased term to collapse the DWs.[Vilenkin ('81), Gelmini, Gleiser, Kolb, ('89), Larsson, Sarkar, White ('96)]
- \* To have DWs collapse before formation:  $t_{\text{dec}} < t_{\text{form}}$ .
- \* In our case:

$$t_{\text{form}} \sim 10^2 \text{ sec} \left( \frac{10^{13} \text{ GeV}}{v_{331}} \right) \sim \mathcal{O}(10^4) - \mathcal{O}(10^6) \text{ sec}$$

$$t_{\text{dec}} \approx \frac{\sigma_{\text{DW}}}{v_{331}^4} \sim 10^{-66} \text{ sec} \left( \frac{M_{\text{pl}} v_{331}}{v_u v_d} \right)^2 \left( \frac{10^{13} \text{ GeV}}{v_{331}} \right)^3$$
$$\sim \mathcal{O}(10^{-8}) - \mathcal{O}(10^{-6}) \text{ sec}$$



# The $SU(6)$ Unification



# The SU(6) unification

\* The gauge couplings:  $(\alpha_{3c}, \alpha_{3L}, \alpha_N)$  for the  $\mathcal{G}_{331}$ , and  $(\alpha_{3c}, \alpha_{2L}, \alpha_Y)$  for the  $\mathcal{G}_{\text{SM}}$ . Use  $\alpha_1 = \frac{4}{3}\alpha_N$  for the  $\mathcal{G}_{331}$  embedding into the SU(6).

\* The RGEs of the SU(6):

$$\alpha_i^{-1}(\mu_2) = \alpha_i^{-1}(\mu_1) - \frac{b_i^{(1)}}{2\pi} \log\left(\frac{\mu_2}{\mu_1}\right) + \delta_i$$

$\delta_i$  to account for higher-order effects: two-loop && mass threshold.

\* The matching conditions:  $\alpha_{3L}^{-1}(v_{331}) = \alpha_{2L}^{-1}(v_{331})$ ,

$$\alpha_1^{-1}(v_{331}) = -\frac{1}{4}\alpha_{2L}^{-1}(v_{331}) + \frac{3}{4}\alpha_Y^{-1}(v_{331}).$$



# The SU(6) unification

\* non-SUSY:  $b_i^{(1)} = -\frac{11}{3}C_2(\mathcal{G}_i) + \frac{2}{3} \sum_f T(\mathcal{R}_f^i) + \frac{1}{3} \sum_s T(\mathcal{R}_s^i)$

SUSY:  $b_i^{(1)} = -3C_2(\mathcal{G}_i) + \sum_{\chi} T(\mathcal{R}_{\chi}^i)$

\* SUSY extension:  $\mathbf{21}_H$  super-multiplet is anomalous, we include a  $\overline{\mathbf{21}}_H$ .

\* The SU(6) SUSY extension can avoid the  $\mu$ -problem, since  $\mathbf{6}_H^{I*} \mathbf{15}_H$  is not gauge-invariant.

\* non-SUSY:  $m_Z \leq \mu \leq v_{331} : (b_{\text{SU}(3)_c}^{(1)}, b_{\text{SU}(2)_L}^{(1)}, b_{\text{U}(1)_Y}^{(1)}) = (-7, -3, 7)$

$v_{331} \leq \mu \leq \Lambda_{\text{GUT}} : (b_{\text{SU}(3)_c}^{(1)}, b_{\text{SU}(3)_L}^{(1)}, b_{\text{U}(1)_1}^{(1)}) = (-5, -\frac{11}{3}, \frac{43}{6})$

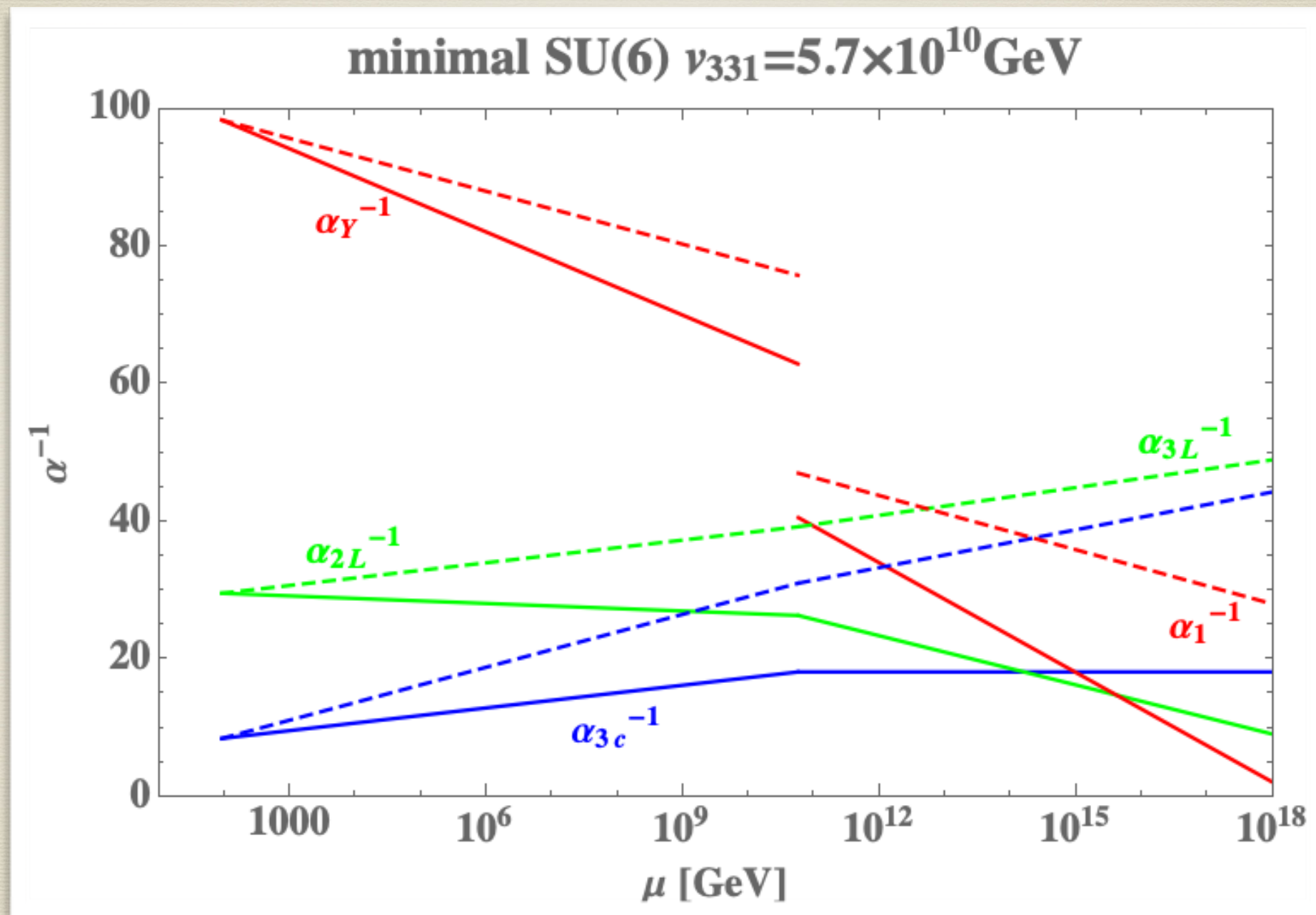
\* SUSY:

$m_Z \leq \mu \leq v_{331} : (b_{\text{SU}(3)_c}^{(1)}, b_{\text{SU}(2)_L}^{(1)}, b_{\text{U}(1)_Y}^{(1)}) = (-3, 1, 11)$

$v_{331} \leq \mu \leq \Lambda_{\text{GUT}} : (b_{\text{SU}(3)_c}^{(1)}, b_{\text{SU}(3)_L}^{(1)}, b_{\text{U}(1)_1}^{(1)}) = (0, \frac{13}{2}, \frac{29}{2})$



# The SU(6) unification



$\alpha_{3c}(m_Z)$ ,  $\alpha_{\text{em}}(m_Z)$ ,  $\sin^2 \theta_W(m_Z)$  as inputs



# The SU(6) unification

- \* To impose the unification condition at the UV:

$$\alpha_{3c}^{-1}(\Lambda_{\text{GUT}}) = \alpha_{3L}^{-1}(\Lambda_{\text{GUT}}) = \alpha_1^{-1}(\Lambda_{\text{GUT}}) = \alpha_{\text{GUT}}^{-1}(\Lambda_{\text{GUT}})$$

- \* Benchmark  $\nu_{331} = 5.7 \times 10^{10} \text{ GeV}$ , we find:

$$\Lambda_{\text{GUT}} \approx 7.8 \times 10^{15} \text{ GeV}, \quad \alpha_{\text{GUT}}^{-1}(\Lambda_{\text{GUT}}) = 18.14$$

$$\sin^2 \theta_W(m_Z) = 0.22923$$

$$\text{PDG: } \sin^2 \theta_W(m_Z) = 0.23117$$

- \* Proton lifetime:

$$\tau[p \rightarrow e^+ \pi^0] \sim 10^{36} \text{ yrs} \left( \frac{\alpha_{\text{GUT}}^{-1}}{35} \right)^2 \left( \frac{\Lambda_{\text{GUT}}}{10^{16} \text{ GeV}} \right)^4$$

$$\approx 9.8 \times 10^{34} \text{ yrs}$$

$$\text{Super-Kamionkande: } \tau_p \gtrsim 2.4 \times 10^{34} \text{ yrs}$$



# Summary

- \* We show a *non-minimal*  $SU(6)$  GUT model with the minimal setup to achieve a high-quality axion by identifying the  $U(1)_{PQ}$  as the Abelian component of the emergent global symmetries.
- \* The axion decay constant:  $10^8 \text{ GeV} \lesssim f_a \lesssim 10^{10} \text{ GeV}$  w.o. much fine-tuning of the EFT parameter.
- \* The GUT spectrum contains vector-like KSVZ  $D$ -quarks, and heavy leptons && singlet neutrinos, type-I seesaw.
- \* Safe from the cosmological constraints.



# Outlook

- \* Why  $SU(6)$ ? Beyond the  $SU(6)$ ?  $SU(7)$ ? No answer now.
- \*  $SU(7) \rightarrow SU(4)_{PS} \otimes SU(3)_L \otimes U(1)_N$ , there can be small instanton effect.
- \* The discrete symmetries from the SUSY?
- \* The RGE analysis, usually involves many details, two-loops, mass threshold effects.
- \* String theory?  $SU(6) \otimes SU(2) \subset E_6 \subset E_8$



# Backups



# The SU(6) Yukawa

$$* \bar{\mathbf{6}}_{\mathbf{F}}^{[\text{I}]} \bar{\mathbf{6}}_{\mathbf{F}}^{[\text{II}]} \mathbf{15}_{\mathbf{H}} + H.c. \supset$$

$$(\mathbf{1}, \mathbf{2}, -1)_{\mathbf{F}}^{[\text{I}]} \otimes (\mathbf{1}, \mathbf{1}, 0)_{\mathbf{F}}^{[\text{II}]} \otimes (\mathbf{1}, \mathbf{2}, +1)_{\mathbf{H}} + H.c.$$

$$\Rightarrow m_{\nu N'} \sim m_{\nu' N} \simeq \mathcal{O}(v_{\text{EW}})$$

$$* \text{Type-I seesaw: } (\nu, N') \mathcal{M} (\nu, N')^T \Rightarrow m_{\nu} \sim \frac{v_{\text{EW}}^2}{v_{331}}, m_{N'} \sim v_{331}$$



# The SU(6) fermions

- \* To obtain the spectrum:  $N = \frac{1}{3} \text{diag}(-\mathbb{I}_3, +\mathbb{I}_3)$  for the SU(6) fundamental, and  $Y = \text{diag}(\frac{1}{3} + 2N, \frac{1}{3} + 2N, -\frac{2}{3} + 2N)$  for the SU(3)<sub>L</sub> fundamental.
- \* In the SU(5) GUT:  $\bar{\mathbf{5}}_{\text{F}} = d_R^c \oplus \ell_L$ ,  $\mathbf{10}_{\text{F}} = q_L \oplus u_R^c \oplus e_R^c$
- \* In the SU(6) GUT:  $\bar{\mathbf{6}}_{\text{F}}^{\text{I}} \supset d_R^c \oplus \ell_L$ ,  $\mathbf{15}_{\text{F}} \supset q_L \oplus u_R^c \oplus e_R^c$
- \* Additional SU(6) fermions are vectorial:  $(D_L, D_R^c)$ ,  $(N, N')$ ,  $(\ell'_L, \ell'^c_R)$