

Open Charm Production Based on QM2022

Ziyang Li

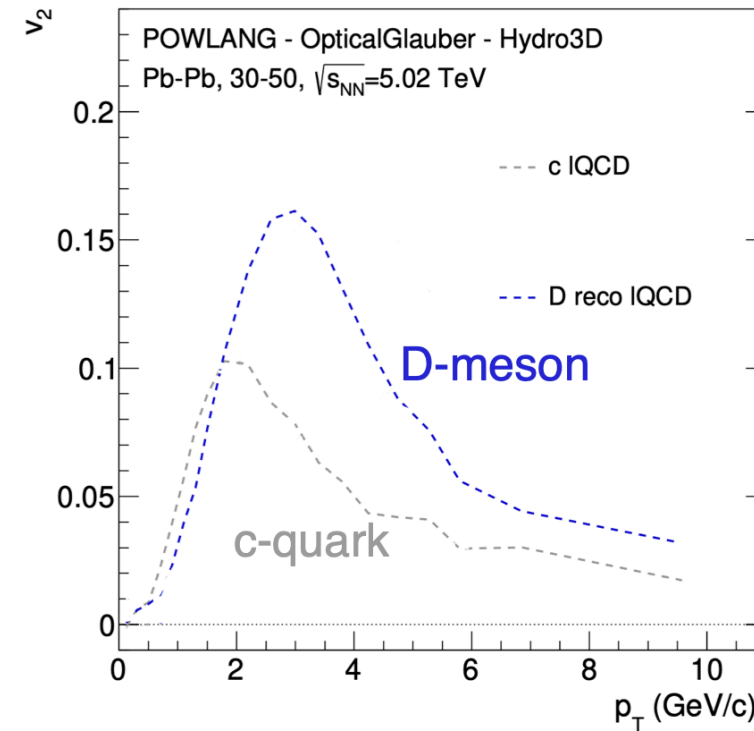
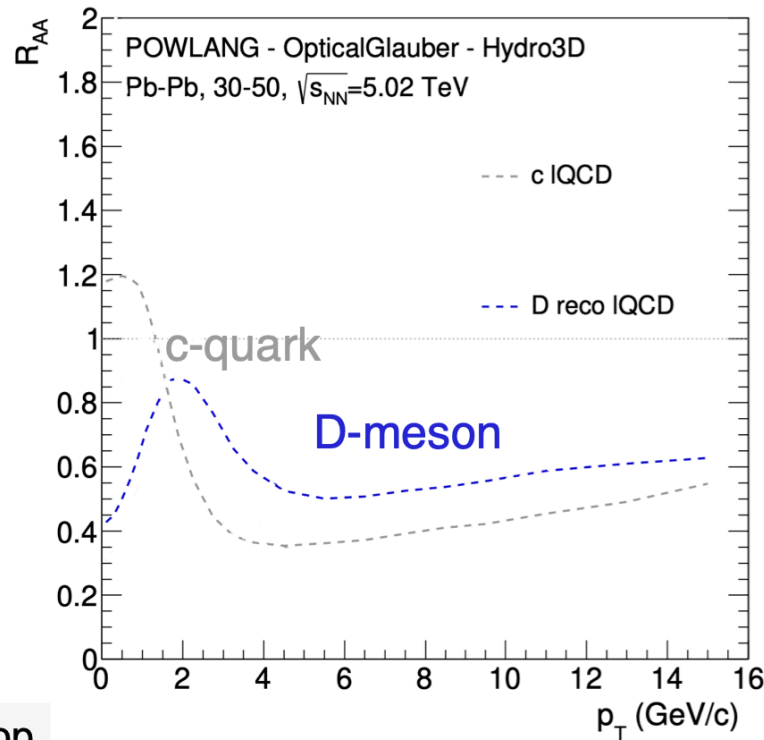
2022.5.6



In-medium Hadronization is Important

From quarks to hadrons

- Hadronization: Important ingredient to the phenomenology of the observed R_{AA} , v_2
- Heavy quarks: flavor conservation in QGP ($m_Q \gg T_{QGP}$)
 - ➔ Ideal probe of the in-medium hadronization mechanism

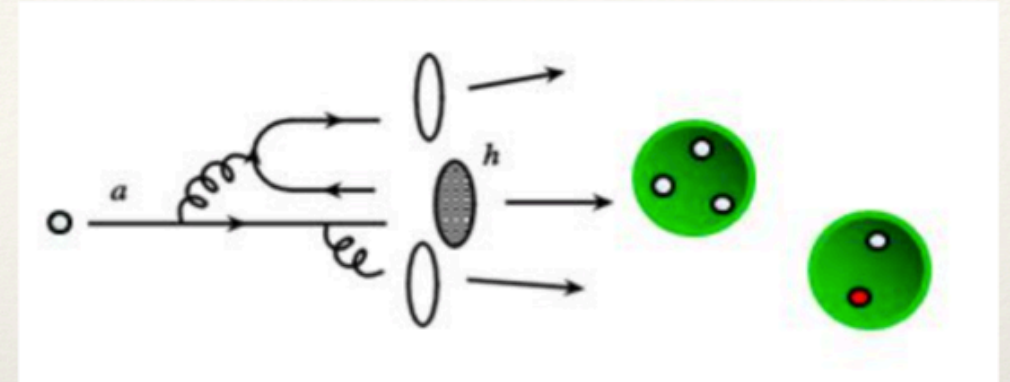


Two major hadronization mechanisms

Two major hadronization mechanisms

Fragmentation:

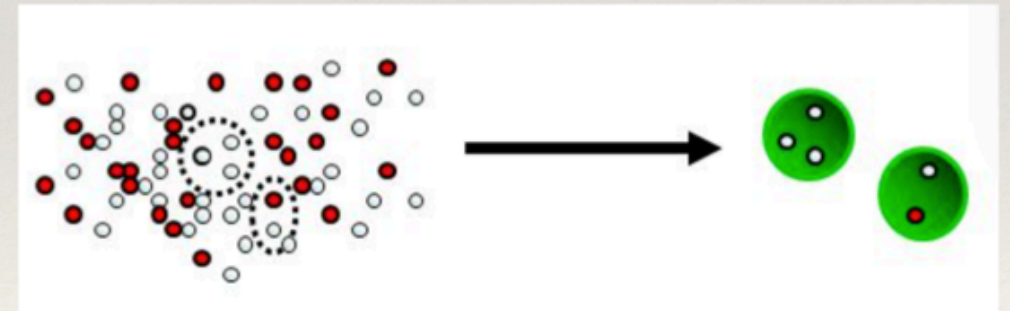
High momentum heavy quarks are more likely to fragment into hadrons
[Peterson, FONLL, Pythia, etc.]



Coalescence (recombination):

Low momentum heavy quarks are more likely to combine with thermal partons into hadrons

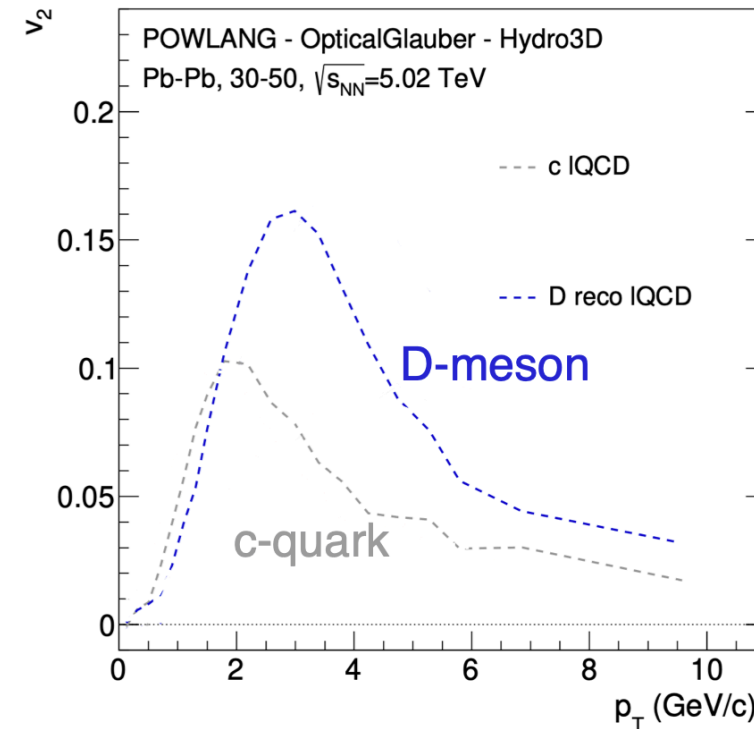
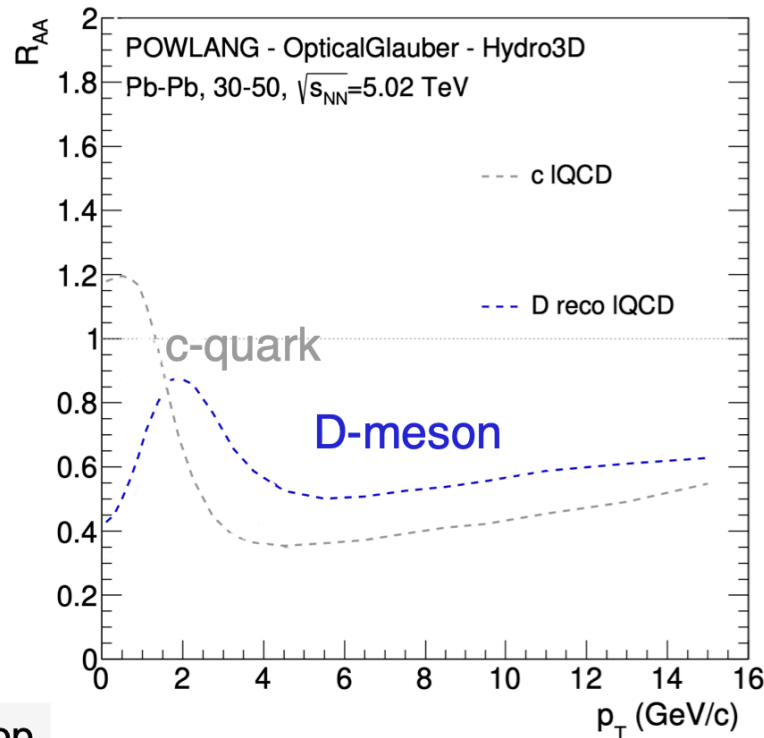
Oh, Ko, Lee and Yasui, PRC 79 (2019)
Plumari, Minissale, Das, Coci and Greco, EPJC 98 (2018)
Cho, Sun, Ko, Lee and Oh, PRC 101 (2020)
SC, Sun, Li, Liu, Xing, Qin and Ko, arXiv:1911.00456



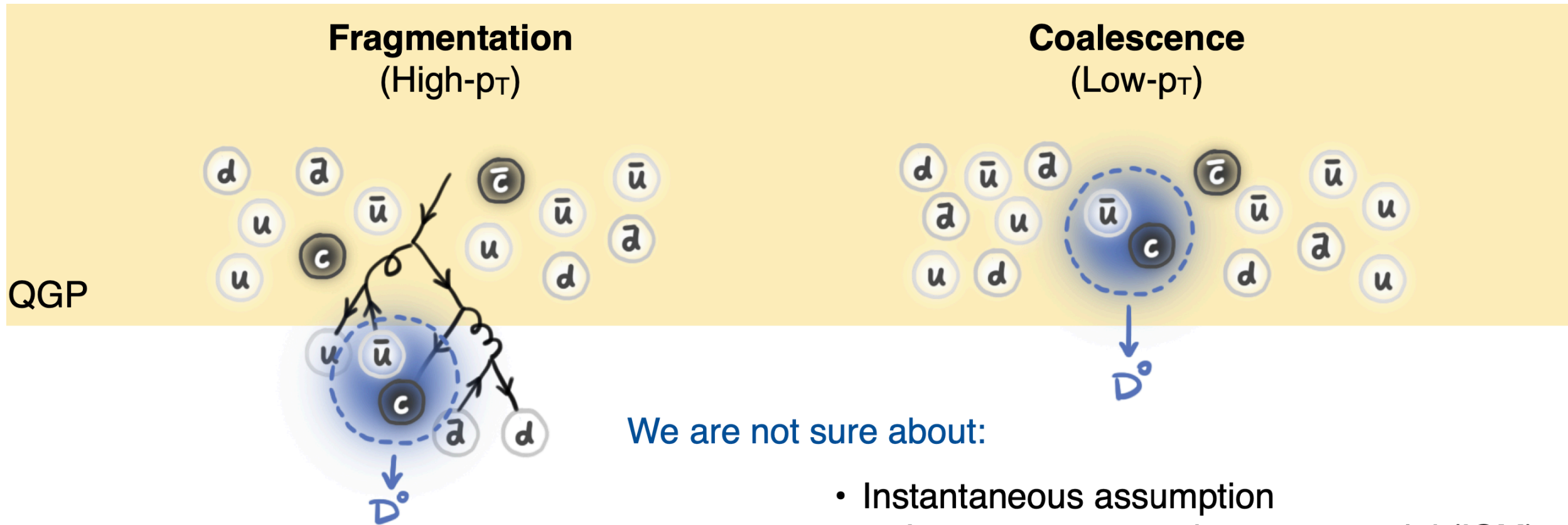
In-medium Hadronization is Important

Hadronization via in-medium recombination + string-fragmentation:

- Strong impact at **low-intermediate p_T** , HF hadrons inheriting part of the **radial and elliptic flow** of the light thermal parton;
- Naturally **approaches** the result of **independent fragmentation** at **high- p_T** , without having to switch scheme



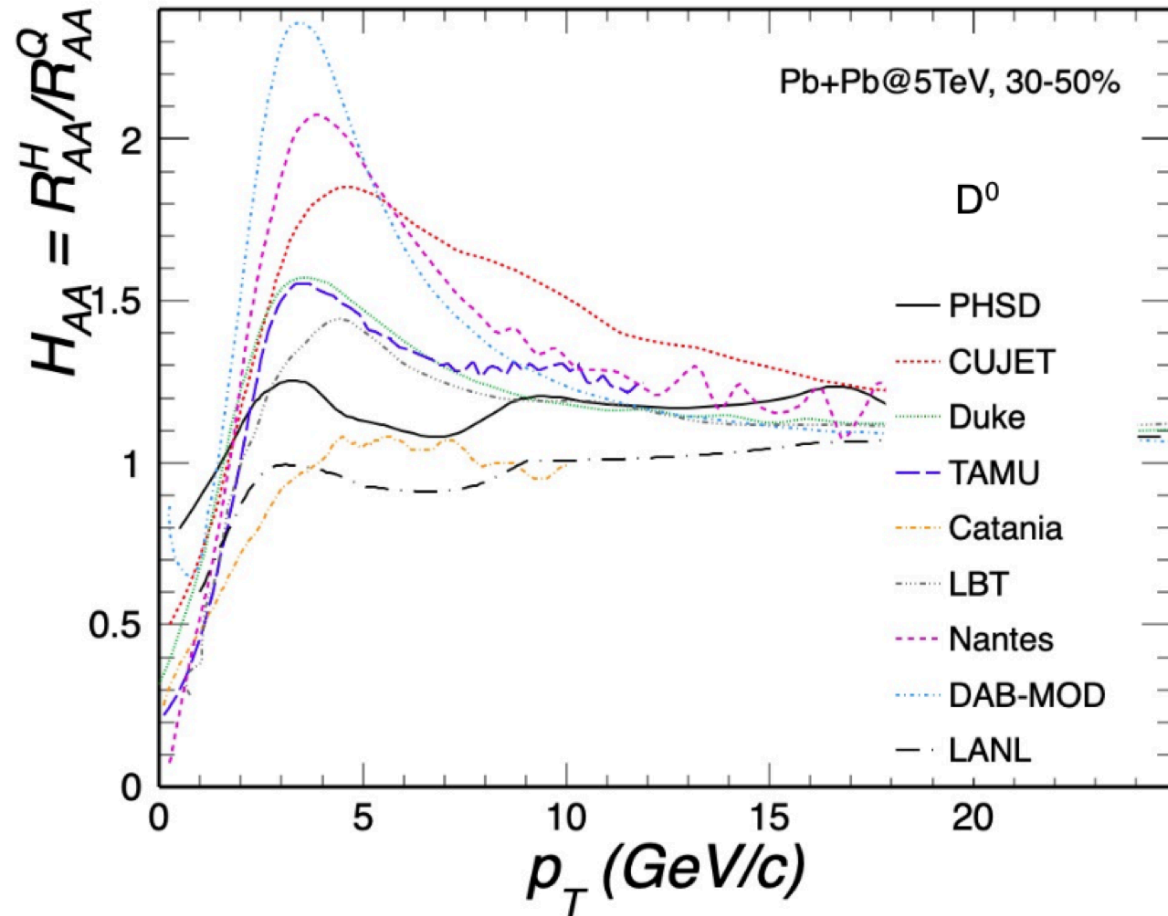
In-medium Hadronization is Complicated



We are not sure about:

- Universality of fragmentation
- Instantaneous assumption
 - Instantaneous coalescence model (ICM)
 - Resonance recombination model (RRM)
- Parameters (thermal quark m_q , width parameter, global normalization, ...)

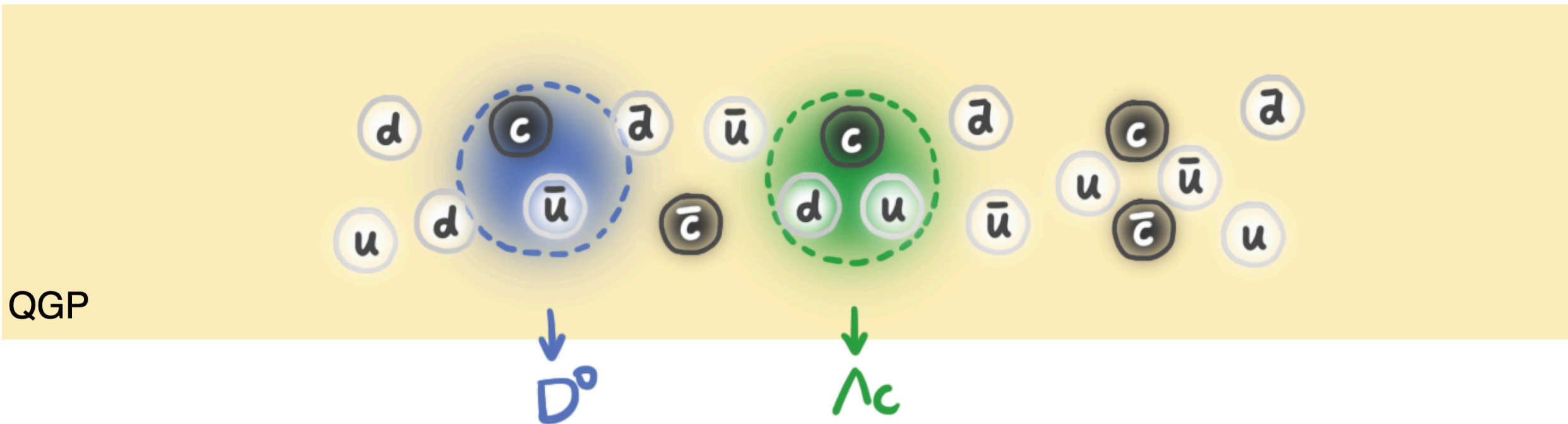
In-medium Hadronization is Complicated



- $H_{AA} = R_{AA}(\text{D-meson}) / R_{AA}(\text{c-quark})$ directly exhibits hadronization effects
- Dramatically different hadronization effects in the models using different mechanisms and ways of implementing coalescence
- Lead to poor constraints on hot medium interaction effects

Λ_c -- CMS

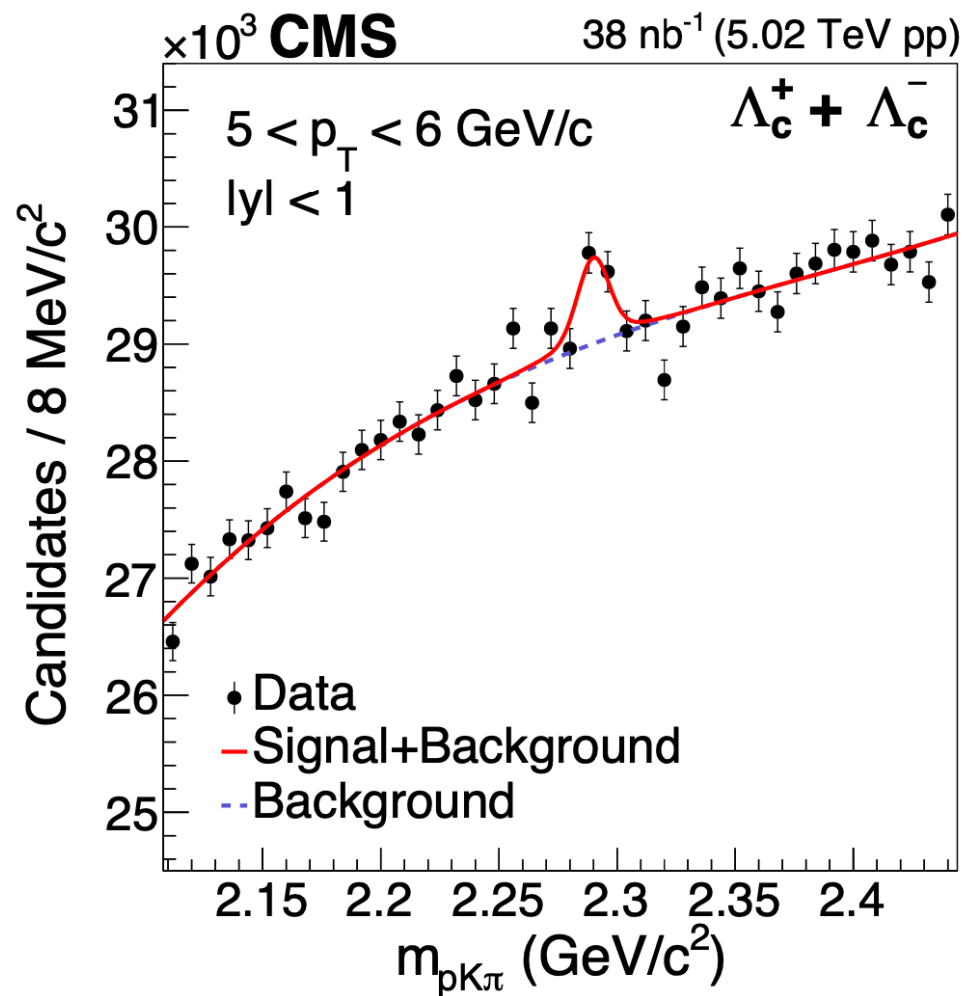
Study Coalescence with Baryons



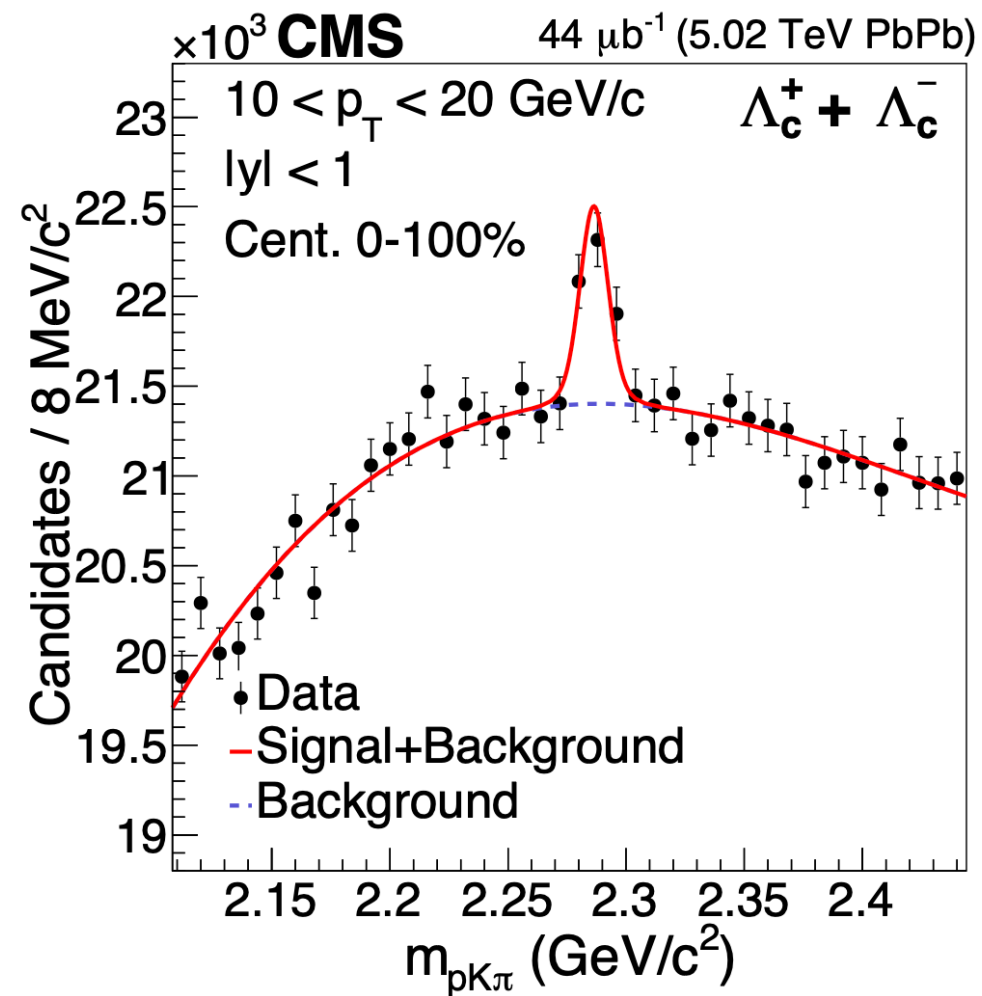
- Coalescence more significant for **baryons** with 3 valence quarks
- Baryon to meson ratio Λ_c/D is essential to study hadronization
- Λ_c Reconstruction: $\Lambda_c \rightarrow pK\pi$ (Branching ratio $\sim 6.2\%$)

Λ_c Signals

pp

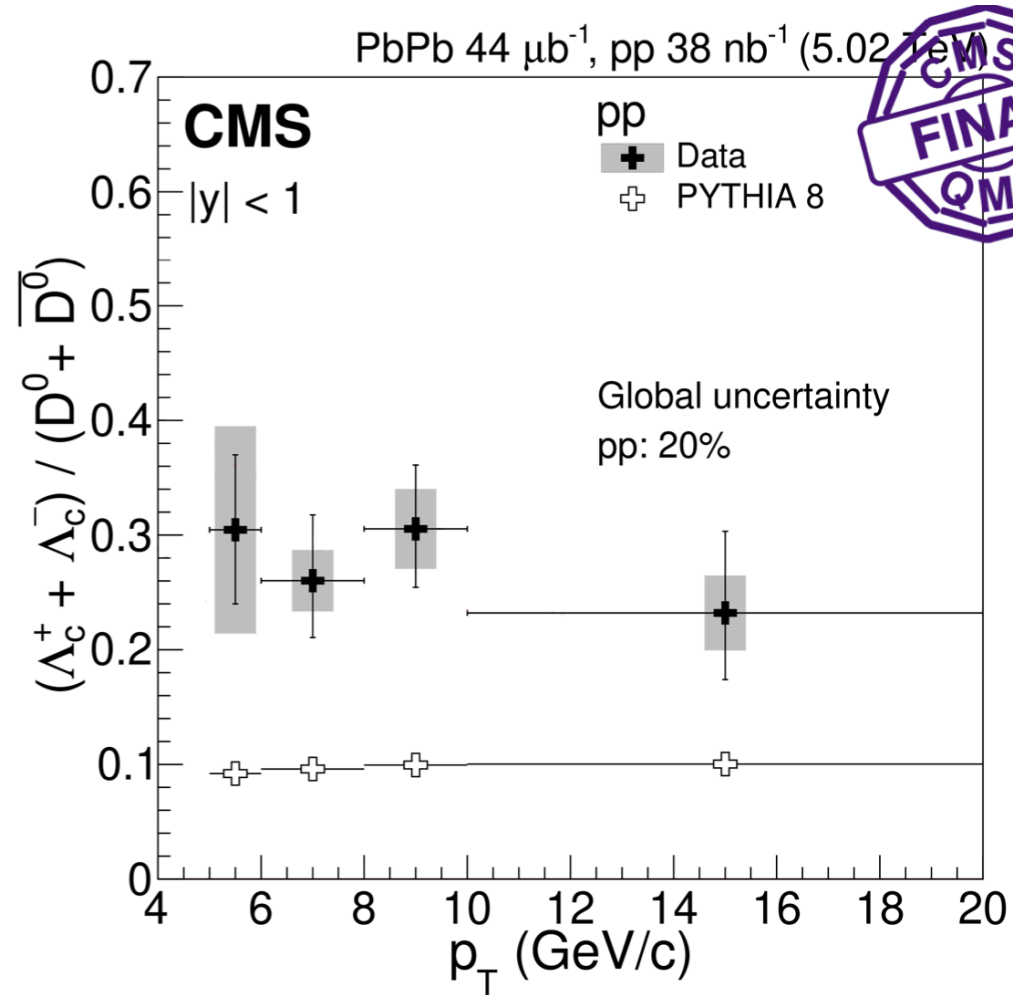


PbPb



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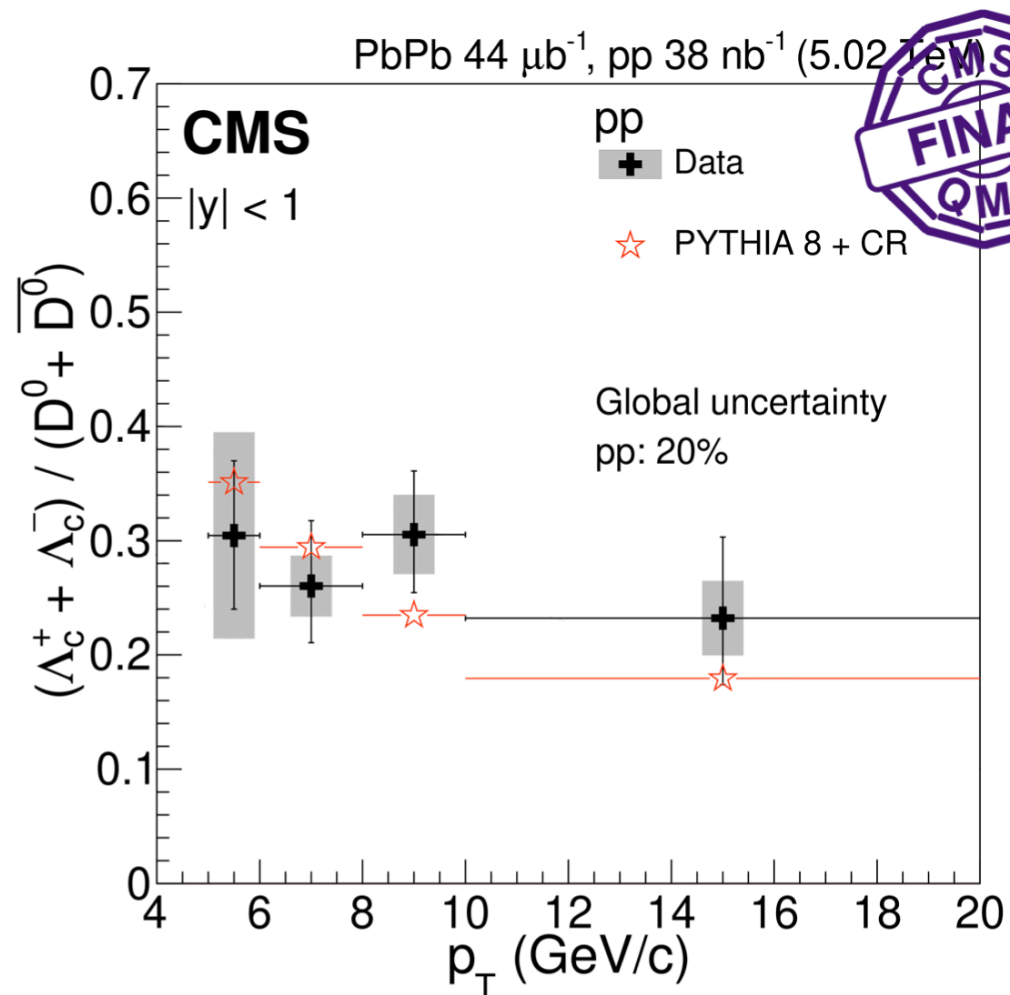
Λ_c in pp Collisions (1/4)



• PYTHIA8 underestimates Λ_c/D^0 in 5-20 GeV

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Λ_c in pp Collisions (2/4)

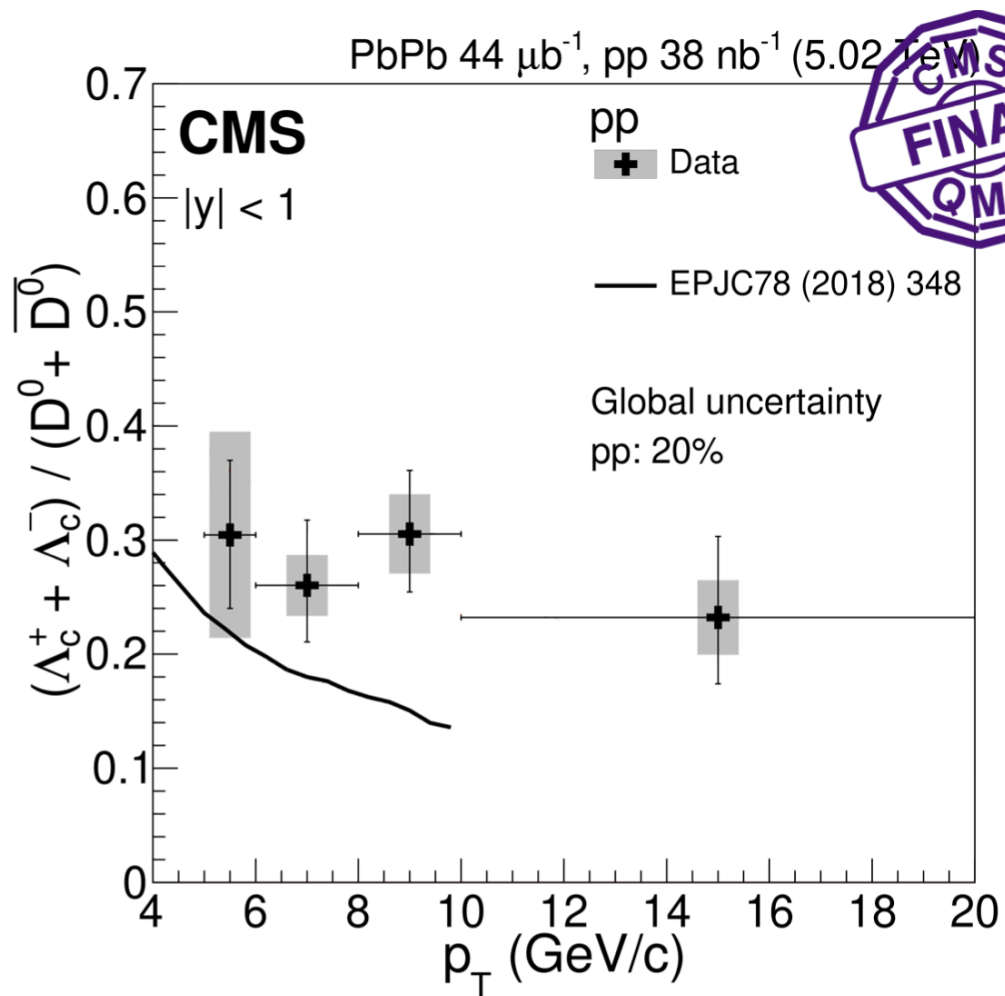


- PYTHIA8 underestimates Λ_c/D^0 in 5-20 GeV
- **Color reconnection** enhances the ratio
 - String formation between other partons than leading color
 - Significant in pp due to MPI

MPI: multiple parton interactions

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Λ_c in pp Collisions (3/4)



- PYTHIA8 underestimates Λ_c/D^0 in 5-20 GeV

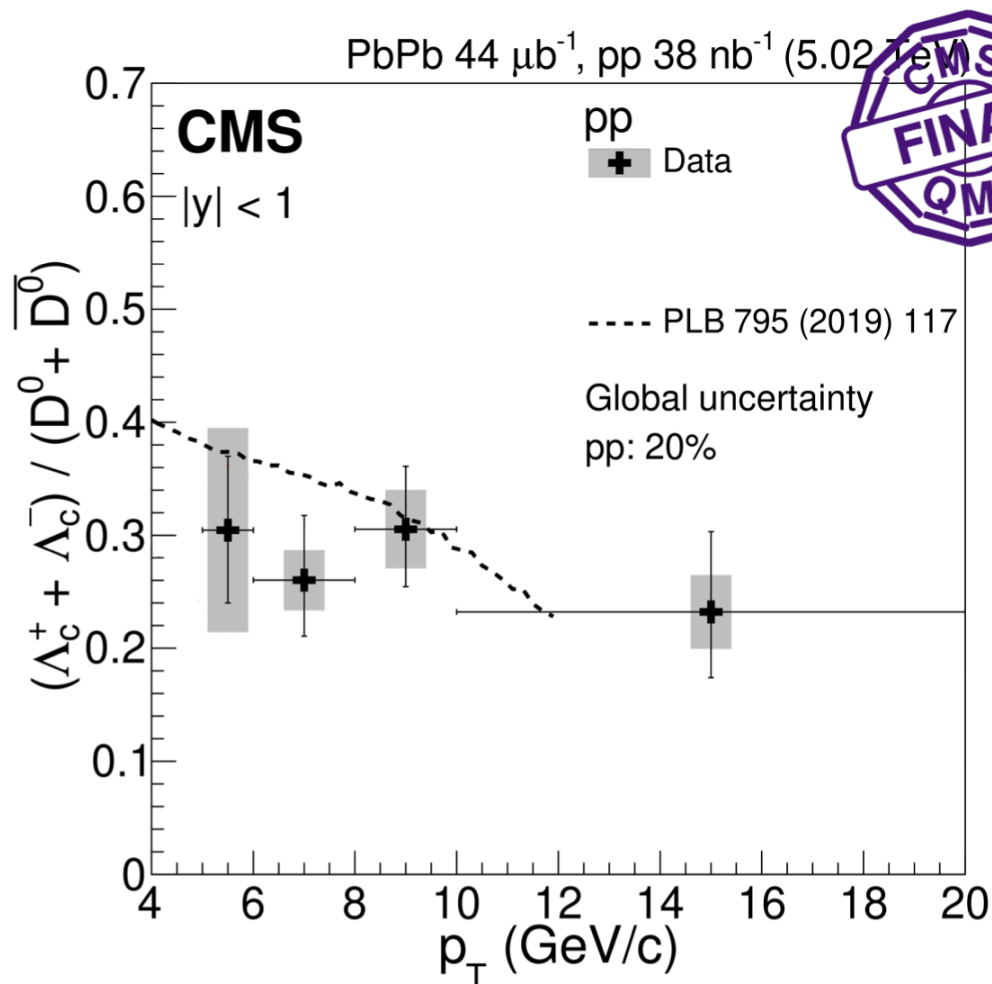
- Color reconnection enhances the ratio
→ String formation between other partons than leading color
→ Significant in pp due to MPI

- Solid line: Partonic coalescence in pp as well

Why coalescence in pp?

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Λ_c in pp Collisions (4/4)

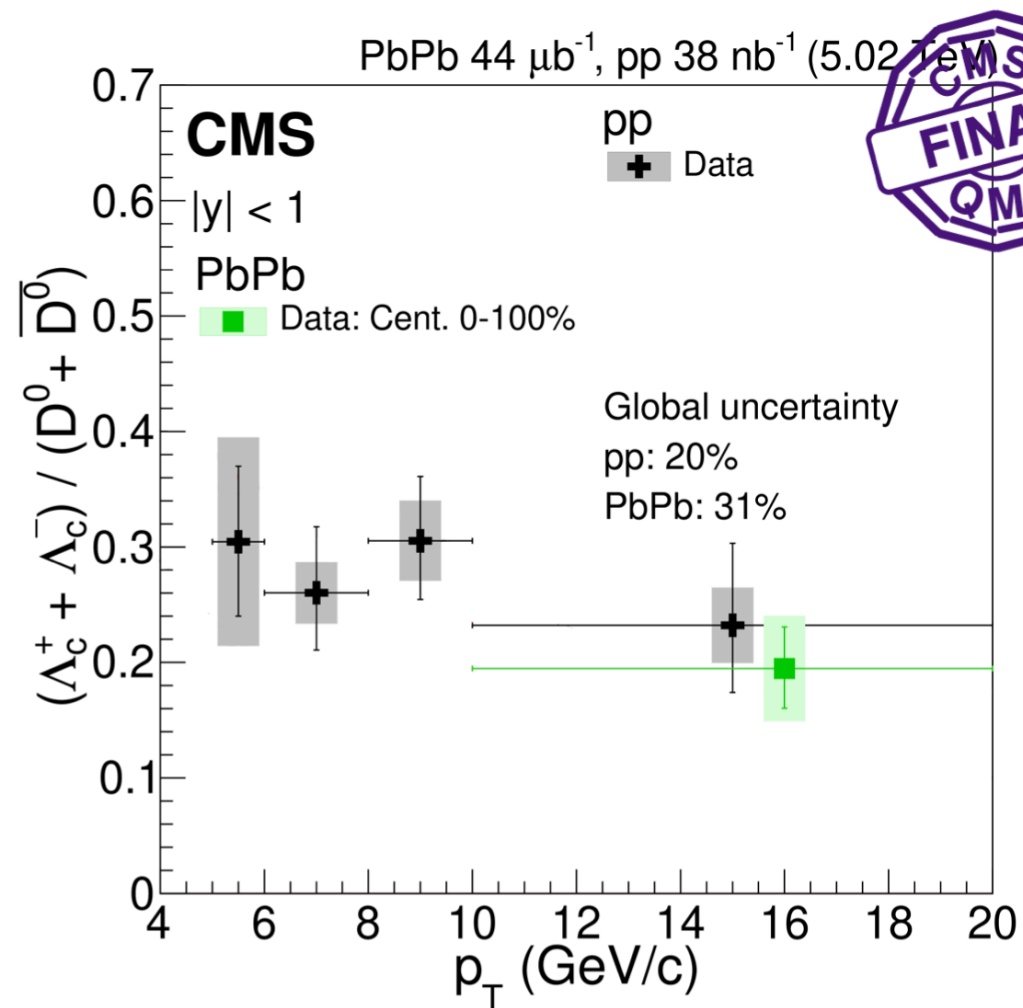


- PYTHIA8 underestimates Λ_c/D^0 in 5-20 GeV
- Color reconnection enhances the ratio
→ String formation between other partons than leading color
→ Significant in pp due to MPI
- Solid line: Partonic coalescence in pp as well
- Dashed line: SHM + Feed-down from more excited charm baryon states than PDG list predicted by Relativistic Quark Model (RQM)

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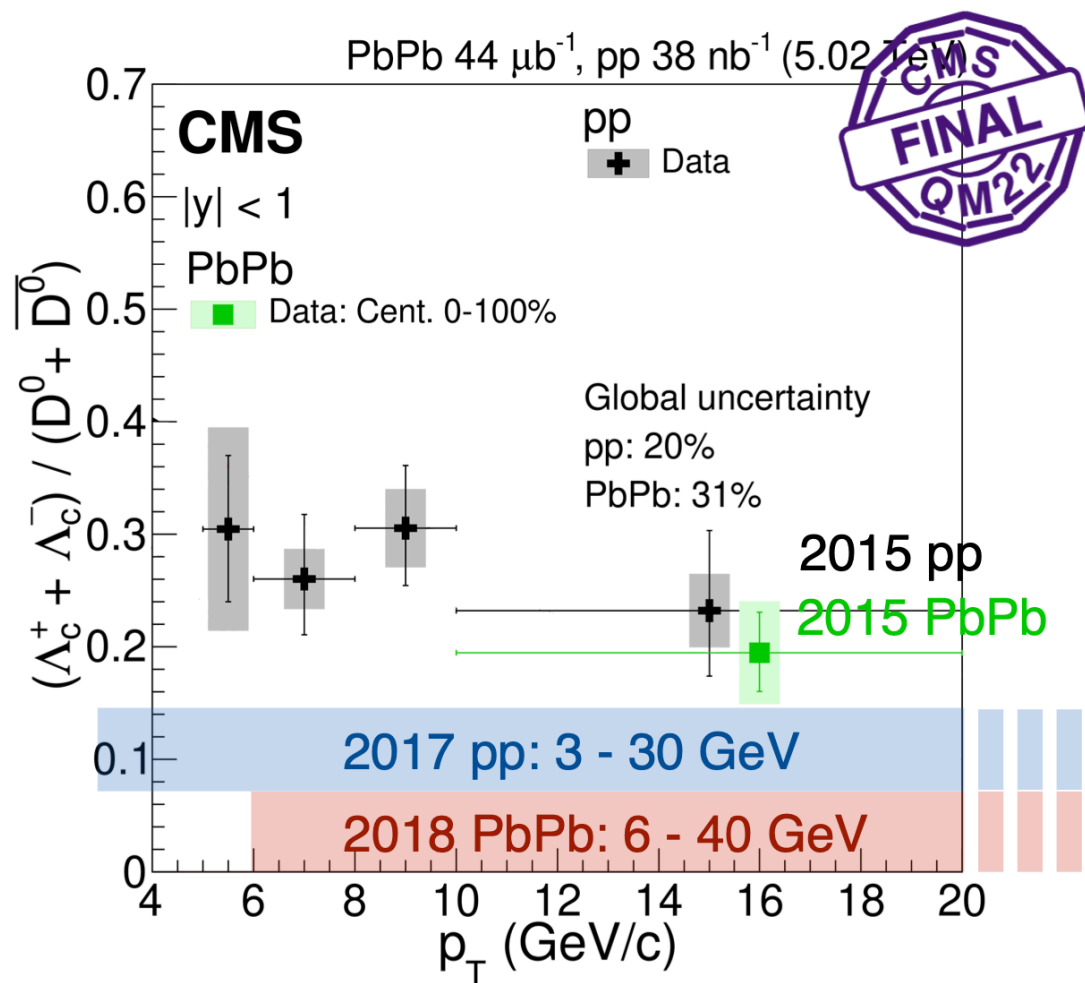
SHM: statistical hadronization model

Λ_c in PbPb Collisions (1/2)



- Comparable Λ_c/D^0 in **PbPb** and pp collisions in $10 < p_T < 20$ GeV

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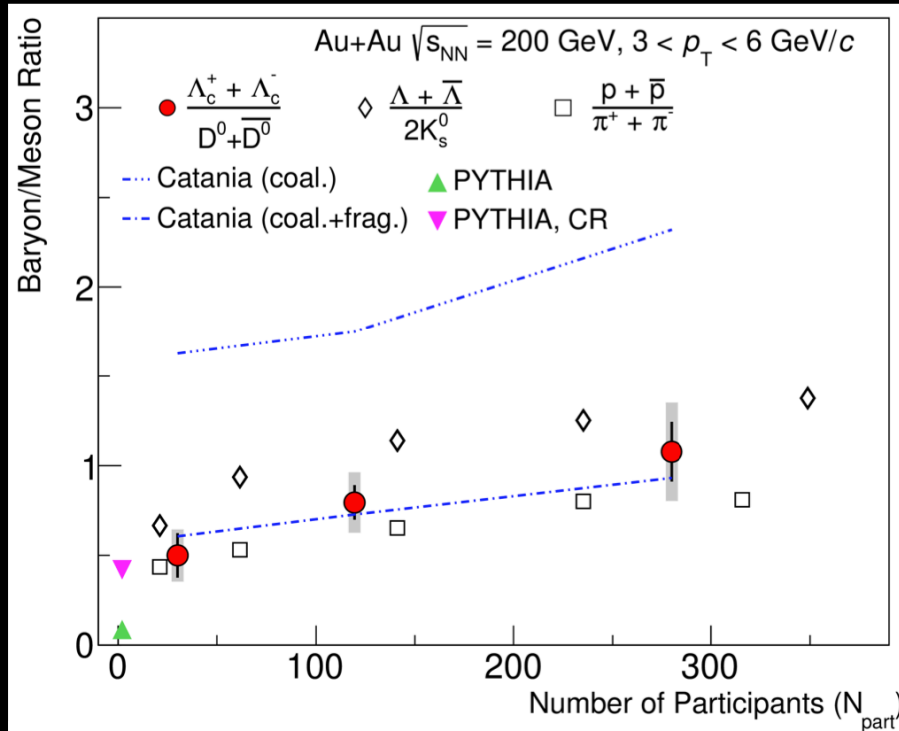
- Higher precision and wider kinematic analysis is ongoing with latest dataset
- 2017 pp: $3 < p_T < 30$ GeV
- 2018 PbPb: $6 < p_T < 40$ GeV

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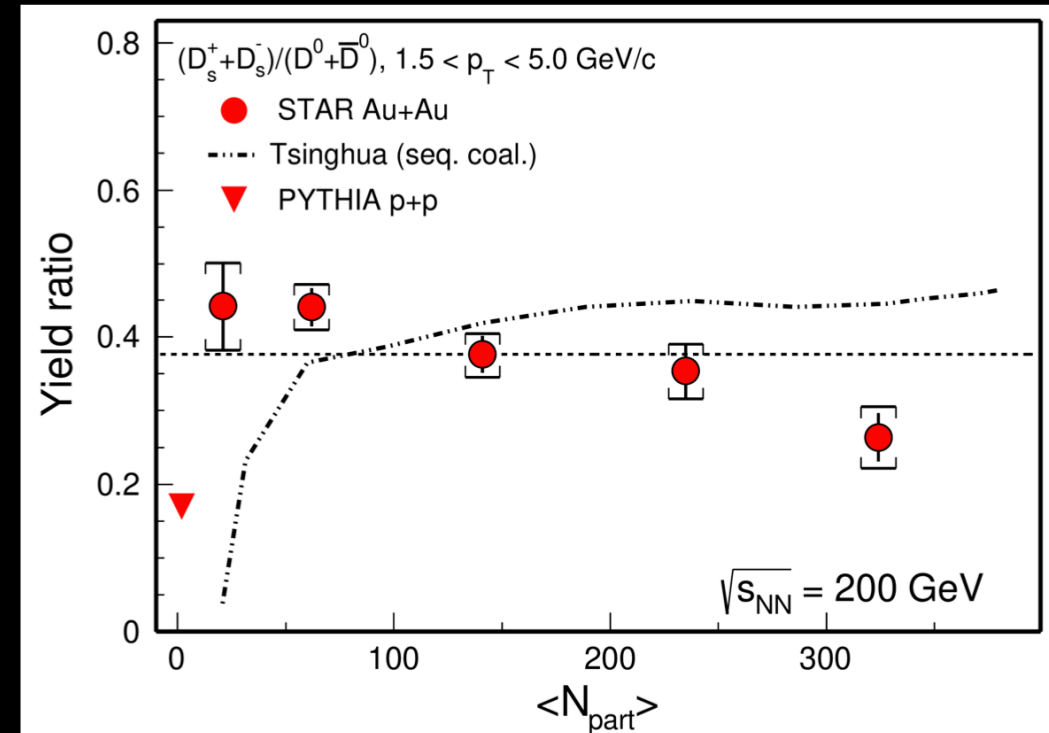
- Λ_c measured in pp and PbPb collisions
 - PYTHIA8 underestimates Λ_c/D^0 in pp
 - CR, coalescence and feed-down from more excited baryons can enhance Λ_c/D^0 in pp
 - Analysis using larger dataset is ongoing

STAR – AuAu

Open Heavy Flavor Production in 200 GeV Au+Au Collisions



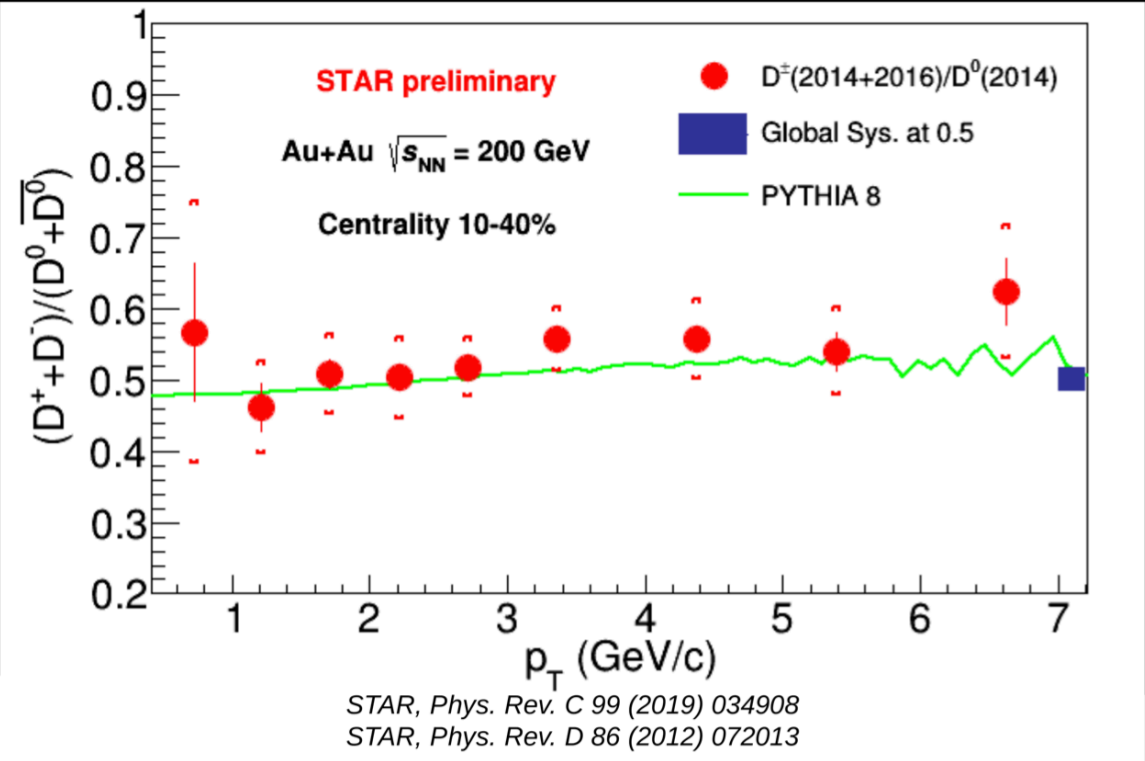
STAR, Phys. Rev. Lett. 124 (2020) 172301
 STAR, Phys. Rev. Lett. 108 (2012) 072301
 STAR, Phys. Rev. Lett. 97 (2006) 152301
 S.Plumari, et al., Eur. Phys. J. C 78 (2018) 348
 C.Bierlich, J.R.Chrisiansen, Phys. Rev. D 92 (2015) 094010



STAR, Phys. Rev. Lett. 127 (2021) 092301
 J.Zhao, et al., Springer Proc.Phys. 250 (2020) 275-278

- Enhancements compared to PYTHIA for both Λ_c and D_s
- Λ_c and D_s significantly contribute to the total charm production
- Coalescence plays an important role in hadronization

Open Heavy Flavor Production in 200 GeV Au+Au Collisions



Similar level of suppression is observed for $D^\pm$ and D^0

Jan Vaněk, Apr 8, 2022
Poster Session 3 T11_3

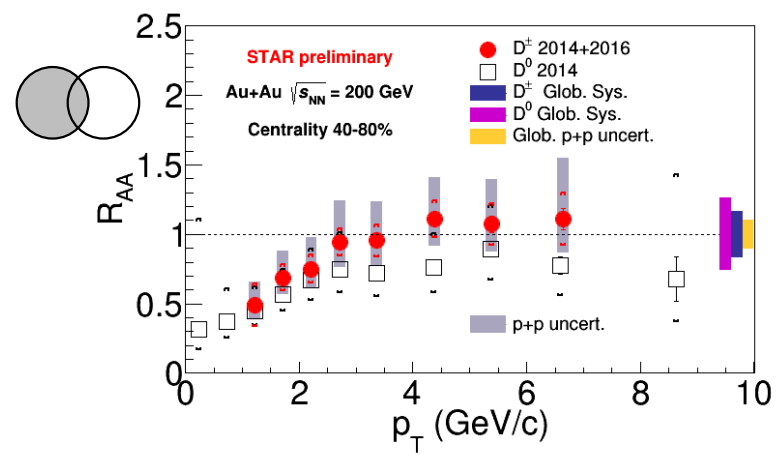
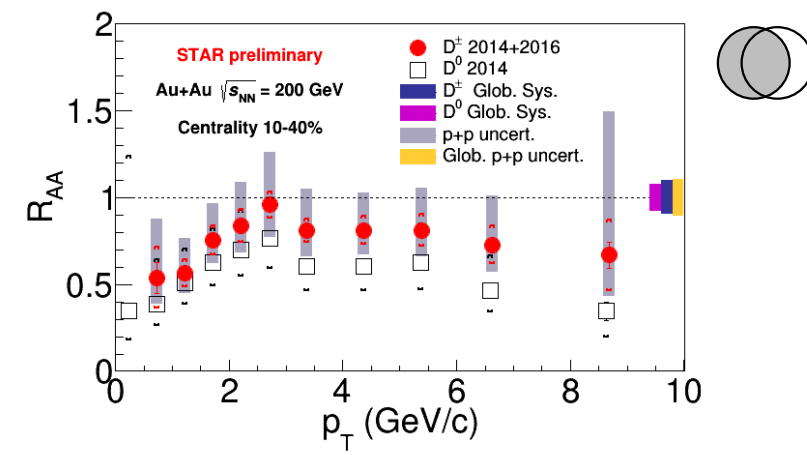
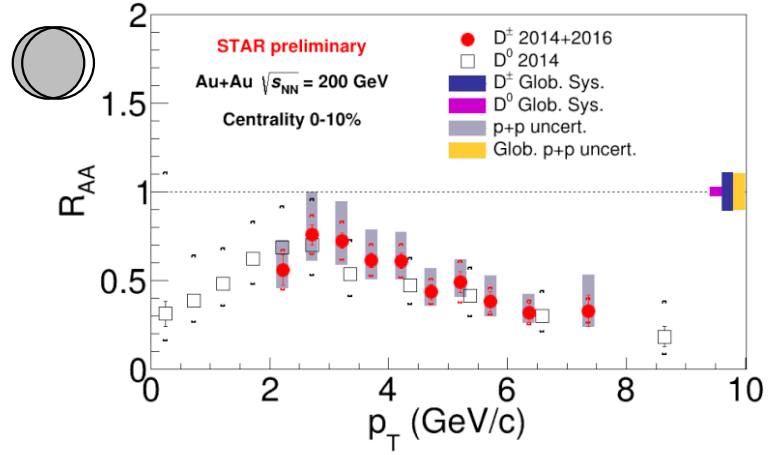
Collision System	Hadron	$d\sigma_{NN}/dy$ [μb]
Au+Au at 200 GeV Centrality: 10-40% $0 < p_T < 8$ GeV/c	D^0 [1]	$39 \pm 1 \pm 1$
	$D^\pm$	$18 \pm 1 \pm 3^*$
	D_s [2]	$15 \pm 2 \pm 4$
	Λ_c [3]	$40 \pm 6 \pm 27^{**}$
	Total	$112 \pm 6 \pm 27$
p+p at 200 GeV [4]	Total	$130 \pm 30 \pm 26$

D^0 [1] STAR, Phys. Rev. C 99 (2019) 034908
 D_s [2] STAR, Phys. Rev. Lett. 127 (2021) 092301
 Λ_c [3] STAR, Phys. Rev. Lett. 124 (2020) 172301
p+p [4] STAR, Phys. Rev. D 86 (2012) 072013

* $D^\pm$ calculated from preliminary invariant yields

**Cross section of Λ_c is calculated based on Λ_c/D^0 yield ratio

D⁰ AND D[±] NUCLEAR MODIFICATION FACTOR



p+p reference (STAR): Phys. Rev. D 86, 072013, (2012)
 D⁰ (STAR): Phys. Rev. C 99, 034908, (2019).

Jan Vanek, QM 2022

▪ Nuclear modification factor:

$$R_{AA}(p_T) = \frac{dN^{AA}/dp_T}{\langle N_{coll} \rangle dN^{pp}/dp_T}$$

- Systematic uncertainty of p+p reference plotted separately for D[±] (grey band), for D⁰ included in brackets
- High- p_T D[±] and D⁰ suppressed in central Au+Au collisions
- Similar level of suppression and centrality dependence for D[±] and D⁰
- **Strong interactions between charm quarks and the medium**

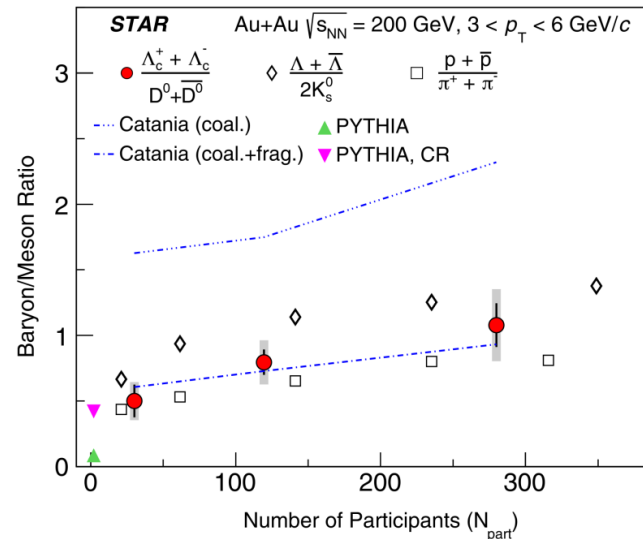
08.04.2022



Λ_c/D^0 AND D_s/D^0 YIELD RATIO ENHANCEMENT

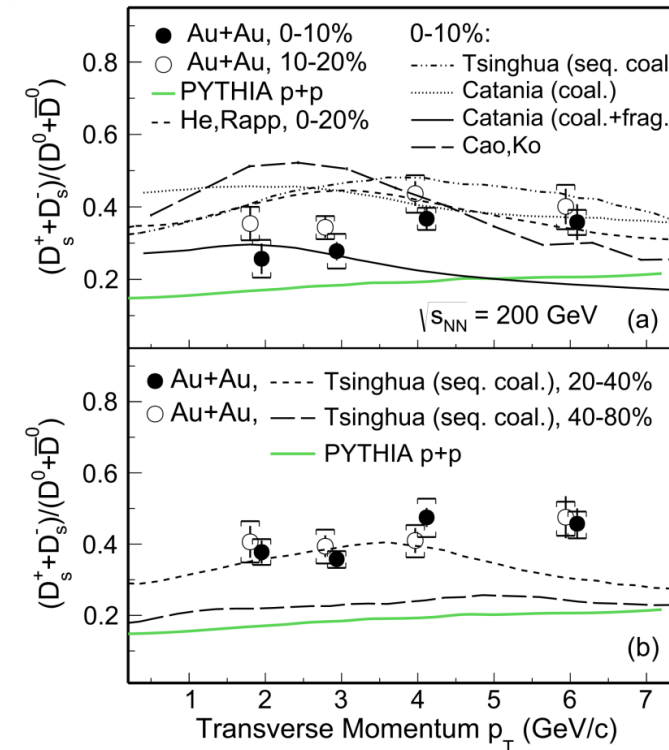


- Λ_c/D^0 and D_s/D^0 yield ratios enhanced in Au+Au collisions with respect to PYTHIA calculations
- **Enhancement consistent with coalescence hadronization of charm quarks in QGP**



Λ_c (STAR): Phys. Rev. Lett. 124, 172301, (2020)
 p/π (STAR): Phys. Rev. Lett. 97, 152301 (2006)
 Λ/K (STAR): Phys. Rev. Lett. 108, 072301 (2012)
 Catania: Eur. Phys. J. C 78, 348, (2018)

Jan Vanek, QM 2022



D_s (STAR): Phys. Rev. Lett. 127, 092301 (2021).
 Catania: Eur. Phys. J. C 78, 348, (2018).
 Tsinghua: arXiv1805.10858, (2018).
 He, Rapp, Phys. Rev. Lett. 124, 042301 (2020)
 Cao, Ko et al.: Phys. Lett. B 807, 135561 (2020).

08.04.2022



TOTAL CHARM PRODUCTION CROSS SECTION



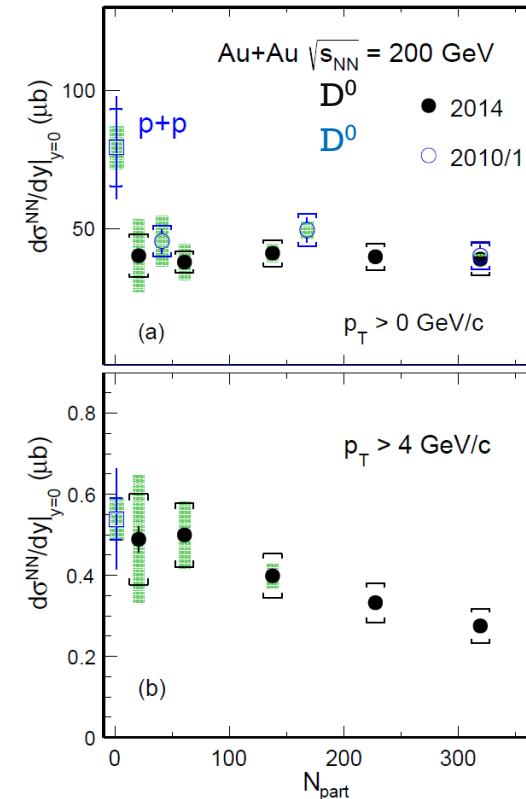
- Total charm production **cross section per binary collision** in Au+Au extracted from the measurements of open-charm hadrons
- The Au+Au result is consistent with that measured in p+p collisions within the uncertainties
- **Redistribution of charm quarks among open – charm hadron species in Au+Au collisions compared to p+p collisions**

Coll. system	Hadron	$d\sigma_{NN}/dy$ [μb]
Au+Au at 200 GeV Centrality: 10-40% $0 < p_T < 8 \text{ GeV}/c$	D^0	$39 \pm 1 \pm 1$
	$D^\pm$	$18 \pm 1 \pm 3$
	D_s	$15 \pm 2 \pm 4$
	Λ_c	$40 \pm 6 \pm 27^*$
	Total:	$112 \pm 6 \pm 27$
p+p at 200 GeV	Total:	$130 \pm 30 \pm 26$

*The Λ_c cross section is derived using the Λ_c/D^0 yield ratio
 $D^\pm$ cross section calculated using preliminary invariant yields
 Remaining cross sections calculated using published results

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Acknowledgement: This research is funded by the project LTT18002 of the Ministry of Education, Youth, and Sport of the Czech Republic



D^0 2014 (STAR): Phys. Rev. C 99, 034908, (2019).
 D^0 2010/11 (STAR): Phys. Rev. Lett. 113, 142301 (2014),
 erratum: Phys. Rev. Lett. 121, 229901 (2018).
 p+p (STAR): Phys. Rev. D 86 072013, (2012).
 D_s (STAR): Phys. Rev. Lett. 127, 092301 (2021).
 Λ_c (STAR): Phys. Rev. Lett. 124, 172301, (2020).

08.04.2022



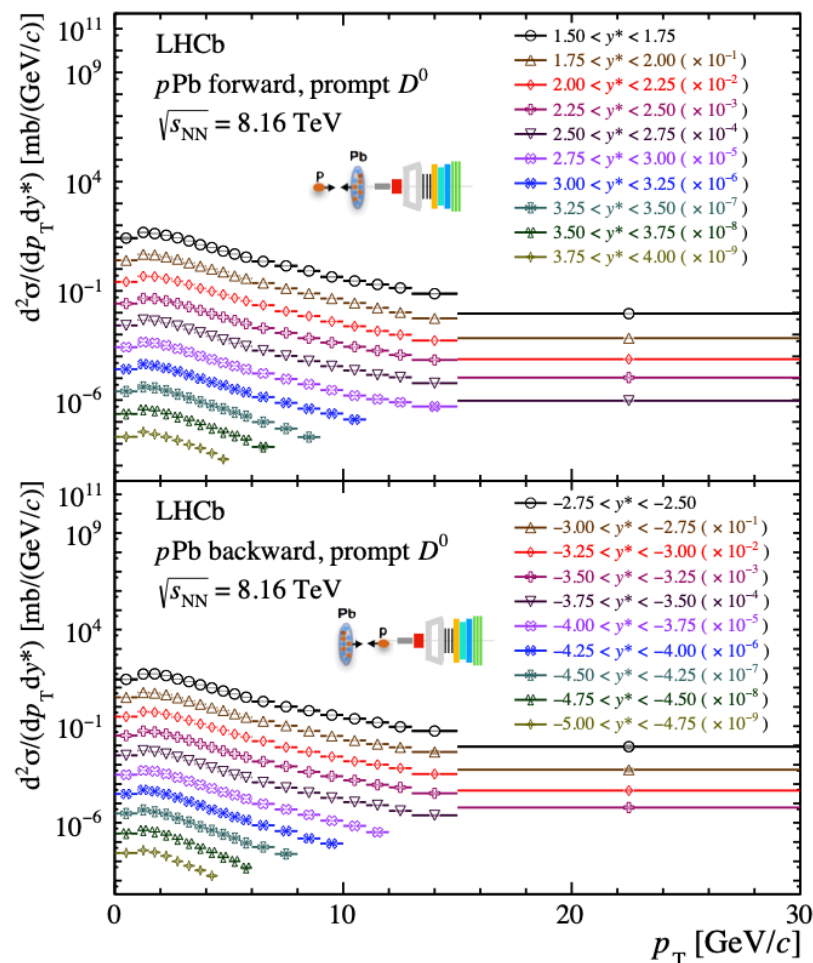
- Open heavy flavor production in Au+Au collisions at $\sqrt{s_{NN}} = 200$ GeV
 - Coalescence plays an important role in hadronization
 - Total charm production cross section per binary nucleon-nucleon collision in Au+Au collisions at $\sqrt{s_{NN}} = 200$ GeV is consistent with that in p+p collisions with a hint of suppression

LHCb – pPb and peripheral PbPb

New

Open-charm production in pPb collisions

LHCb-PAPER-2022-007

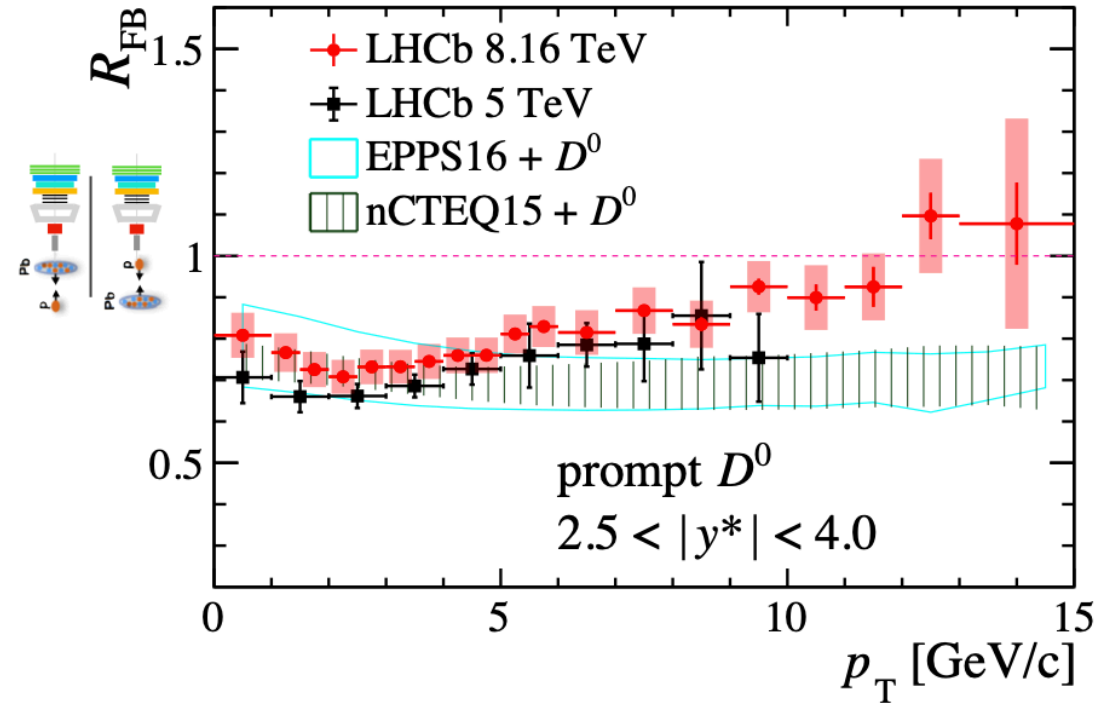
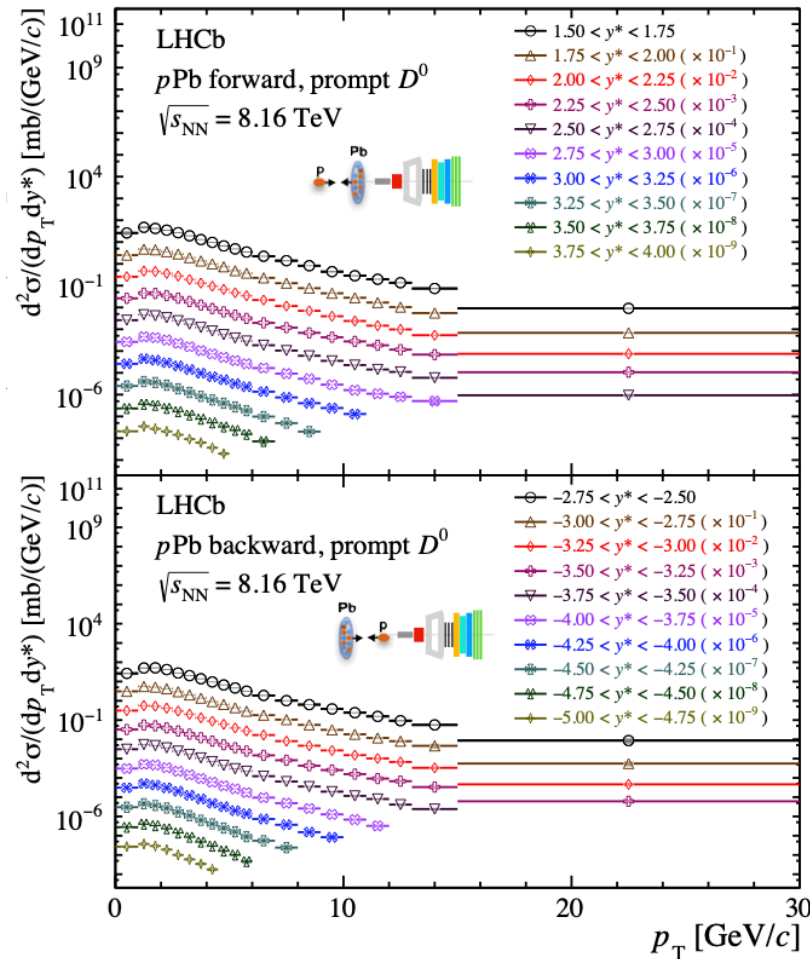


- ❖ **New results for D^0 cross-section** in pPb / Pb p collisions at $\sqrt{s_{NN}} = 8$ TeV **up to $p_T = 30$ GeV/c**.
- ❖ **Improved statistics** by factor 20 compared to previous LHCb results.

New

Open-charm production in pPb collisions

LHCb-PAPER-2022-007

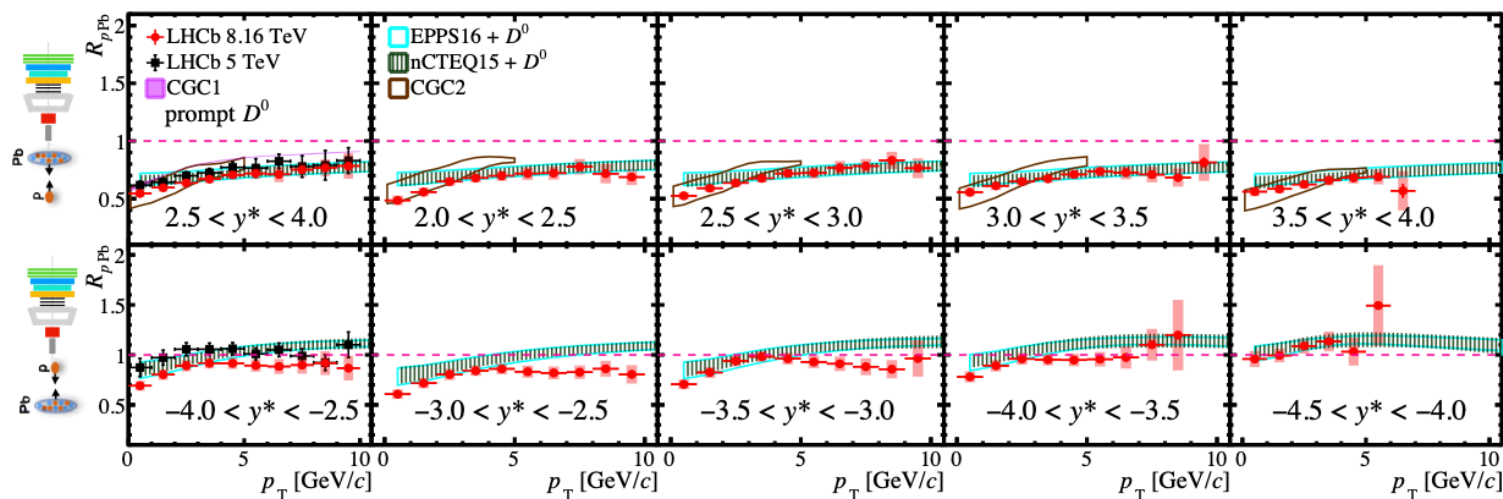
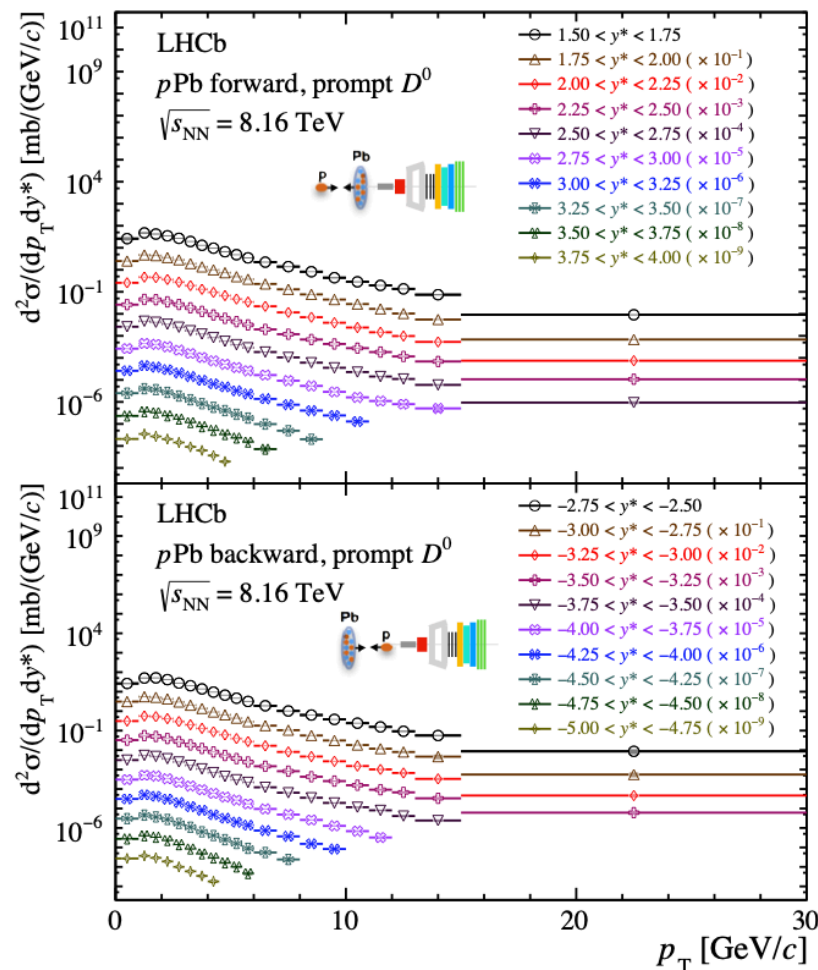


- ❖ Tension between data and theory predictions at high p_T .
- ❖ Additional effect required?

8

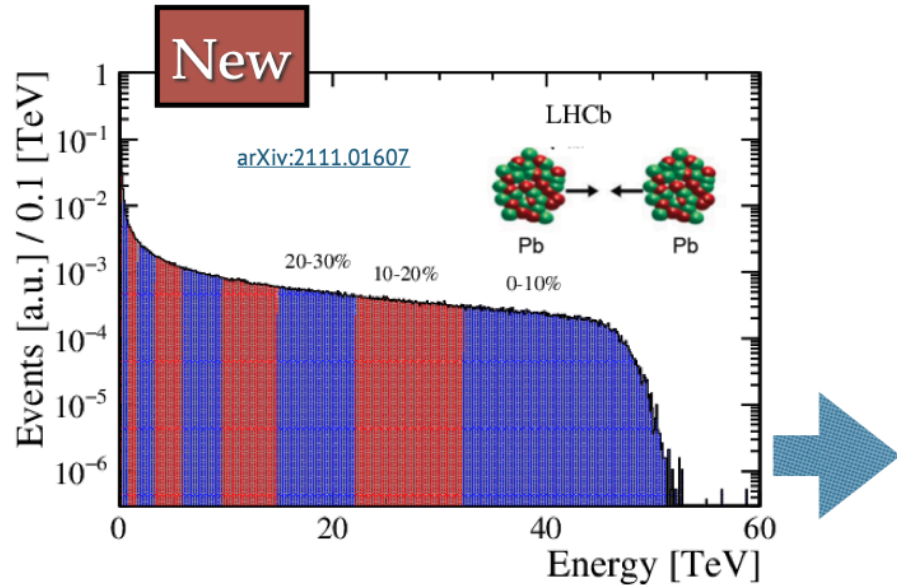
New Open-charm production in pPb collisions

LHCb-PAPER-2022-007



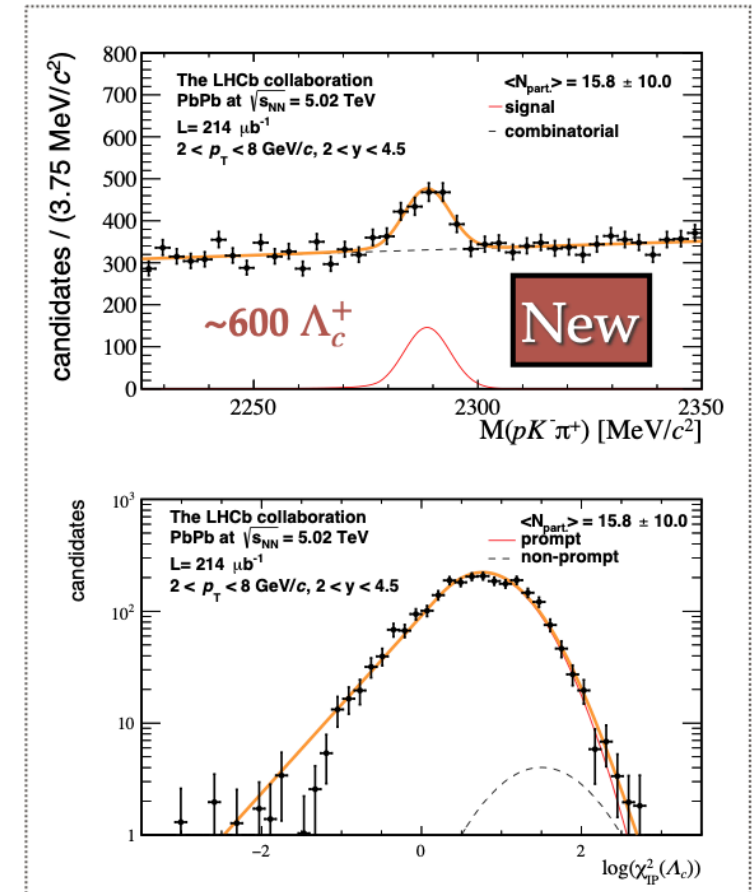
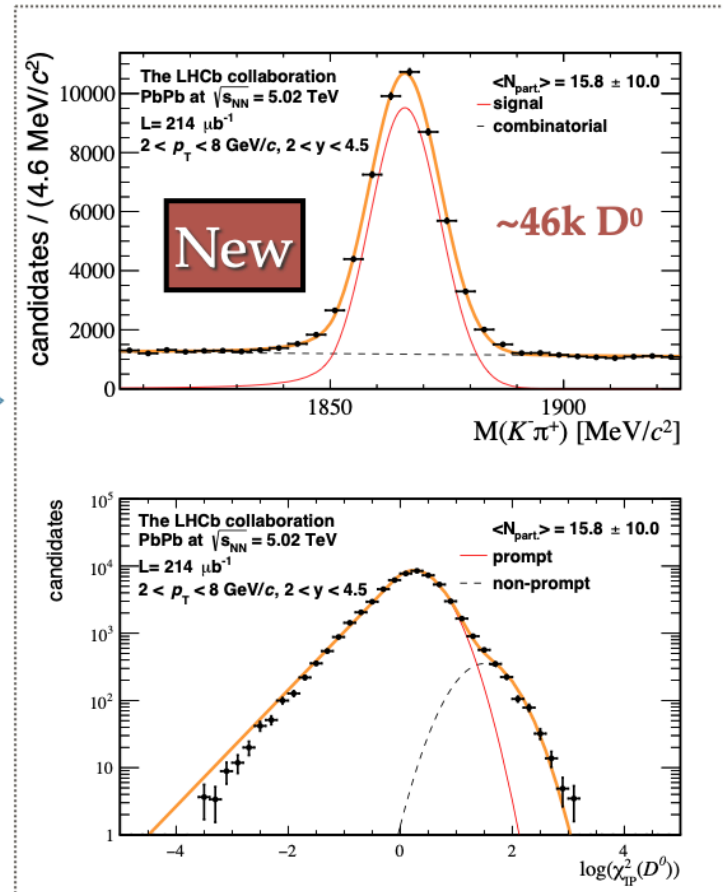
- ❖ Tension between data and theory predictions at high p_T .
- ❖ Additional effect required?

Λ_c^+ -to- D^0 ratio in peripheral PbPb collisions



- Centrality measured in 2018 PbPb collisions at $\sqrt{s_{NN}} = 5.02$ TeV.
- Up to 60% centrality reached in hadronic collisions !

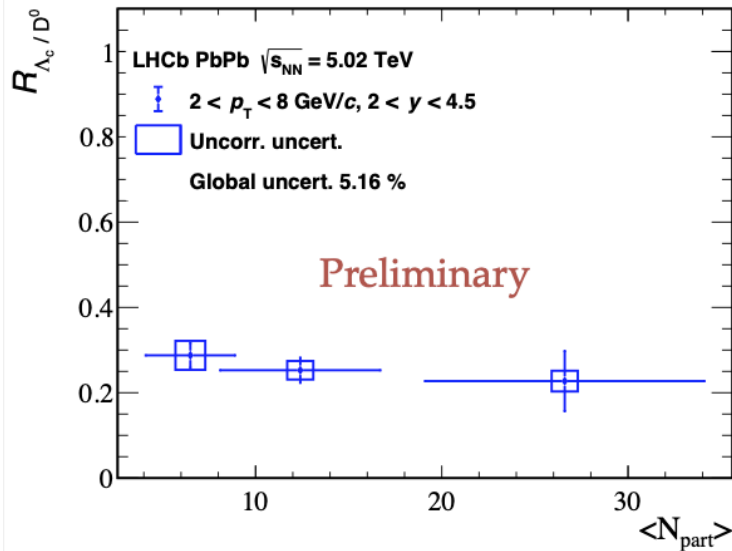
Simultaneous fit



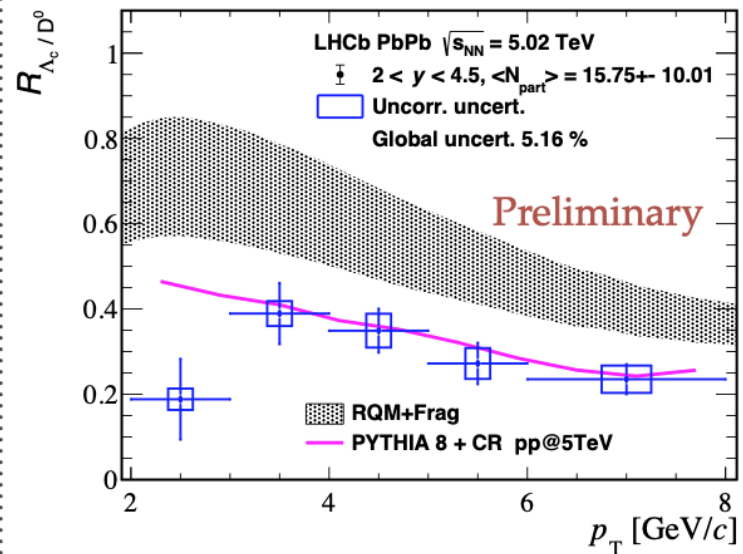
New Λ_c^+ -to- D^0 ratio in peripheral PbPb collisions

LHCb-PAPER-2021-046

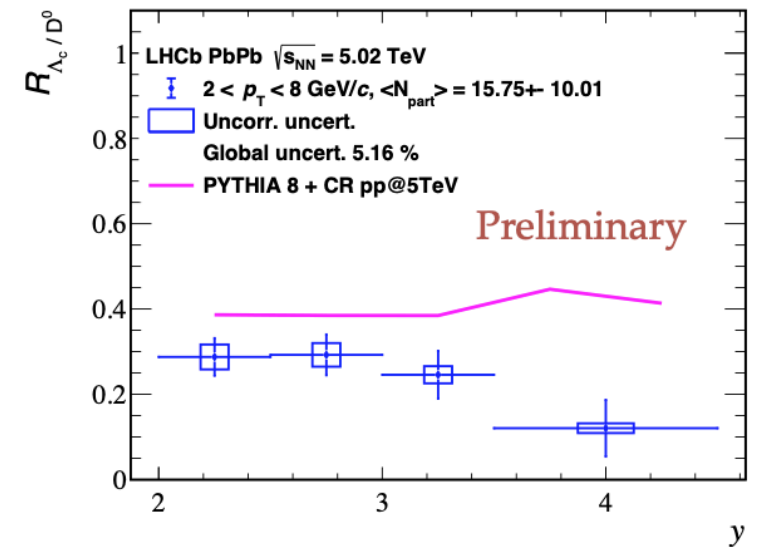
First Λ_c^+ -to- D^0 production ratio measured in peripheral PbPb collisions at forward rapidity.



- Flat dependence versus $\langle N_{part} \rangle$.
- $\langle R_{\Lambda_c/D^0} \rangle \sim 0.27$



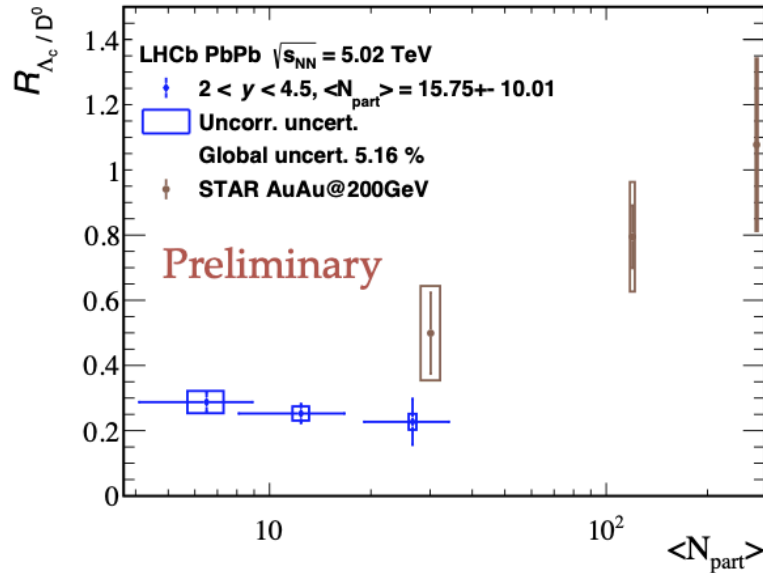
- p_T dependence compatible with a relative enhancement at intermediate p_T .
- Compatible with flat rapidity dependence.
- Comparison to theory predictions:
 - PYTHIA 8 + Colour Reconnection: compatible with data within 3σ .
 - Standard Hadronization Model do not reproduce the data.



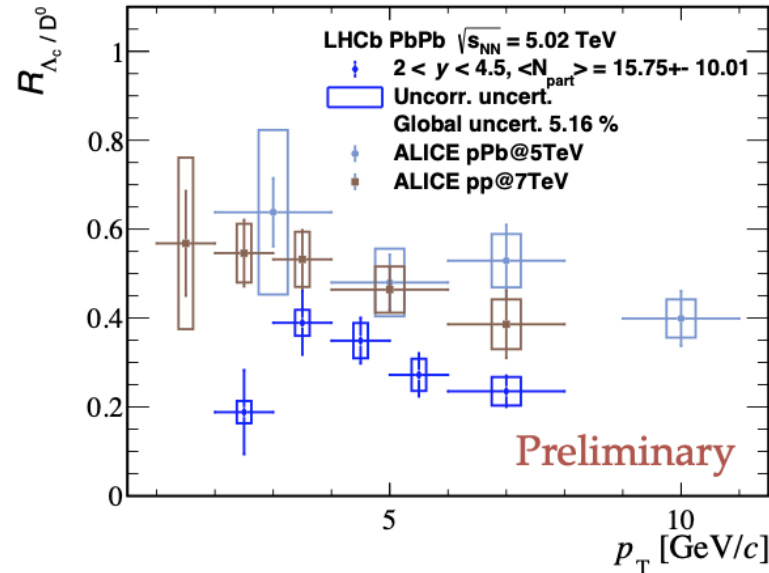
New Λ_c^+ -to- D^0 ratio in peripheral PbPb collisions

LHCB-PAPER-2021-046

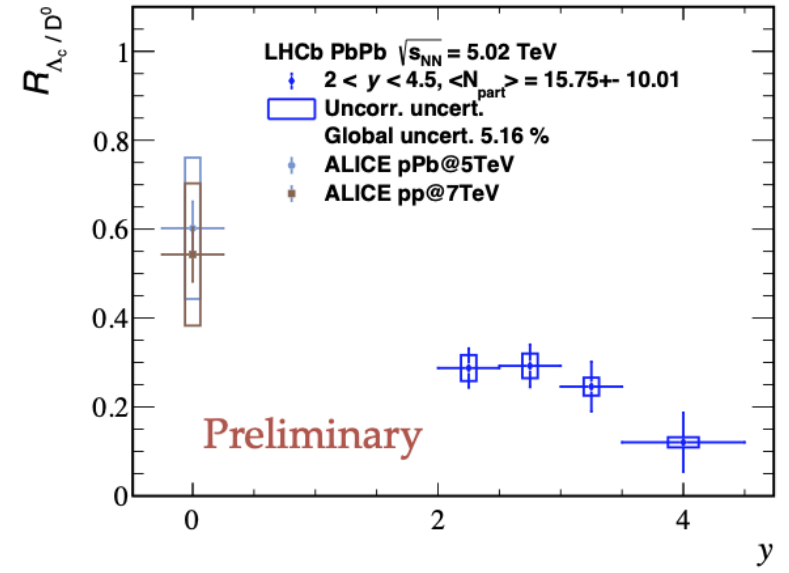
First Λ_c^+ -to- D^0 production ratio measured in peripheral PbPb collisions at forward rapidity.



- Most central point compatible with STAR measurements.
- Rising trend ?



- Similar p_T trend between ALICE and LHCb for $p_T > 4$ GeV/c.



- Difference between LHCb and ALICE data versus rapidity.

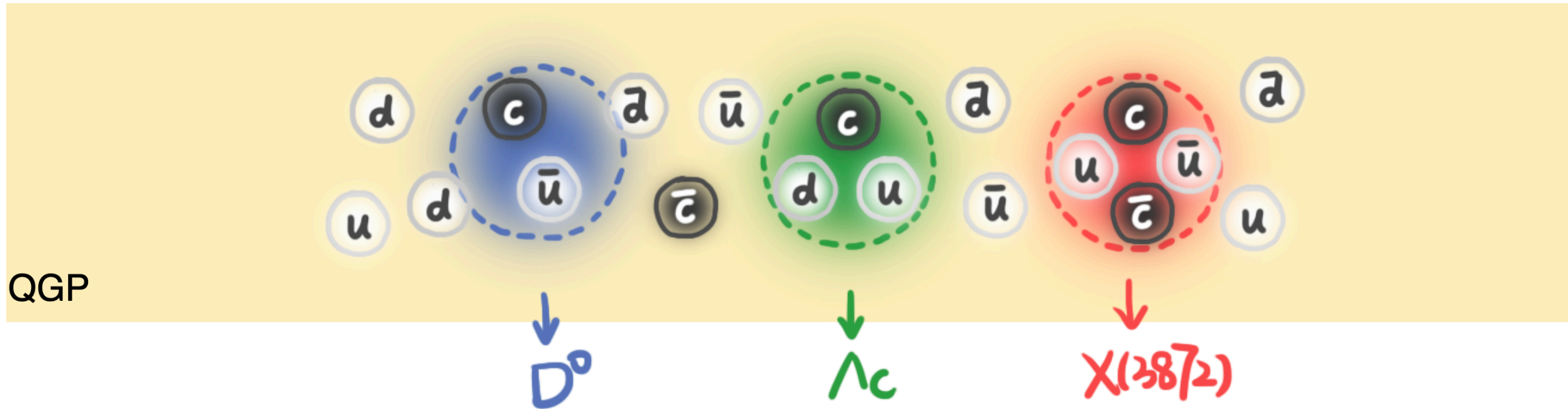
Systematically lower Λ_c^+ -to- D^0 ratio in LHCb compared to ALICE due to different rapidity range confirmed?

Summary of LHCb

- Tension between data and theory predictions at high p_T for D^0 production in pPb collisions.
- Λ_c^+ -to- D^0 ratio in peripheral PbPb collisions compatible to similar measurement in pPb collisions made by LHCb → difference with ALICE remains.

$X(3872)$ -- CMS

Study Coalescence with Exotic Hadrons



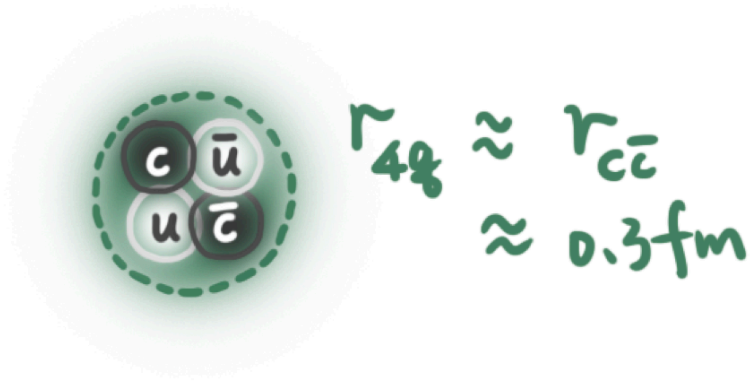
How about one more quark? → $X(3872)$

X(3872) in Heavy-ion Collisions

Not that simple: the inner structure of X(3872) affects its production in HIC

Tetraquark

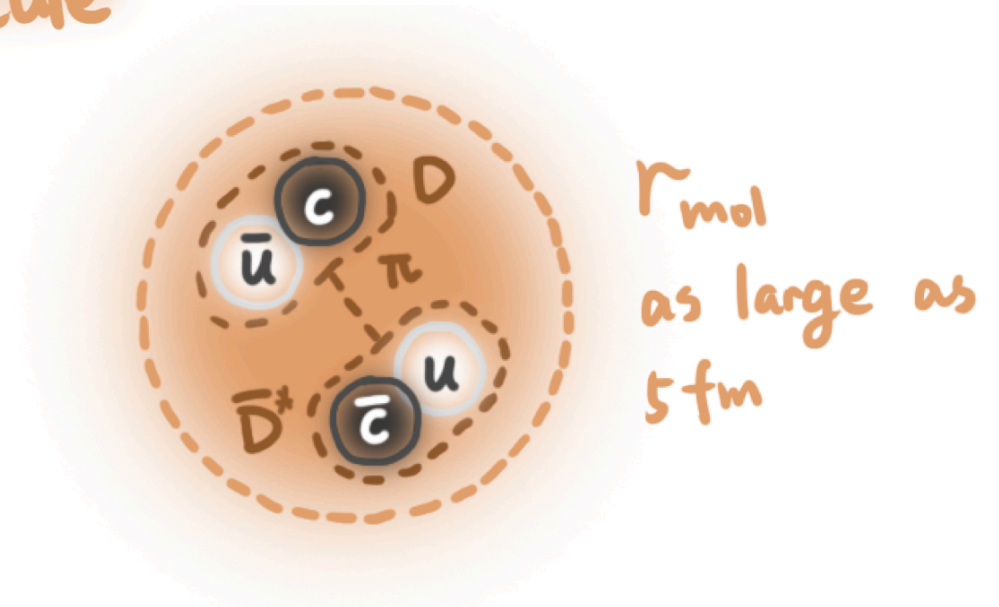
Tightly bound
Small radius



Compact four quark state

Hadron molecule

Loosely bound
Large radius

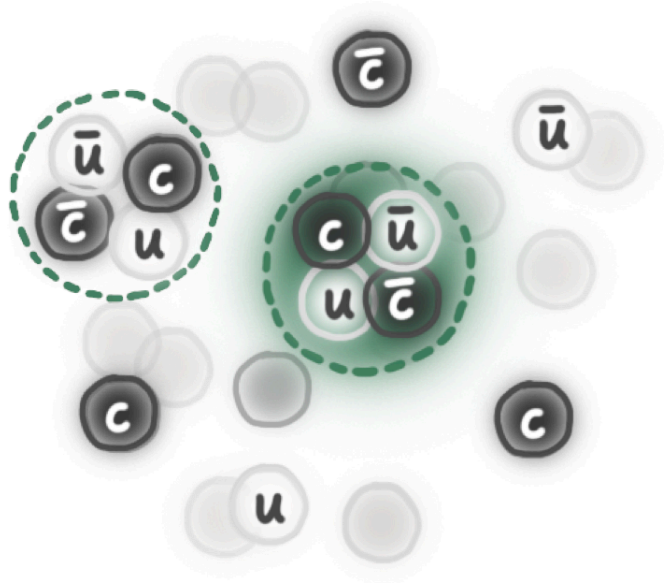


D- $\bar{D}^*$ hadron molecule

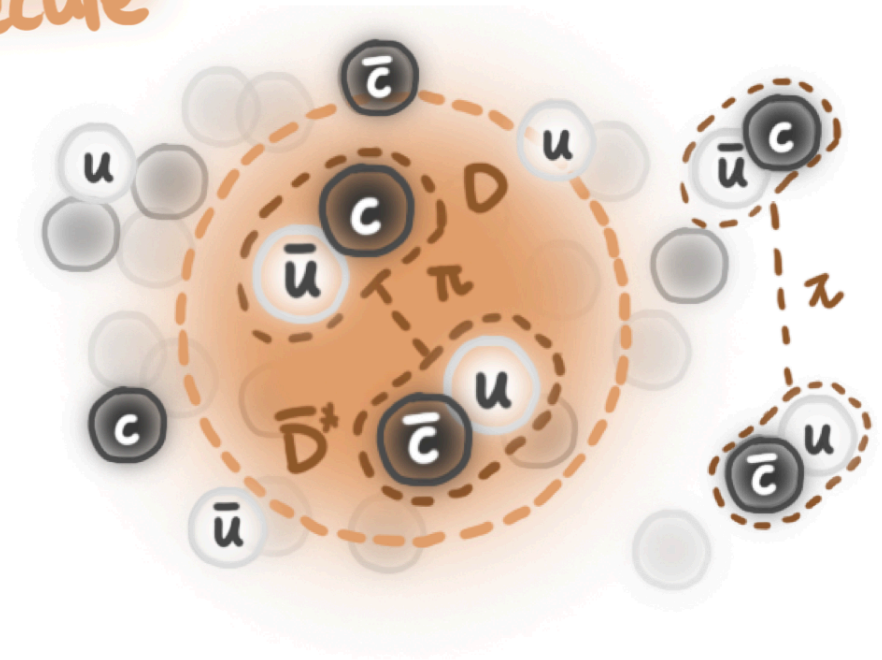
X(3872) in HIC (1/2): Coalescence

- Coalescence with particles in HIC → Enhance X(3872)

Tetraquark **Tightly bound**
Small radius



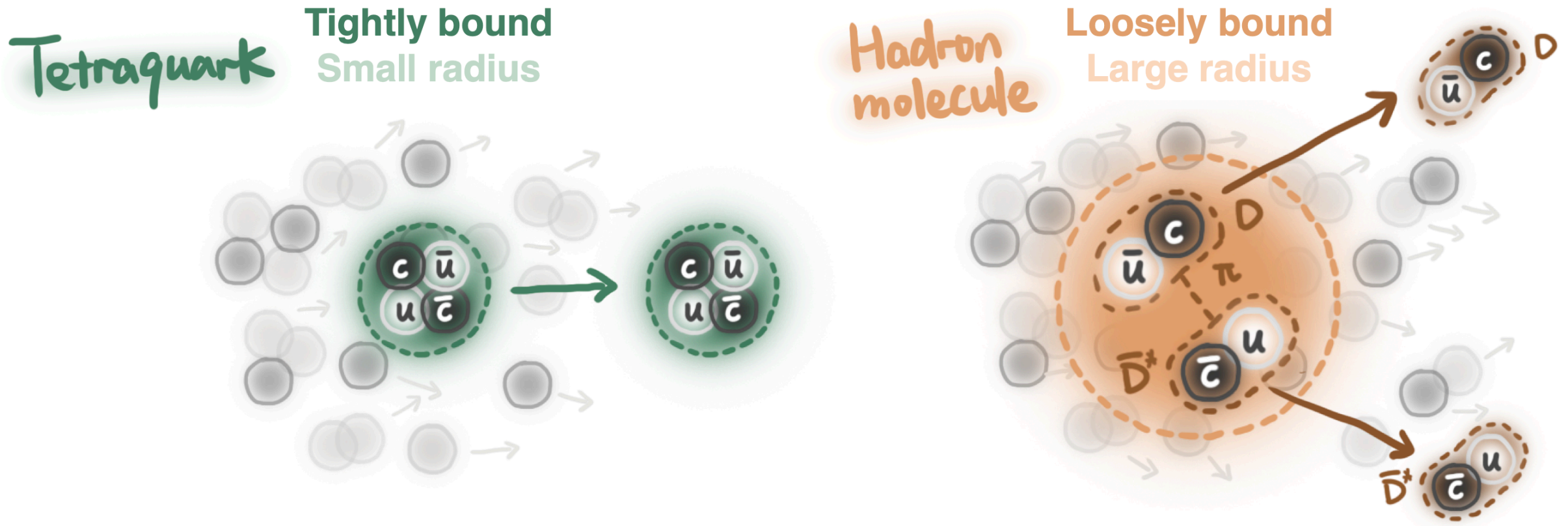
Hadron molecule **Loosely bound**
Large radius



Coalescence probability depends on X(3872) inner structure

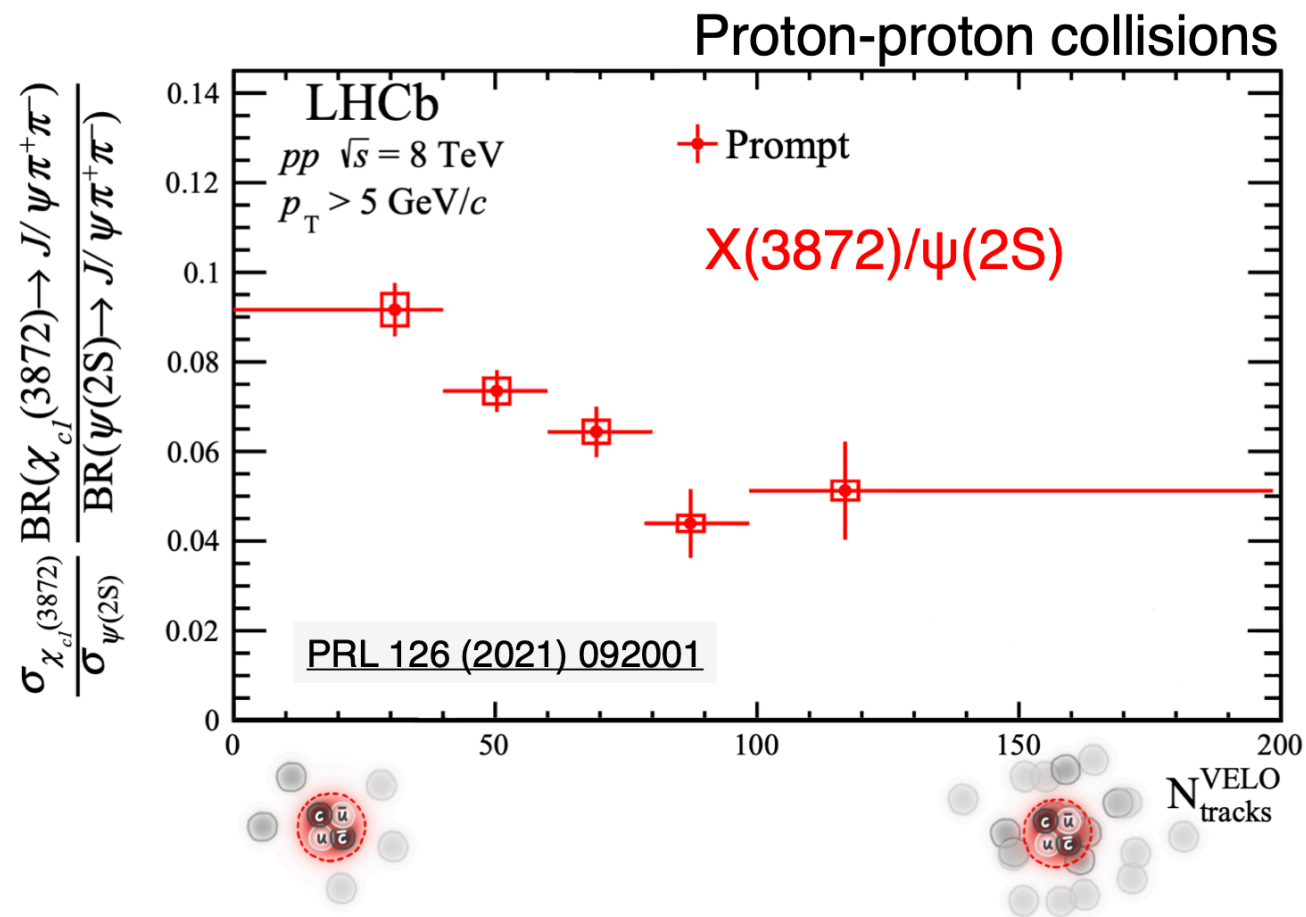
X(3872) in HIC (2/2): Breakup

- Breakup by comoving particles → Suppress X(3872)
- Coalescence with particles in HIC → Enhance X(3872)



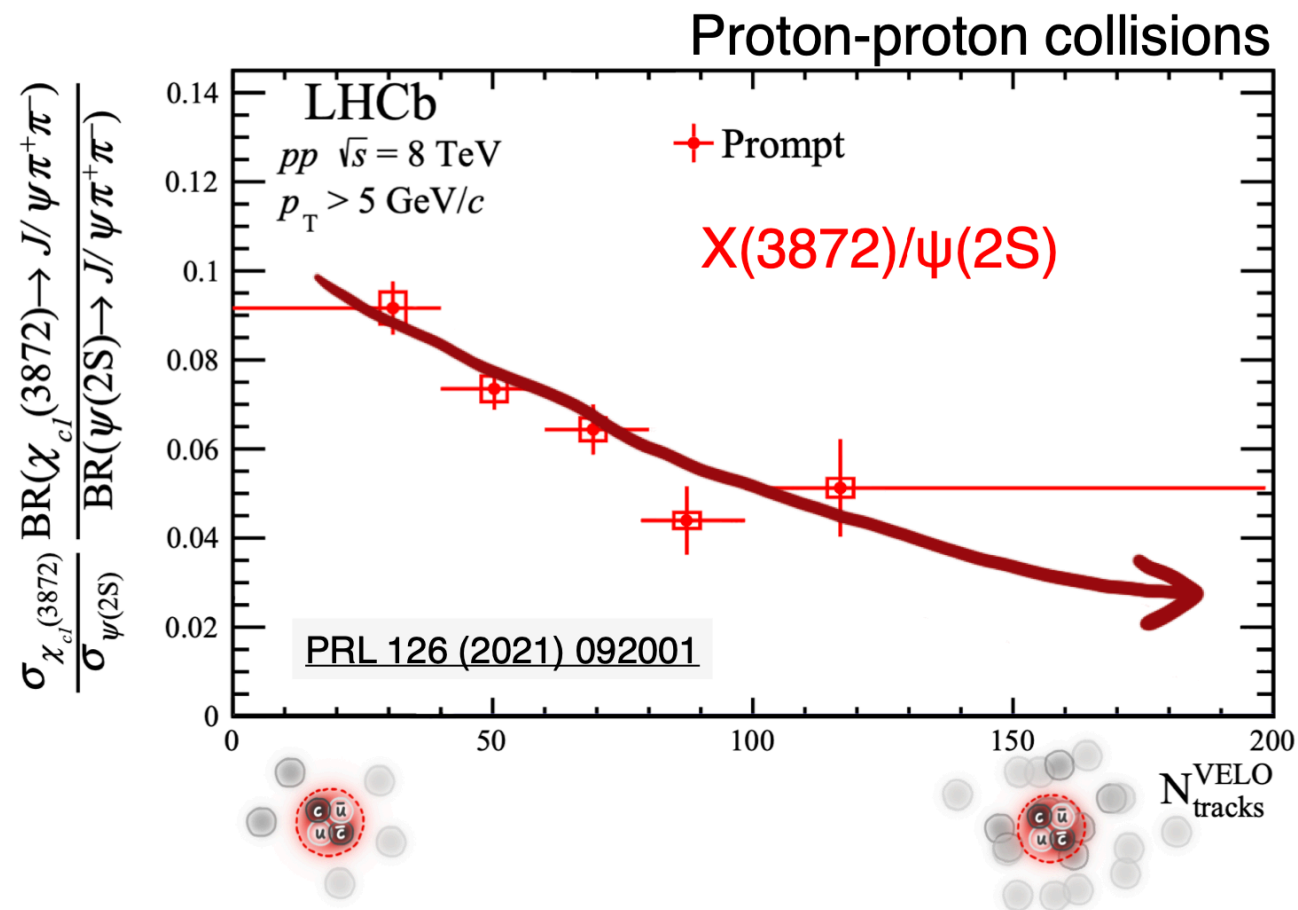
Dissociation probability depends on **X(3872)** inner structure

X(3872) in High-Multiplicity pp Collisions



X(3872) in High-Multiplicity pp Collisions

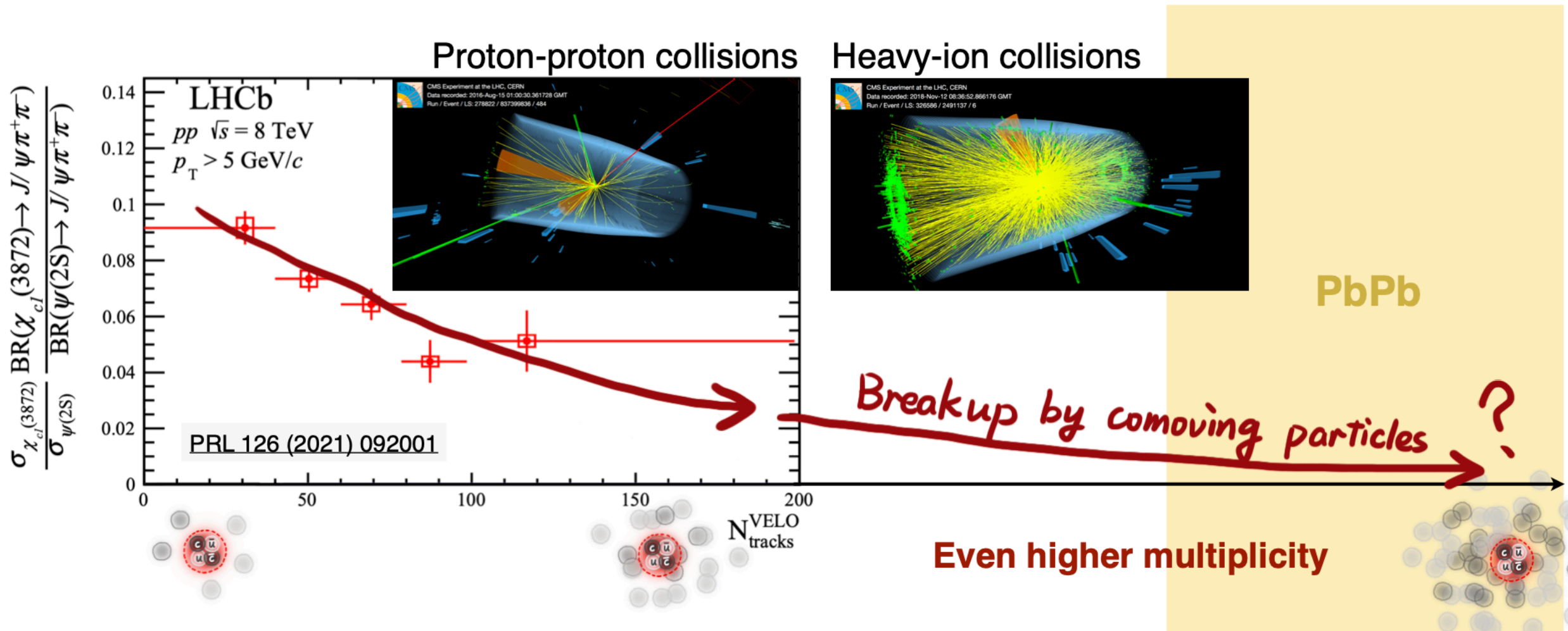
- Breakup by comoving particles → Suppress X(3872)



- Destroyed by comoving particles due to smaller binding energy than $\psi(2S)$?

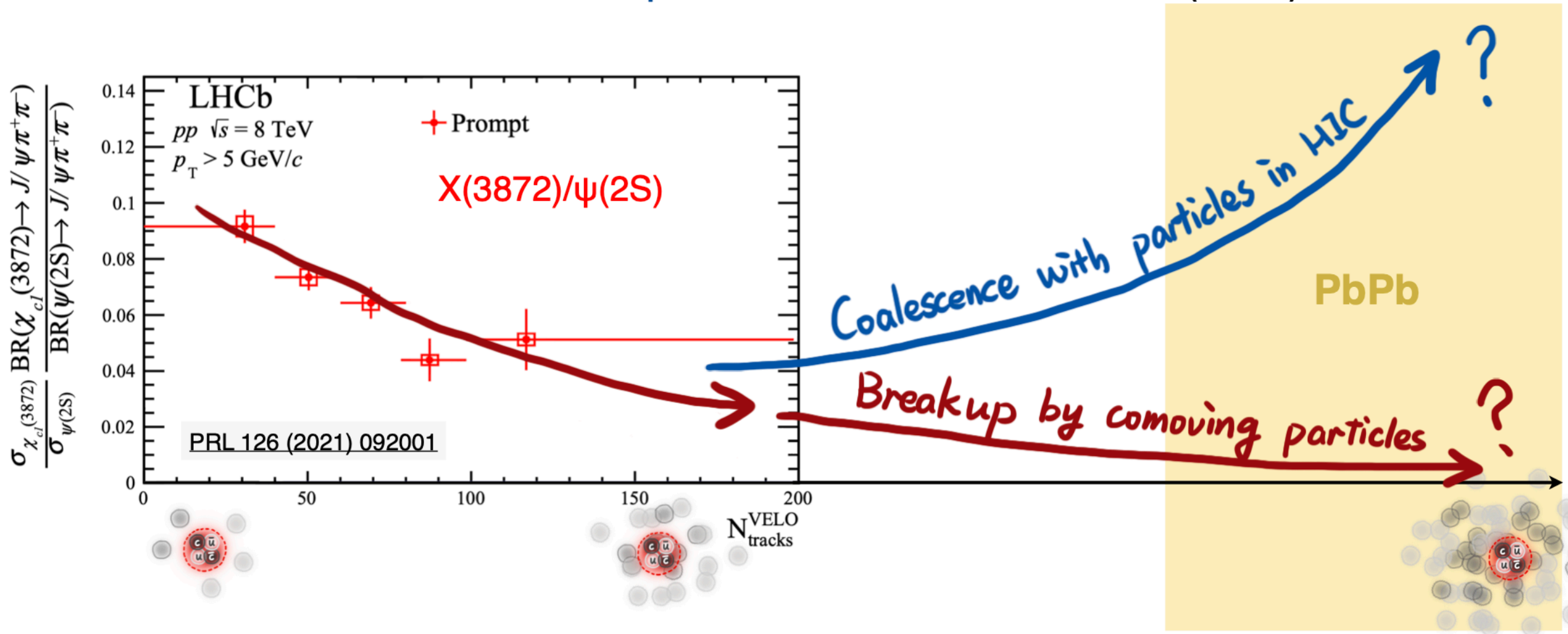
X(3872) in Heavy-ion Collisions

- Breakup by comoving particles → Suppress X(3872)

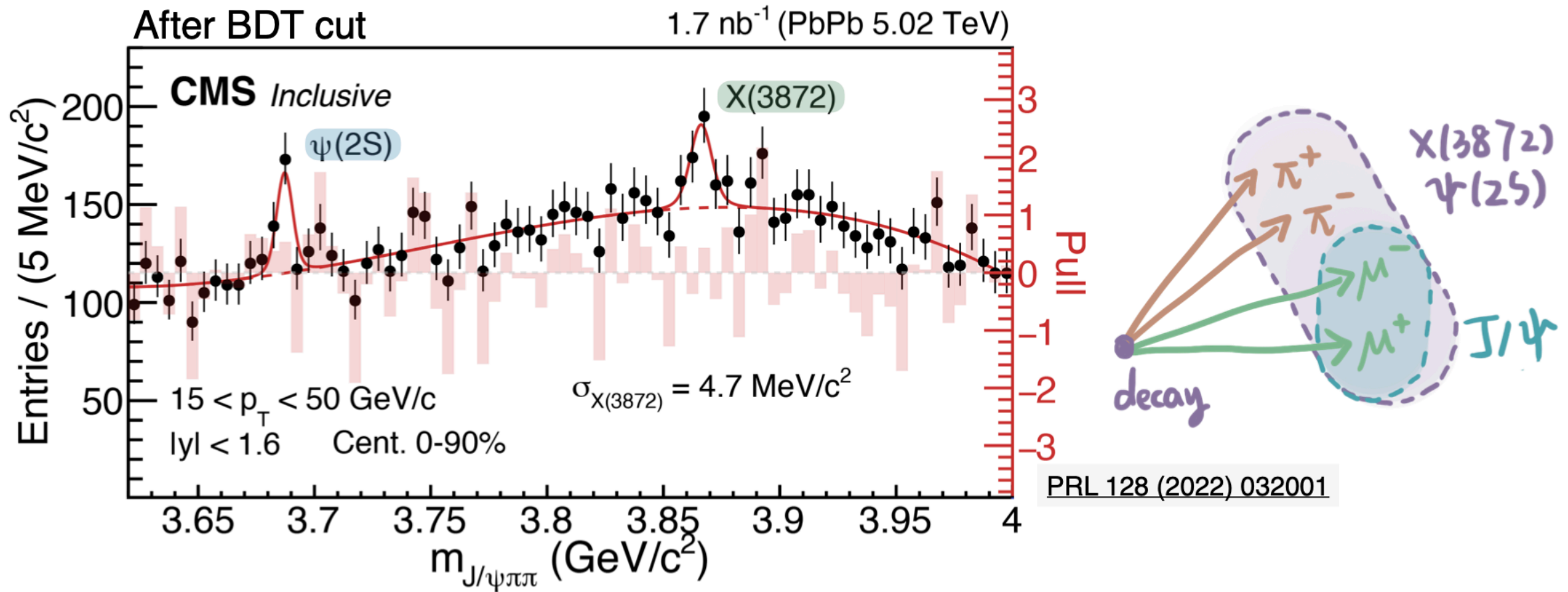


X(3872) in Heavy-ion Collisions

- Breakup by comoving particles → Suppress X(3872)
- Coalescence with particles in HIC → Enhance X(3872)

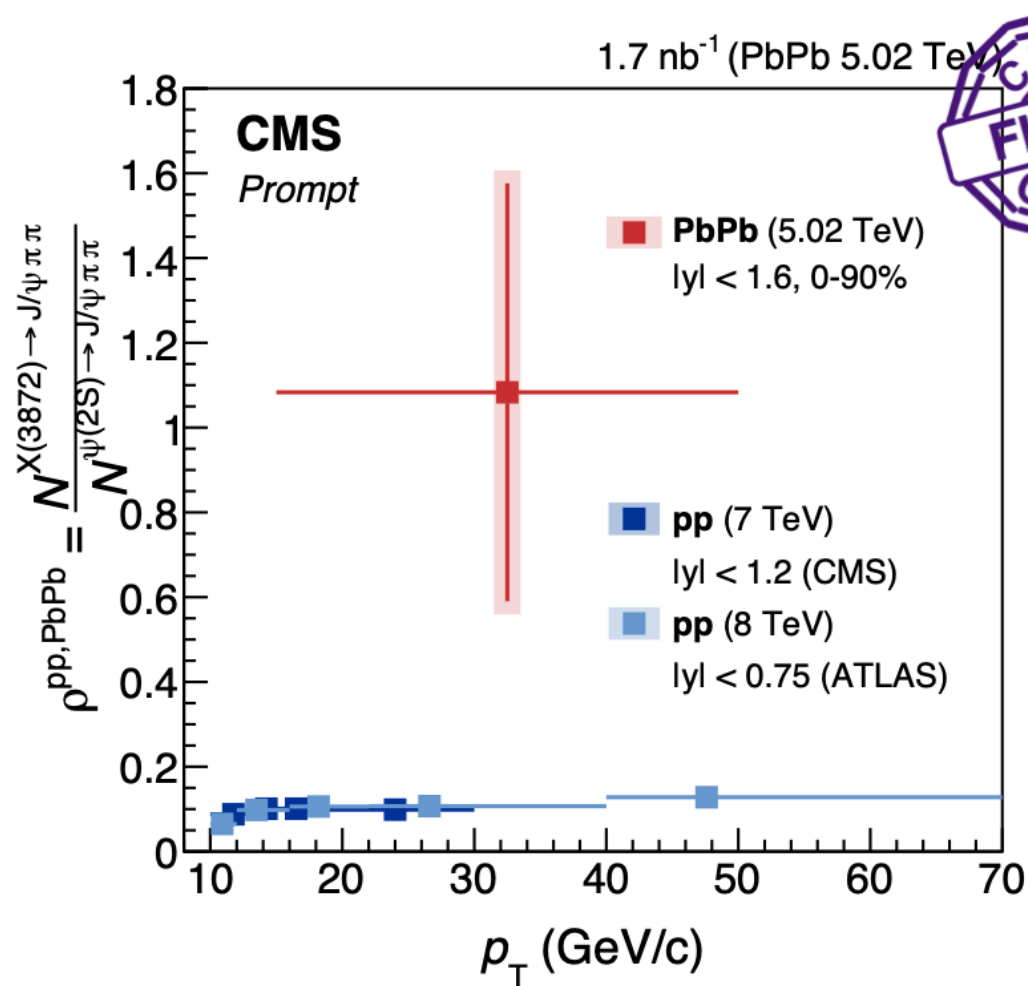


X(3872) Signals



- First evidence of X(3872) production in heavy ion collisions!
→ Statistical significance $\sim 4.2\sigma$

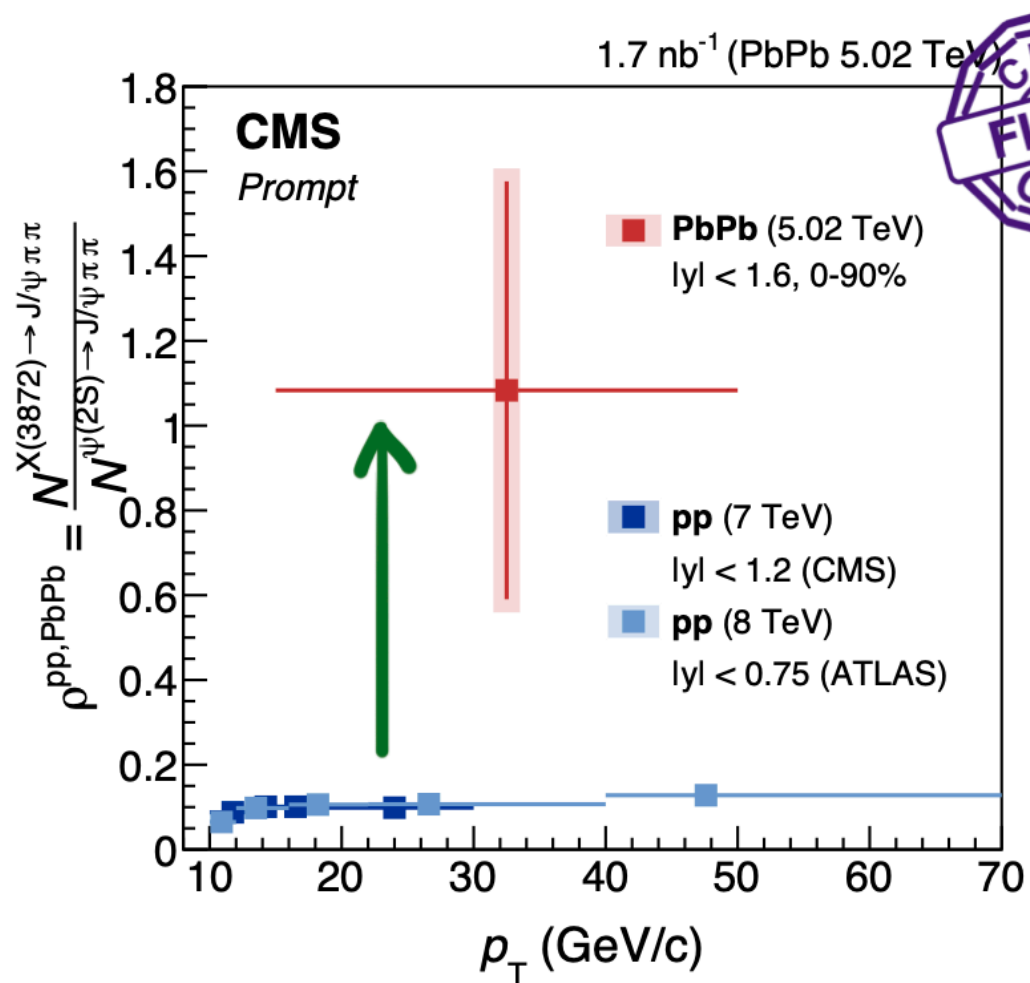
X(3872)/ $\psi(2S)$ Ratio in PbPb



- X(3872) to $\psi(2S)$ ratio
 $\rho^{PbPb} = 1.08 \pm 0.49$ (stat.) ± 0.52 (syst.)

PRL 128 (2022) 032001

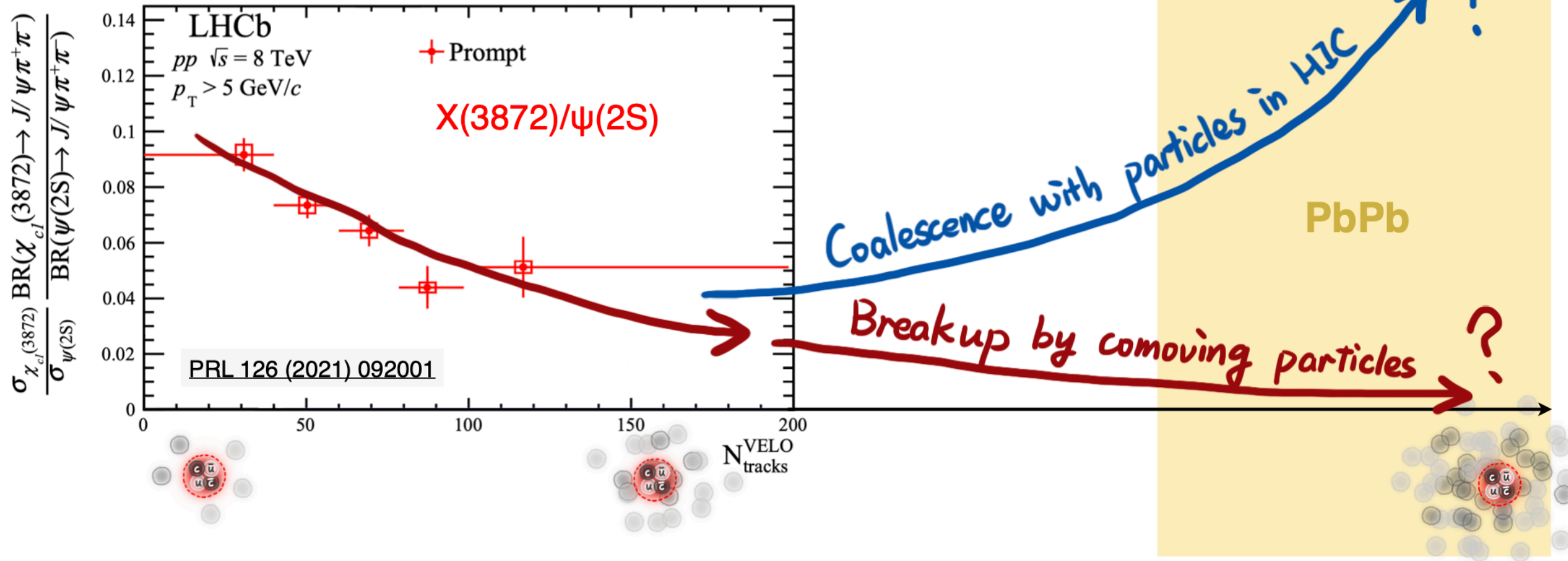
X(3872)/ $\psi(2S)$ Ratio in PbPb



- Indication of **p enhancement in PbPb** w.r.t to **pp**
- Better precision needed to draw conclusion

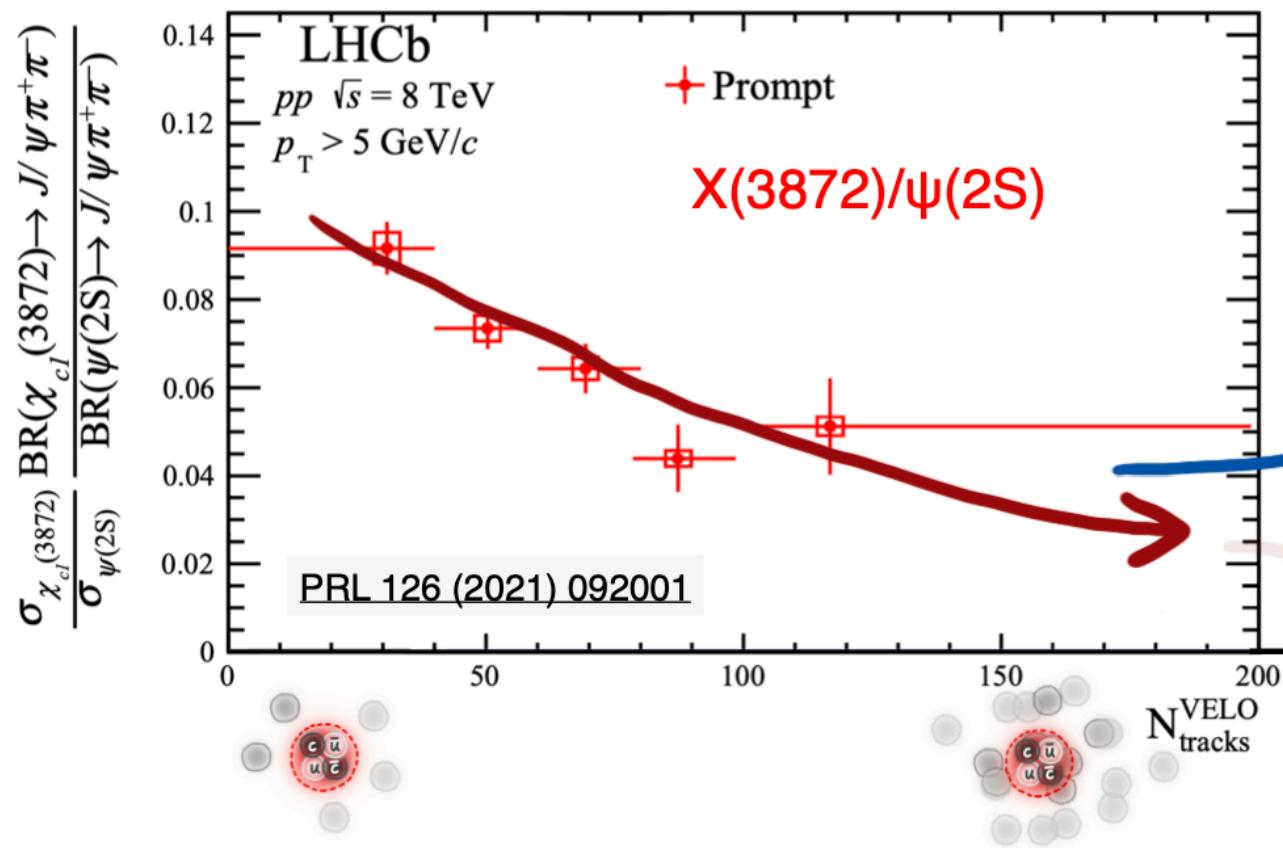
PRL 128 (2022) 032001

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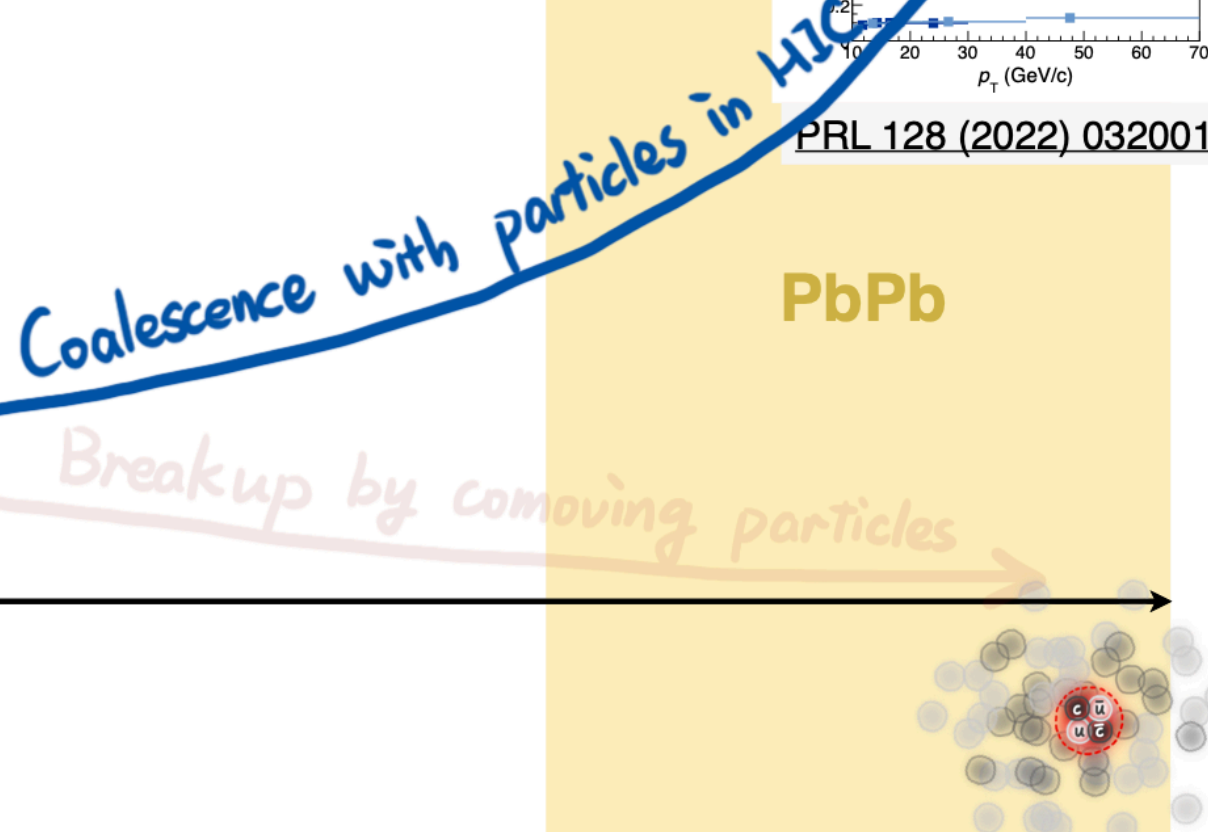


X(3872)/ $\psi(2S)$ Ratio in PbPb

Coalescence seems to play important role in PbPb

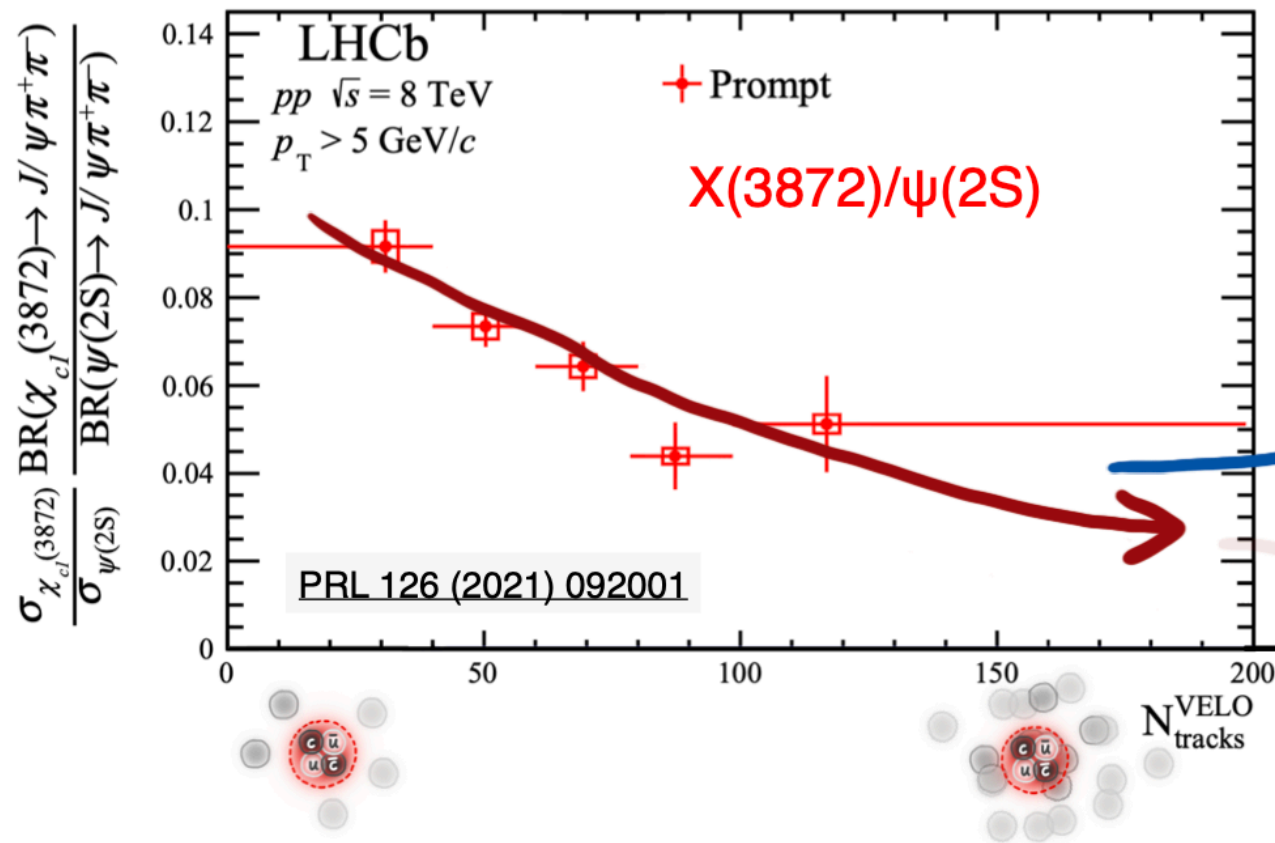


$$\rho^{\text{PbPb}} = 1.08 \pm 0.49 \text{ (stat.)} \pm 0.52 \text{ (syst.)}$$



X(3872)/ $\psi(2S)$ Ratio in Different Systems

Coalescence seems to play important role in PbPb

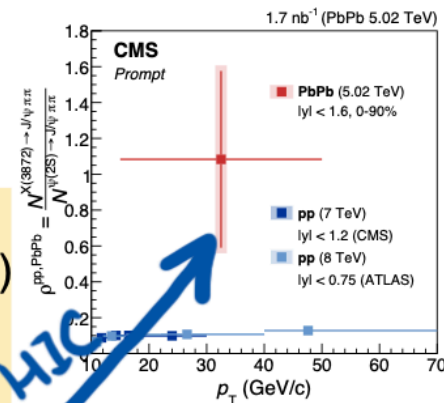


$$\rho^{\text{PbPb}} = 1.08 \pm 0.49 \text{ (stat.)} \pm 0.52 \text{ (syst.)}$$

Coalescence with particles in HIC

Breakup by comoving particles

pPb
 LHCb-CONF-2022-001



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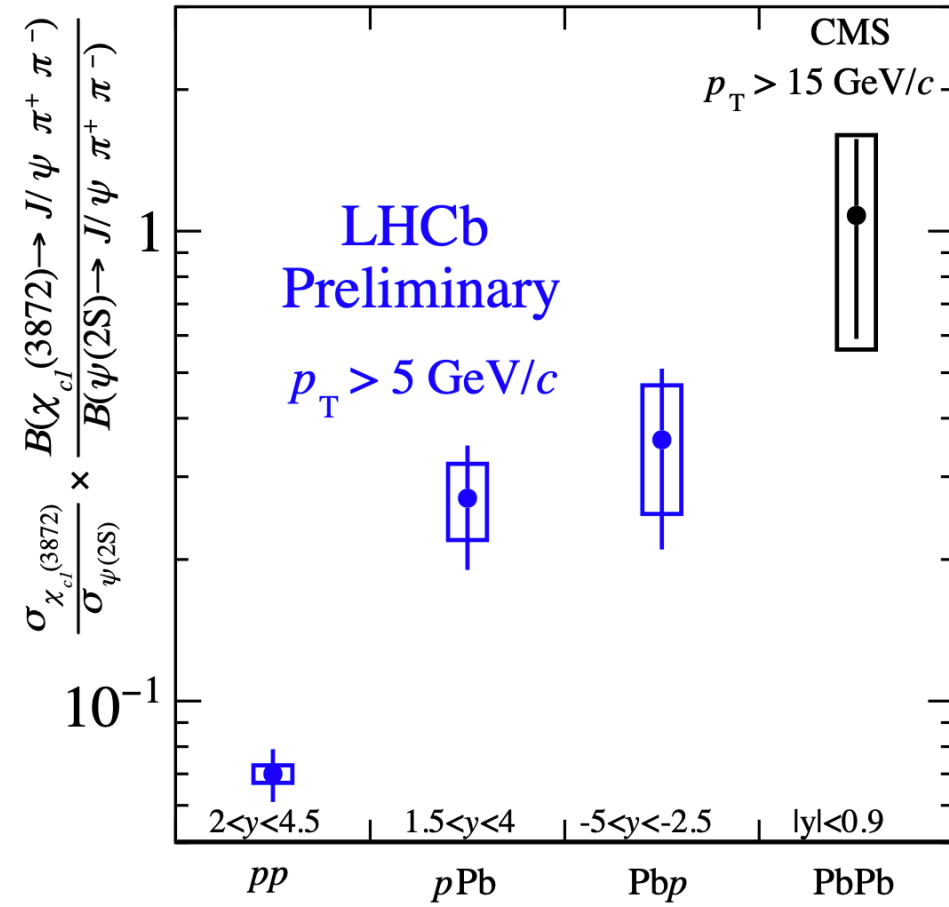
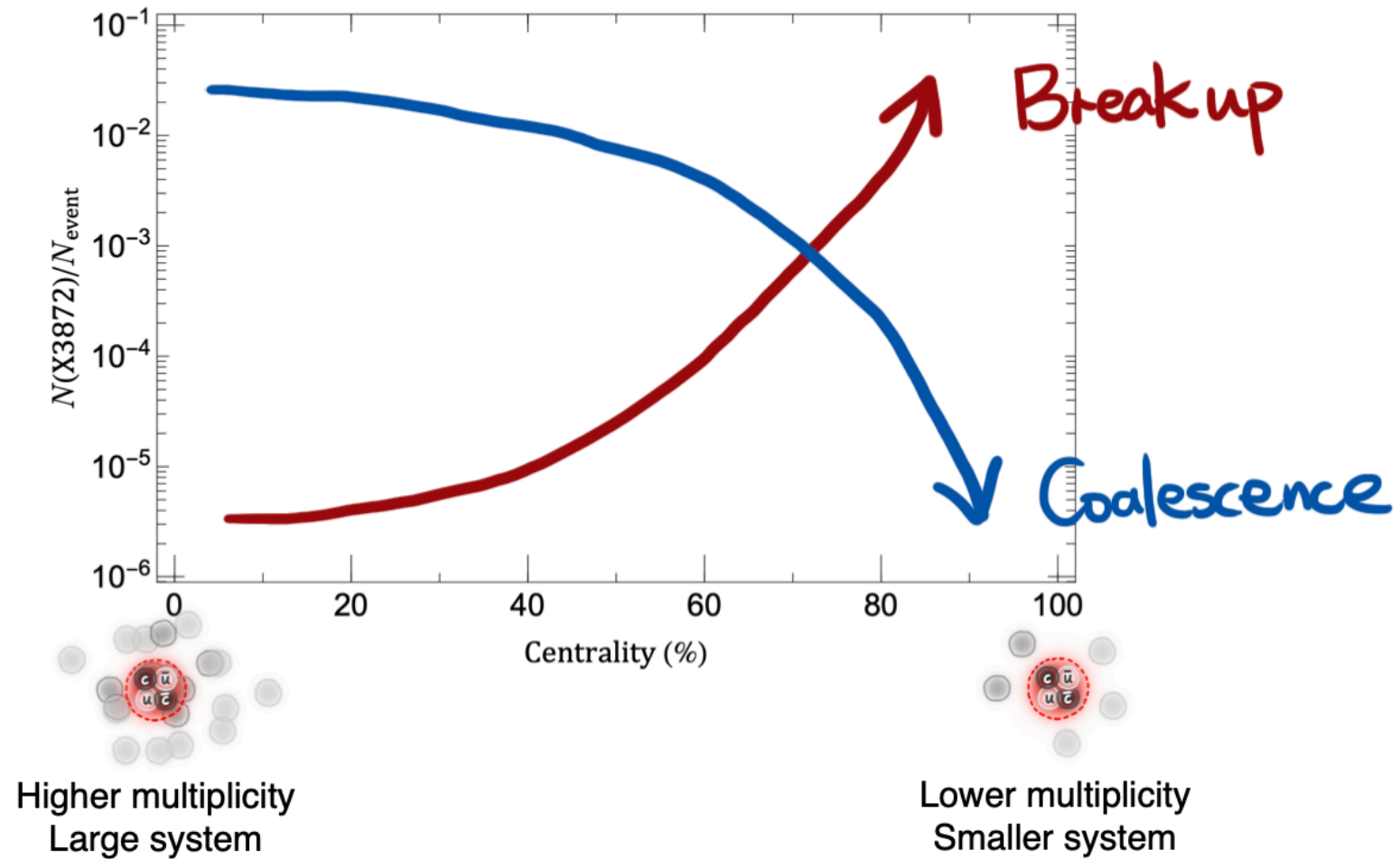


Figure 2: The ratio of $\chi_{c1}(3872)$ to $\psi(2S)$ cross-sections in the $J/\psi \pi^+ \pi^-$ decay channel, measured in pp [2], pPb , $PbPb$, and $PbPb$ [5] collisions. The error bars (boxes) represent the statistical (systematic) uncertainties on the ratio.

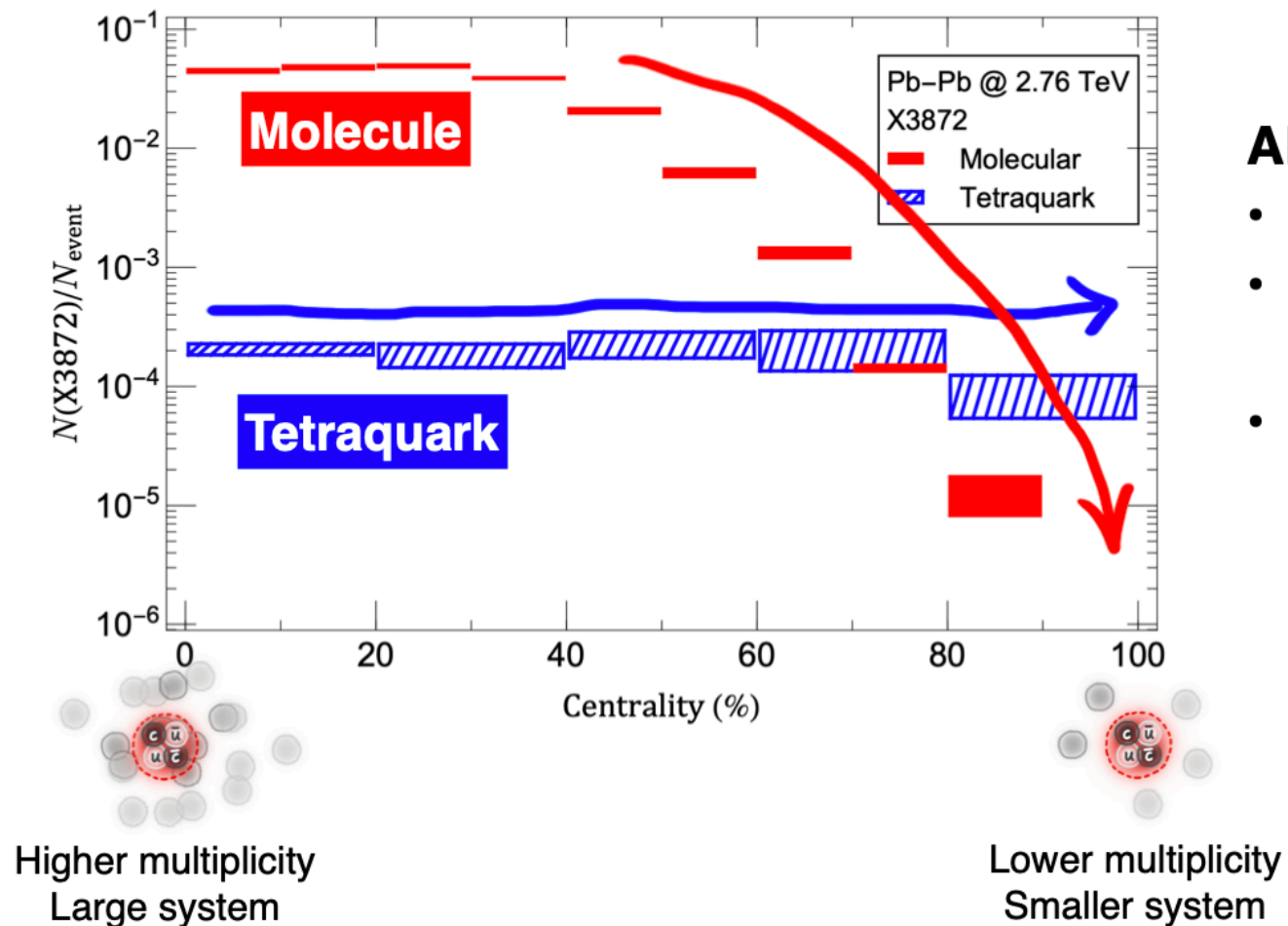
Theoretical calculation (1)

X(3872) production vs. centrality



Theoretical calculation (2)

X(3872) production vs. centrality



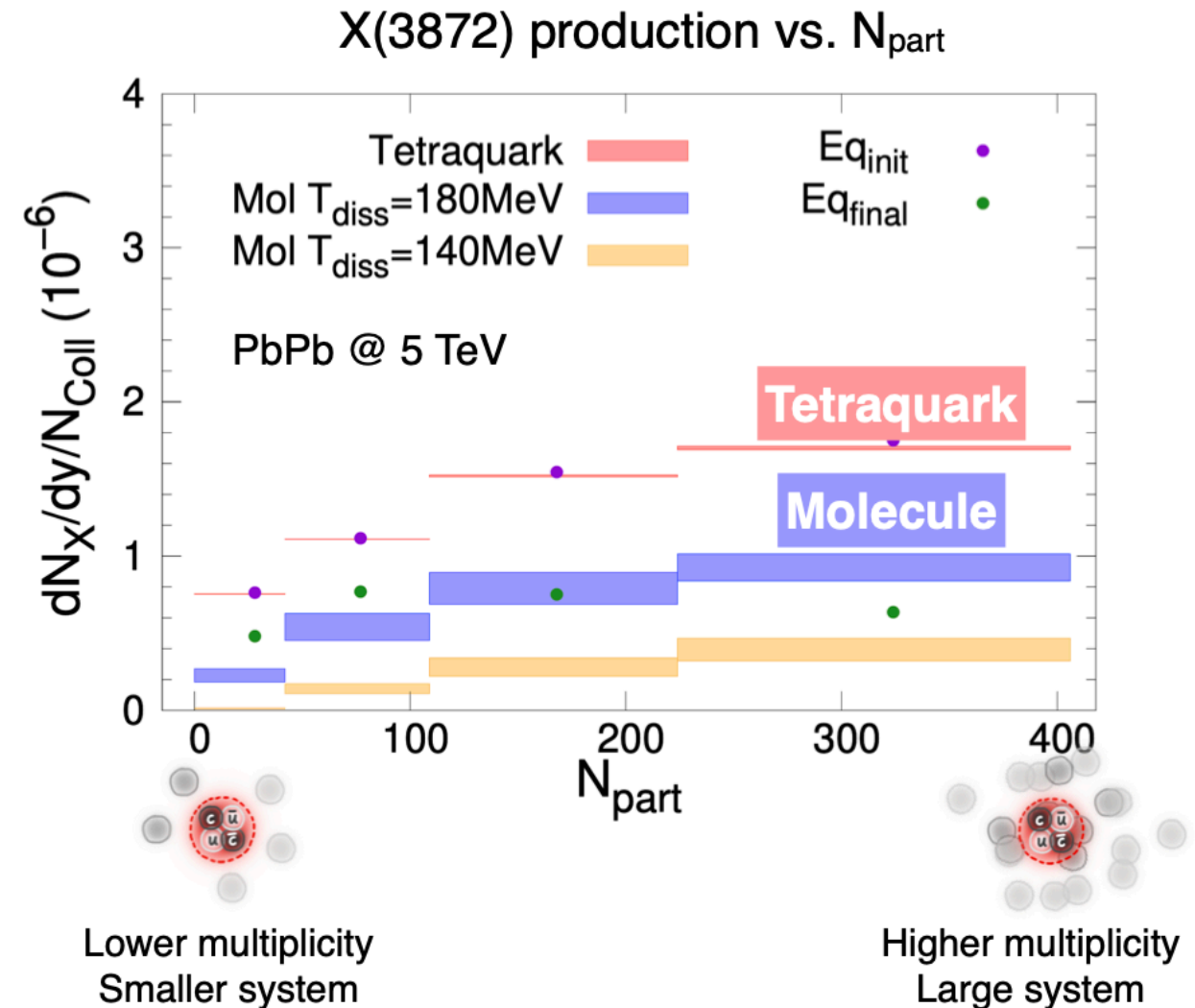
AMPT model [PRL 126 \(2021\) 012301](#)

- Instantaneous coalescence model (ICM)
- **Molecule**: decrease at peripheral
→ Higher coalescence rate in large system
- **Tetraquark**: relatively flat vs. centrality
→ Decreasing numbers of available $c\bar{c}$ vs. increasing chances of small spatial separation

Theoretical calculation (3)

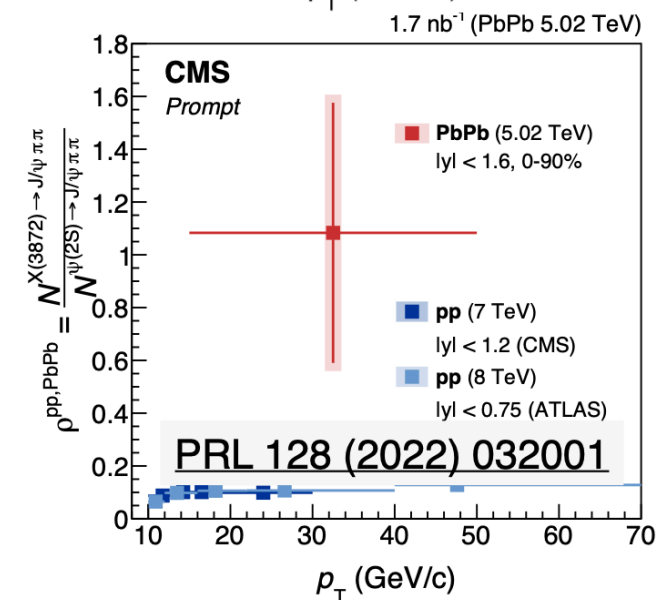
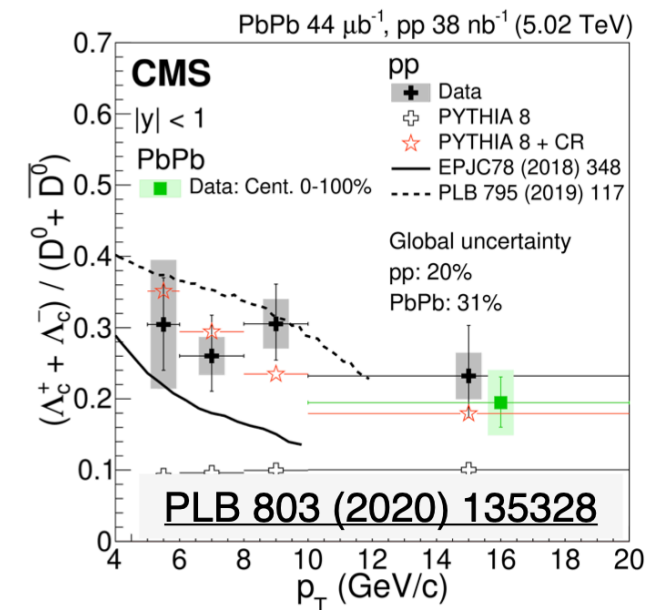
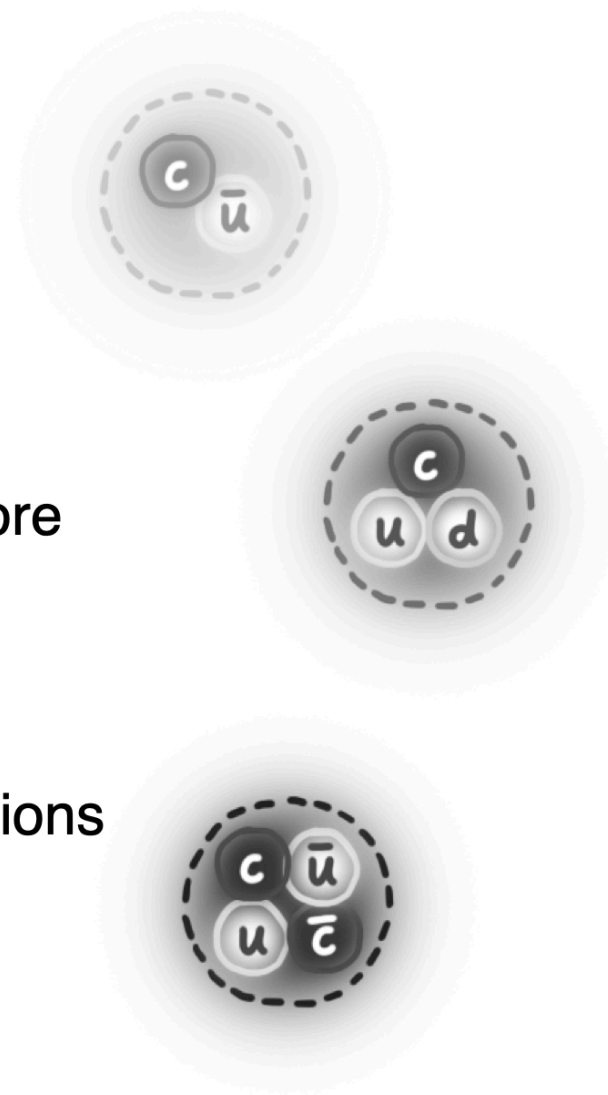
TAMU model EPJA 57 (2021) 122

- Thermal-rate equation framework, focusing on hadronic phase
- Yield (molecule) < Yield (tetraquark)
 - Tetraquark: Mostly produced in hadronization in QGP transition region
 - Molecule: Regeneration in hadronic medium stage dominates
- Different from ICM

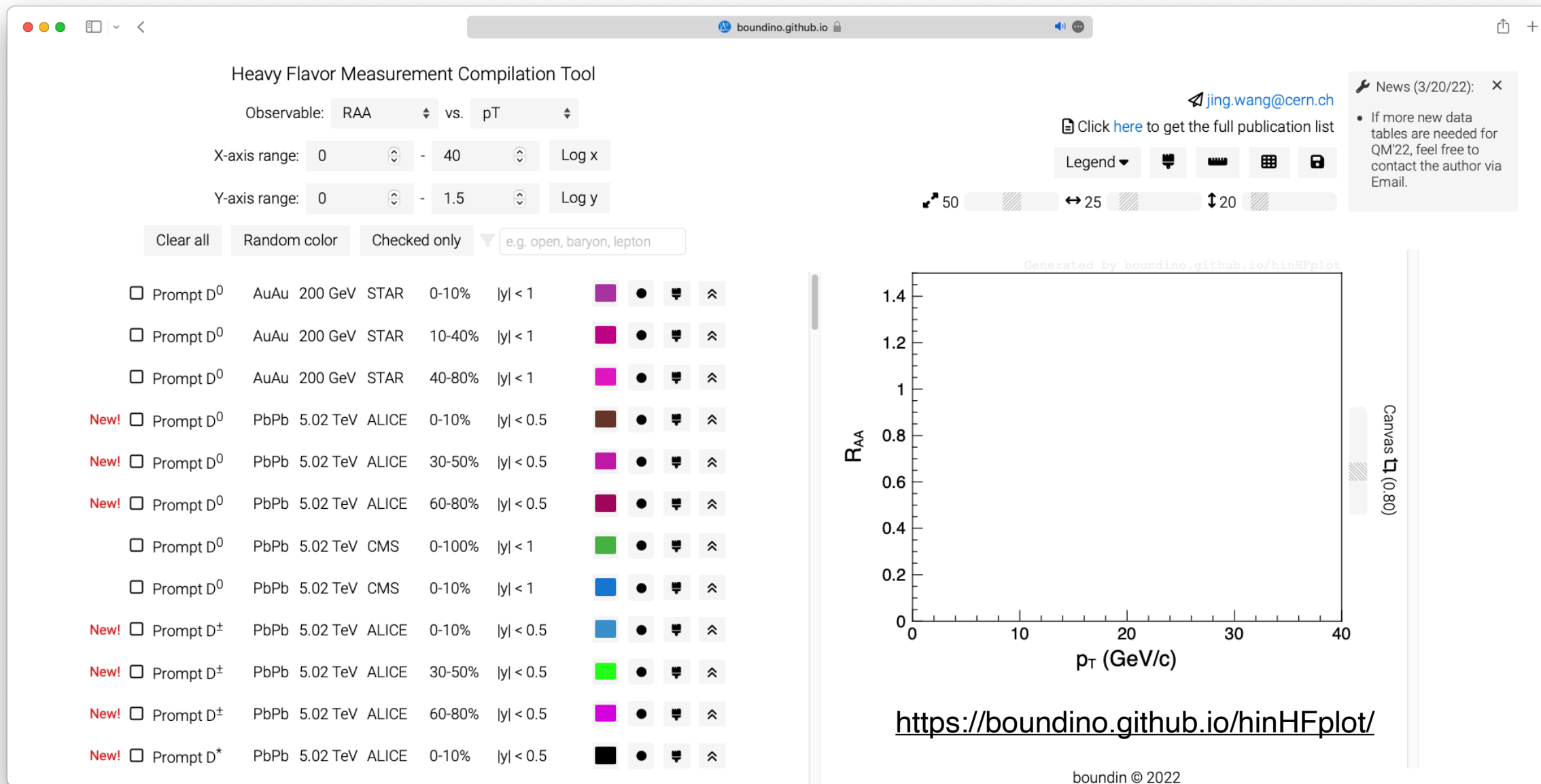


Summary of CMS

- Study **charm in-medium hadronization** by baryons and exotic hadrons in CMS
- **Λ_c measured in pp and PbPb collisions**
 - PYTHIA8 underestimates Λ_c/D^0 in pp
 - CR, coalescence and feed-down from more excited baryons can enhance Λ_c/D^0 in pp
 - Analysis using larger dataset is ongoing
- First evidence of **X(3872) In heavy-ion collisions**
 - Indication of strong coalescence in PbPb
 - Discriminate nature of exotic hadrons



Have Some Fun with Heavy Flavors!



Summary

- CMS:
 - Λ_c measured in pp and PbPb collisions
 - First evidence of X(3872) In heavy-ion collisions
- STAR:
 - Open heavy flavor production in Au+Au collisions
- LHCb:
 - Open-charm production in p Pb collisions
 - Λ_c^+ -to- D^0 ratio in peripheral PbPb collisions