



ALICE



# Recent heavy flavor measurements (summary for the QM22 )

Xiaozhi Bai

University of Science and Technology of China

# Outlines

## ➤ Open heavy flavor and quarkonium production in pp

- Reference in vacuum, for both CNM and A+A
- Production mechanism

## ➤ Open heavy flavor and quarkonium production in pA

- nPDF
- Coherent energy loss
- Nuclear absorption
- Breakup by co-movers...

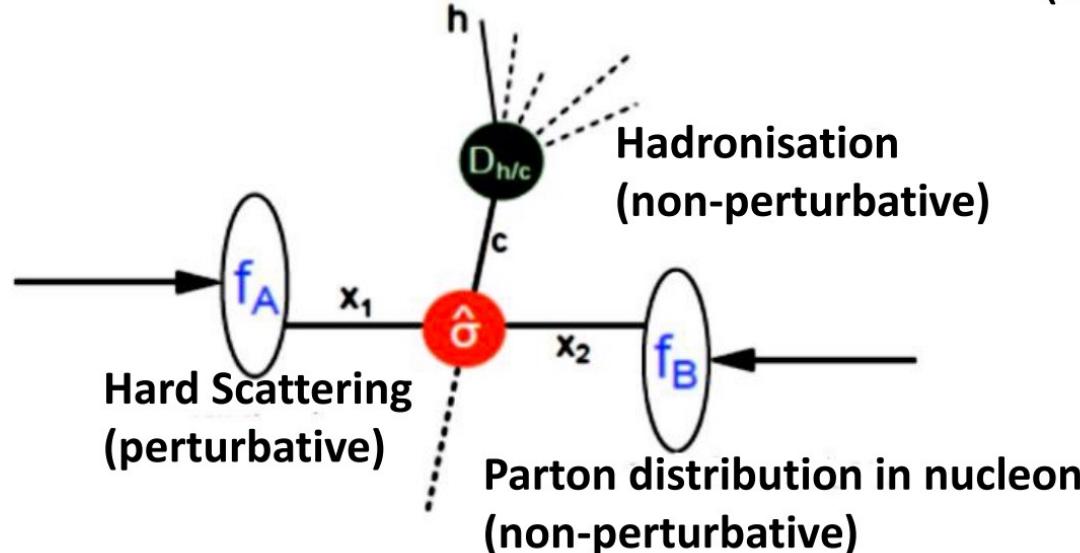
## ➤ Open heavy flavor and quarkonium production in AA

# Quarkonium ( $c\bar{c}$ and $b\bar{b}$ ) productions in pp collisions

Production in initial hard-scattering process (Perturbative)

Two Steps

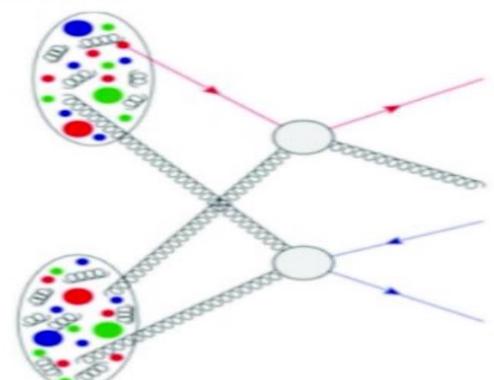
Evolution into colorless bound state (Non-perturbative)



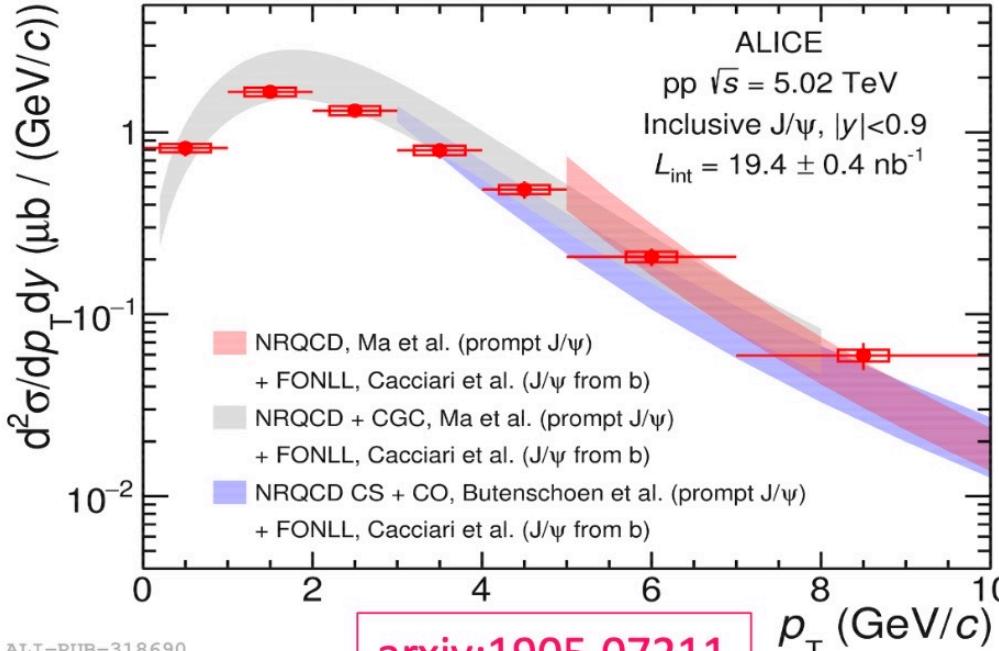
Production cross section provides test ground for several theoretical models

Multiplicity dependent measurements

- Interplay between soft and hard mechanisms of particle production
- Study the role on Multiple Parton Interactions (MPI)

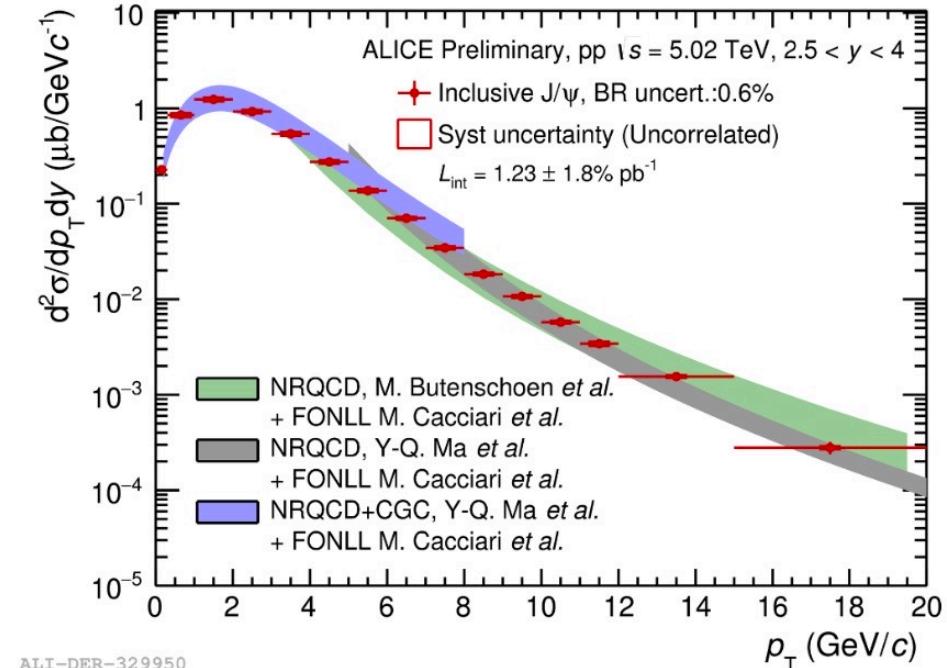


# J/ $\Psi$ cross section in pp $\sqrt{s} = 5.02$ TeV at mid and forward-y



ALI-PUB-318690

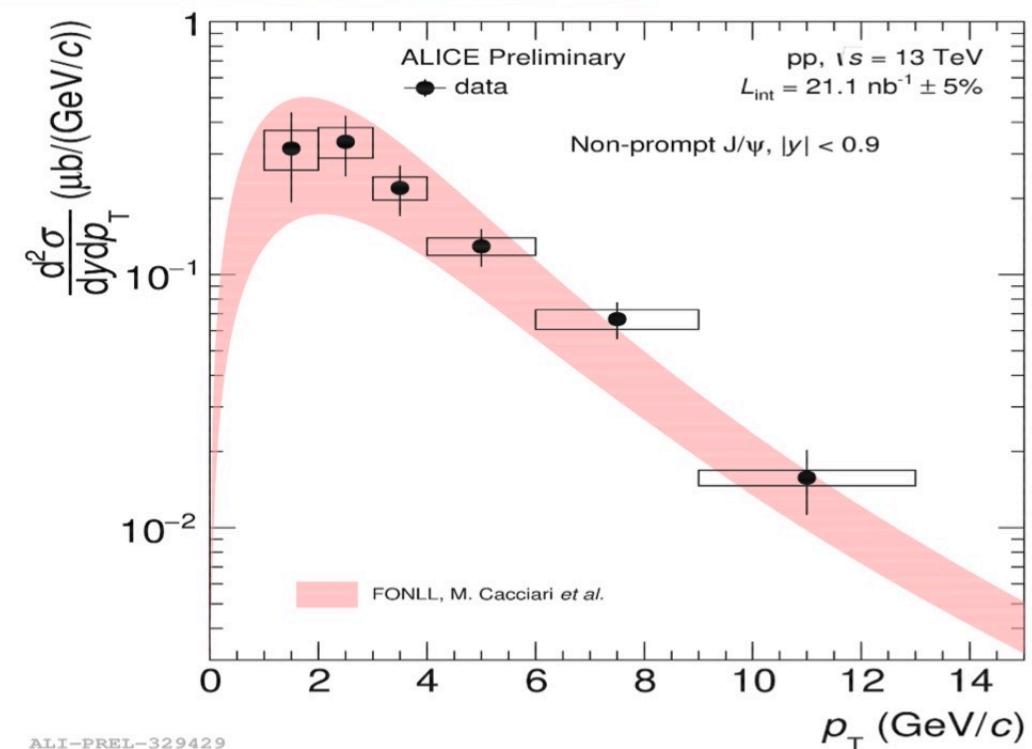
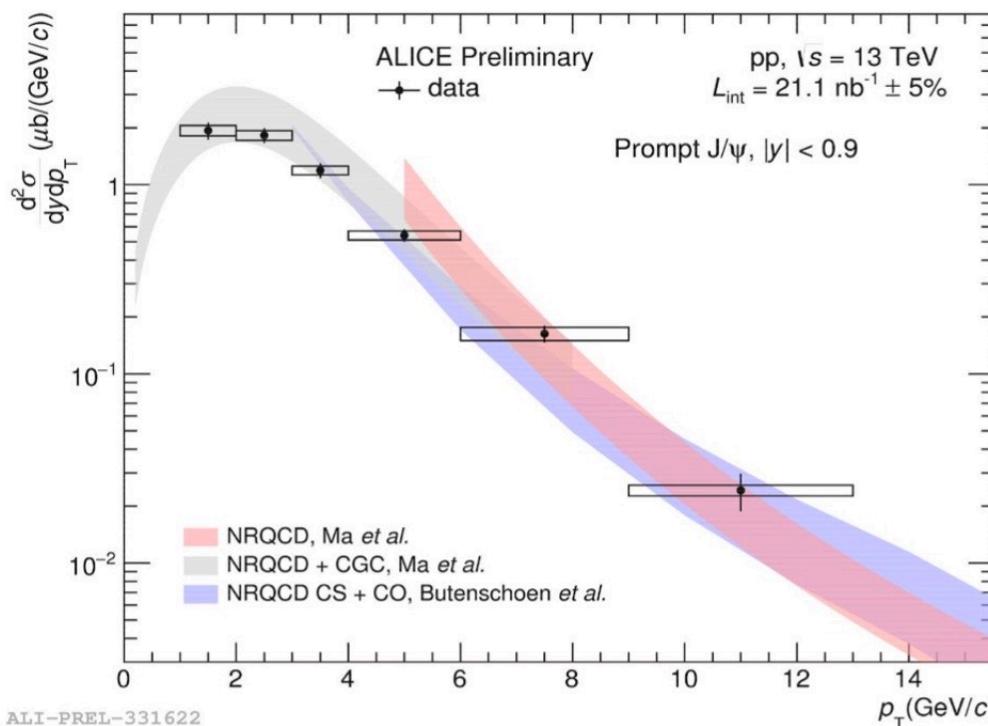
arxiv:1905.07211



ALI-DER-329950

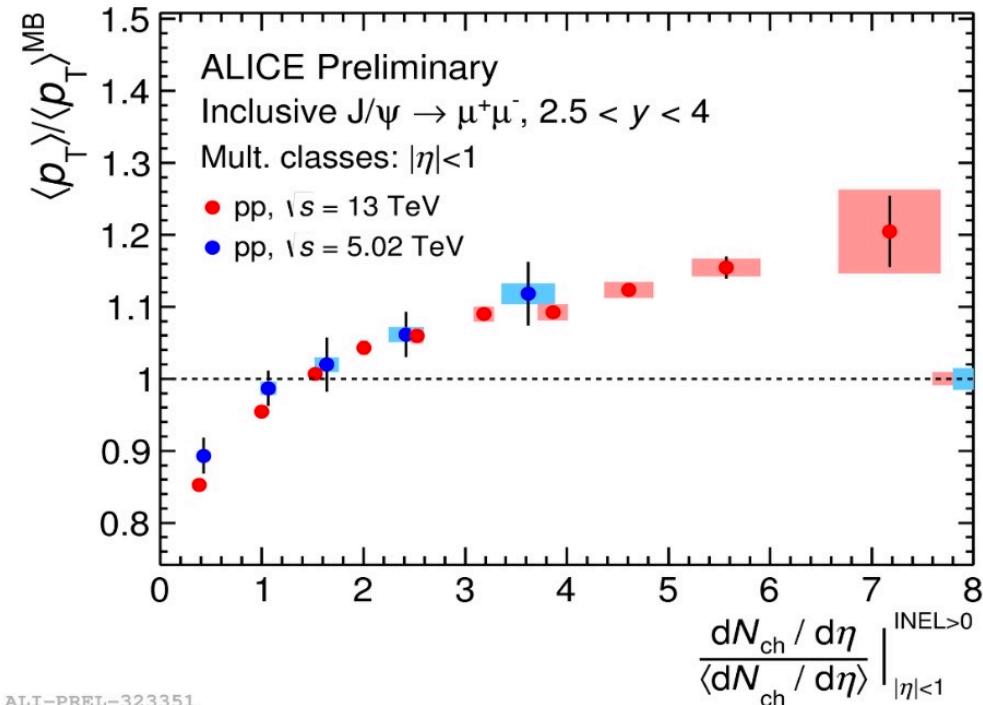
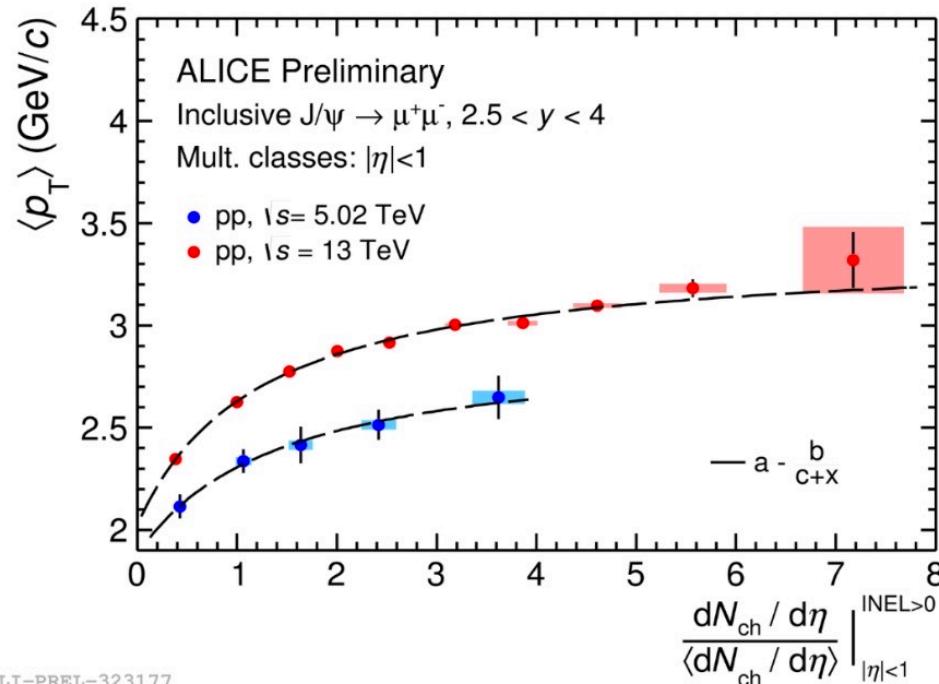
- Inclusive (=prompt and non-prompt) measurement
- $p_T$  reach up to 20 GeV/c at forward rapidity, to be used as pp reference for  $R_{AA}$  analysis in Pb-Pb collisions
- J/ $\Psi$  cross section for  $p_T < 8$  GeV/c is well described by NRQCD+CGC model
- NRQCD+FONLL (for non-prompt contribution) describes the data throughout the whole  $p_T$  range at forward rapidity.

# Prompt/non-prompt J/ $\psi$ cross section in pp $\sqrt{s} = 13$ TeV at mid-y



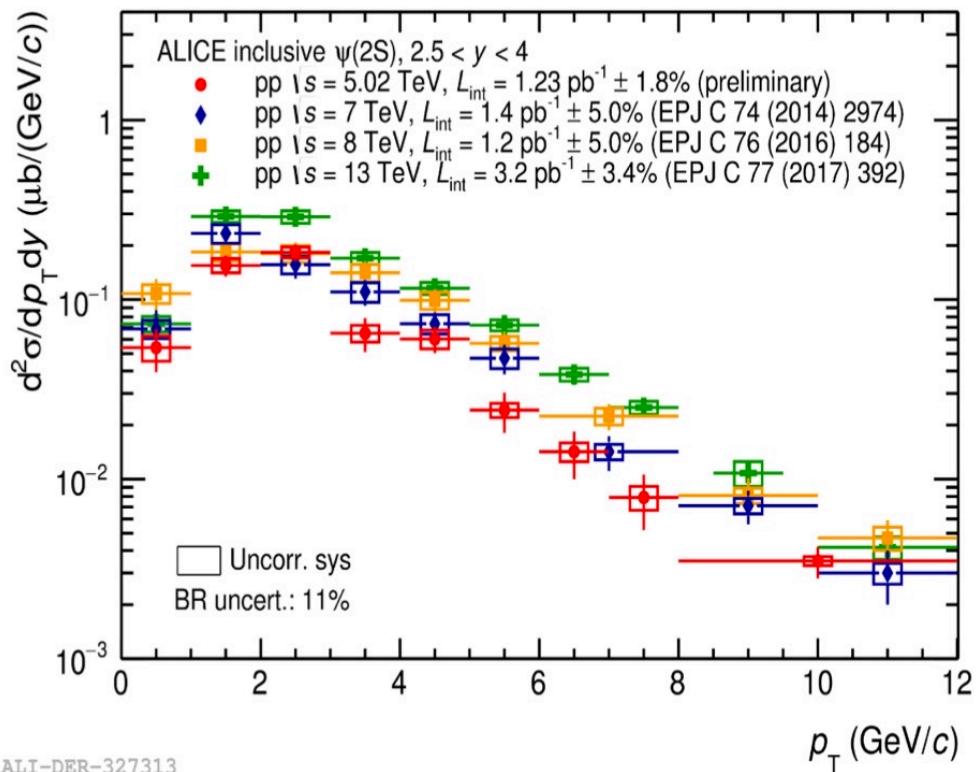
- Theoretical models compared to the data
- NRQCD (+CGC at low  $p_T$ ) describe well the prompt J/ $\psi$  measurements
- FONLL describe well the non-prompt J/ $\psi$  cross section

# J/ $\psi$ production vs multiplicity in pp $\sqrt{s} = 5.02$ and 13 TeV

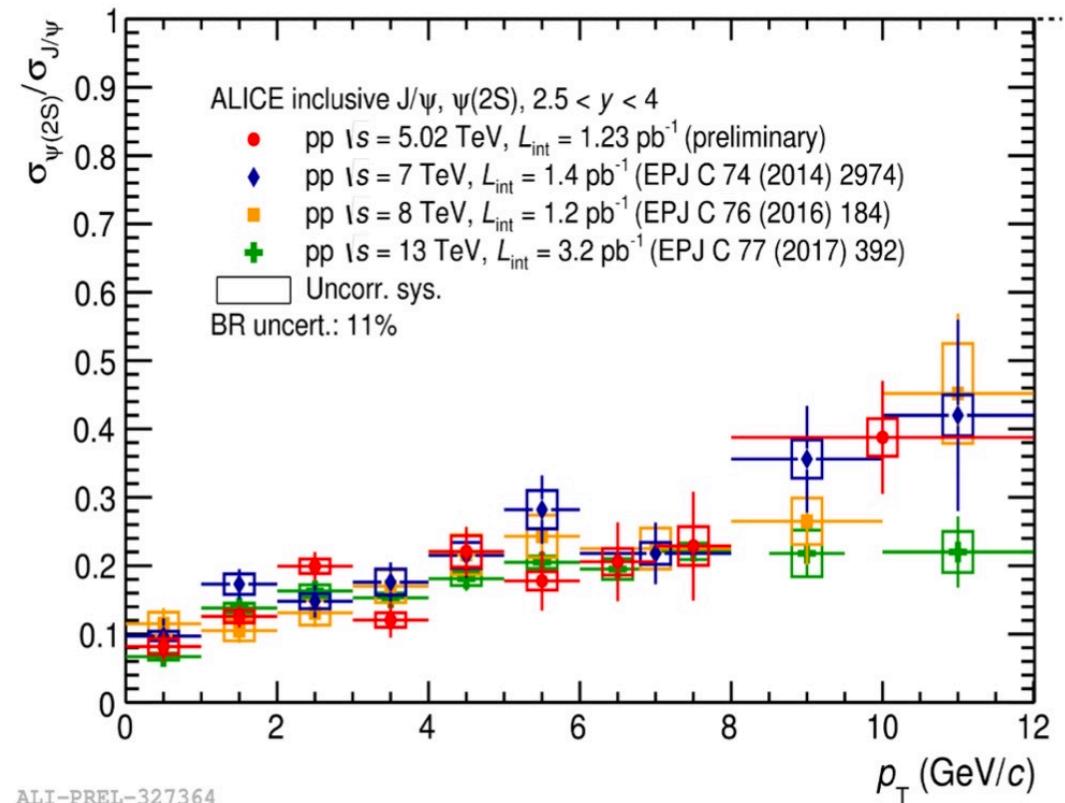


- An increase of  $\langle p_T \rangle$  of J/ $\psi$  is observed in low multiplicity region, and a saturation towards high multiplicity for both collision energies.
- Relative  $\langle p_T \rangle$  is independent of centre-of-mass energy

# $\Psi(2S)$ cross section in pp $\sqrt{s} = 5.02$ TeV at forward rapidity



ALI-DER-327313

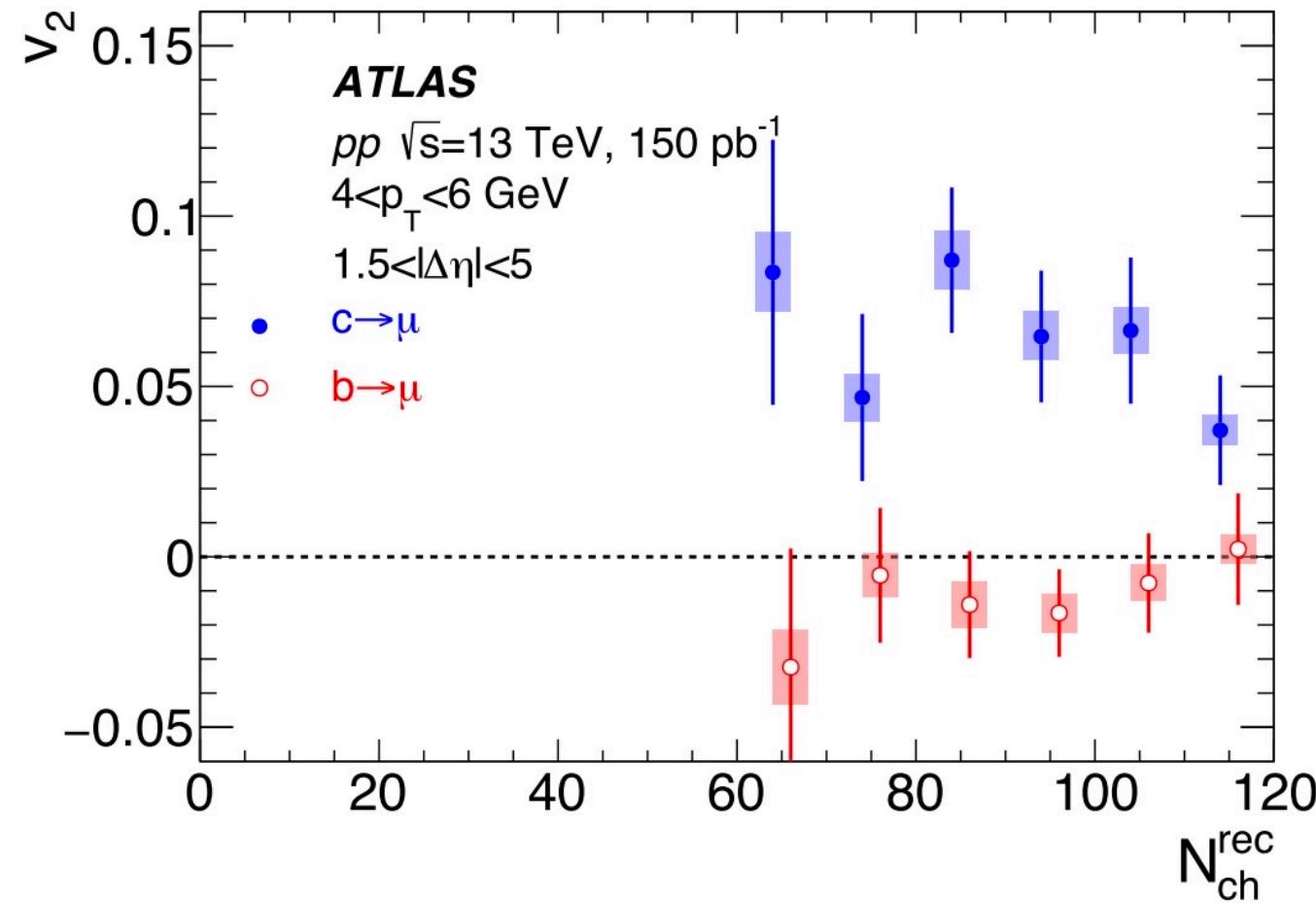


ALI-PREL-327364

No change in shape or magnitude of the  $\Psi(2S)$  to  $J/\psi$  ratio as a function of  $p_T$  with collision energies

# HF azimuthal anisotropy in $pp$ collisions

[PRL 124 \(2020\) 082301](#)

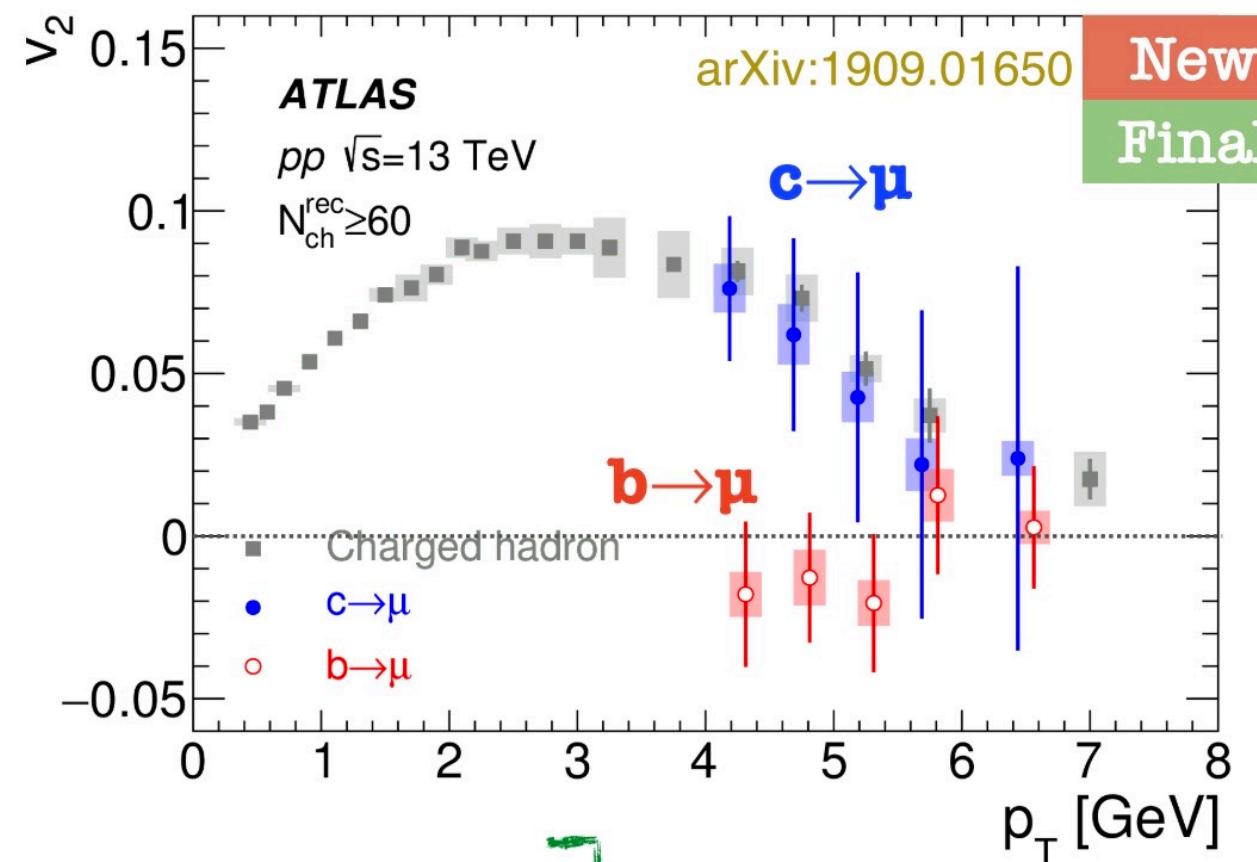
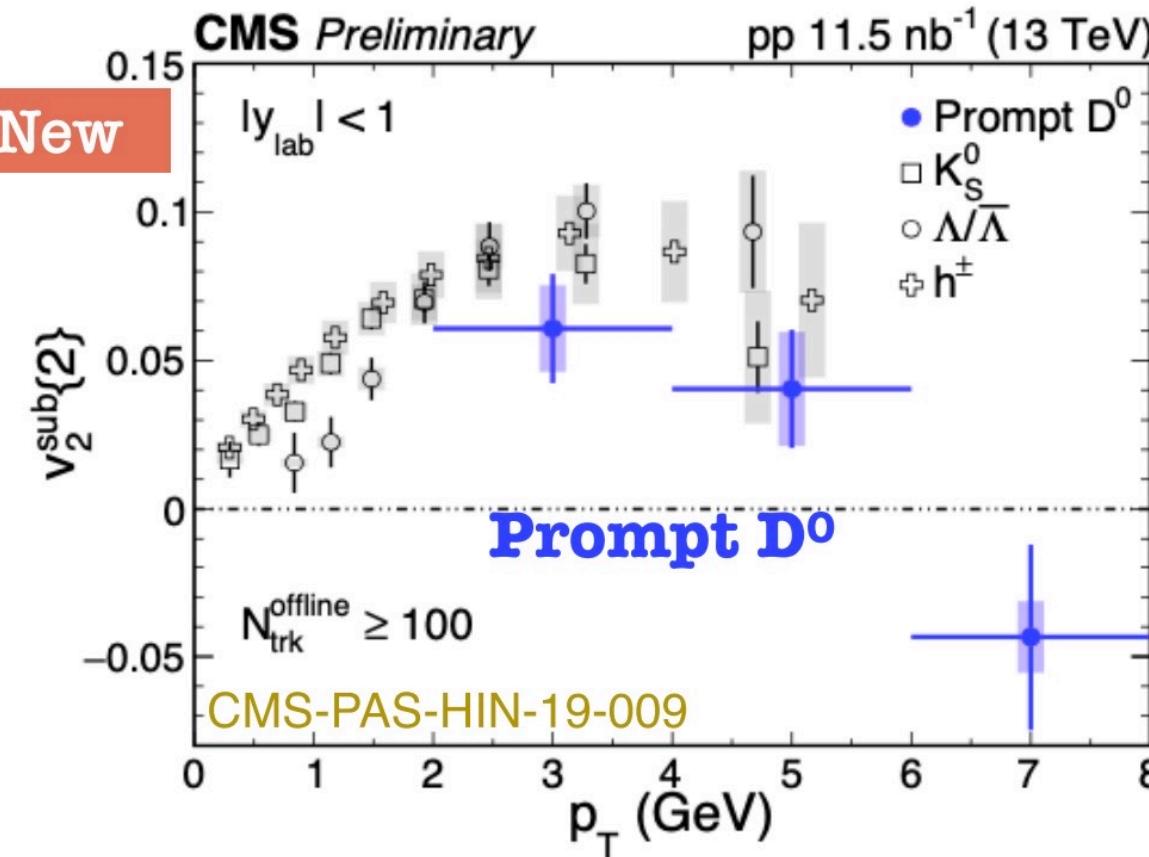


# Collective phenomena in small system ( $pp$ )

A.A. Baty, 5 Nov, 08:40

S. Lim, 5 Nov, 09:00

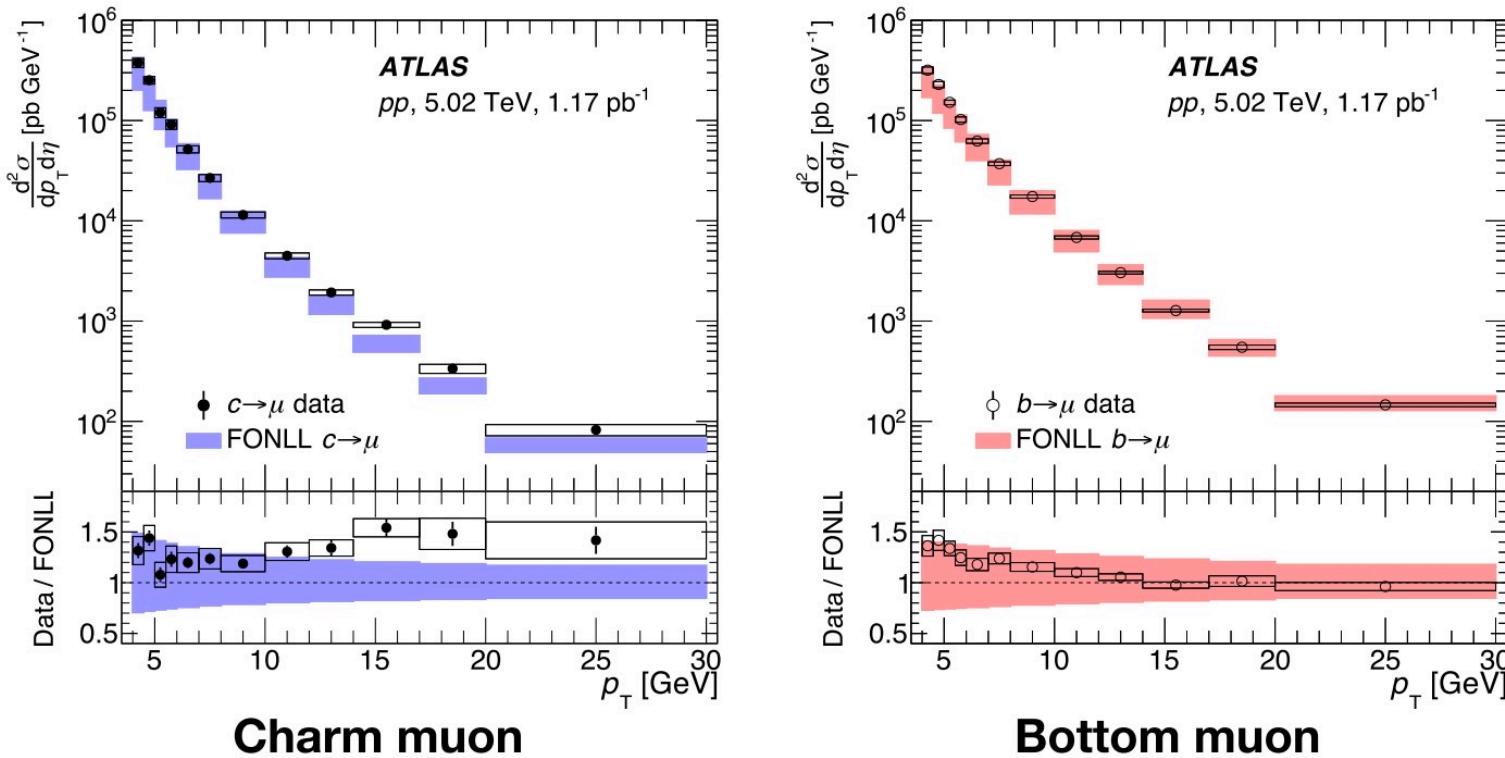
## $v_2$ in high-multiplicity $pp$



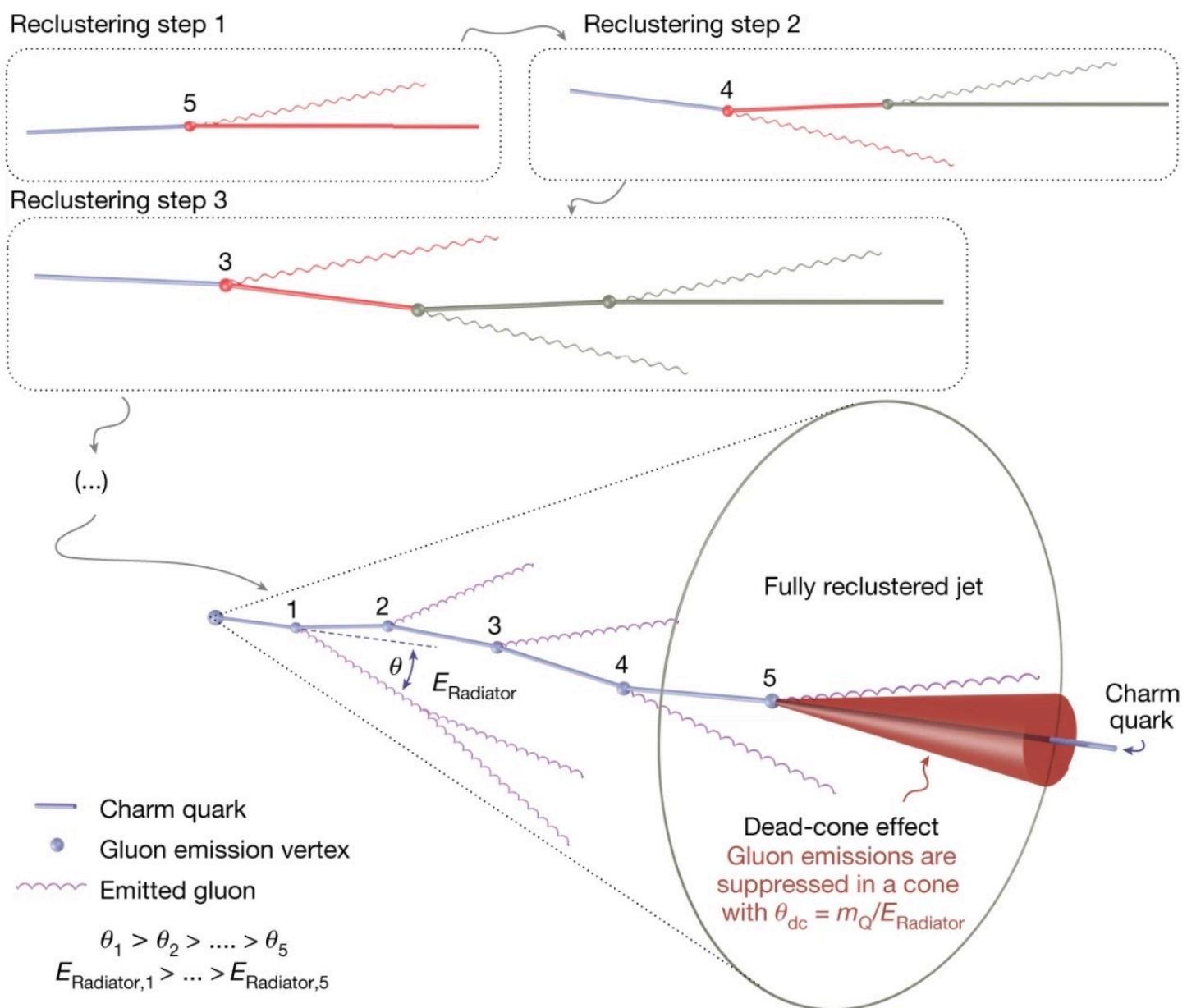
- $v_2$  (open charm)  $\approx v_2$  (light) at intermediate  $p_T$
- $v_2$  (open beauty)  $\approx 0$

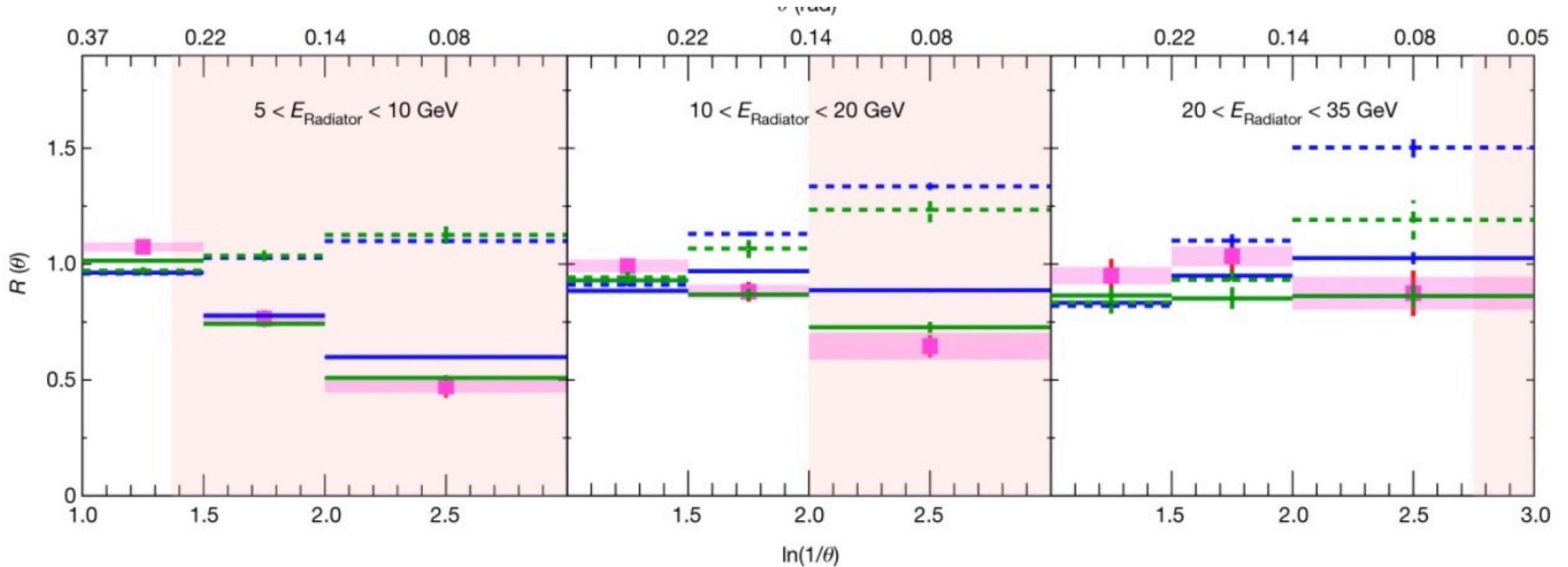
similar w/ pPb

# HF production in $pp$ collisions



- **Charm muon** data lay at the upper boundary of **FONLL** uncertainty
- **Bottom muon** data agree with **FONLL**
- Consistent picture with other HF measurements (e.g. ALICE  $D$ 's: [arXiv:2102.13601](#))





The ratios of the splitting-angle probability distributions for  $D^0$ -meson tagged jets to inclusive jets,  $R(\theta)$ , measured in proton–proton collisions at  $\sqrt{s} = 13$  TeV, are shown for  $5 < E_{\text{Radiator}} < 10 \text{ GeV}$  (left panel),  $10 < E_{\text{Radiator}} < 20 \text{ GeV}$  (middle panel) and  $20 < E_{\text{Radiator}} < 35 \text{ GeV}$  (right panel). The data are compared with PYTHIA v.8 and SHERPA simulations, including the no dead-cone limit given by the ratio of the angular distributions for light-quark jets (LQ) to inclusive jets. The pink shaded areas correspond to the angles within which emissions are suppressed by the dead-cone effect, assuming a charm-quark mass of  $1.275 \text{ GeV}/c^2$ .

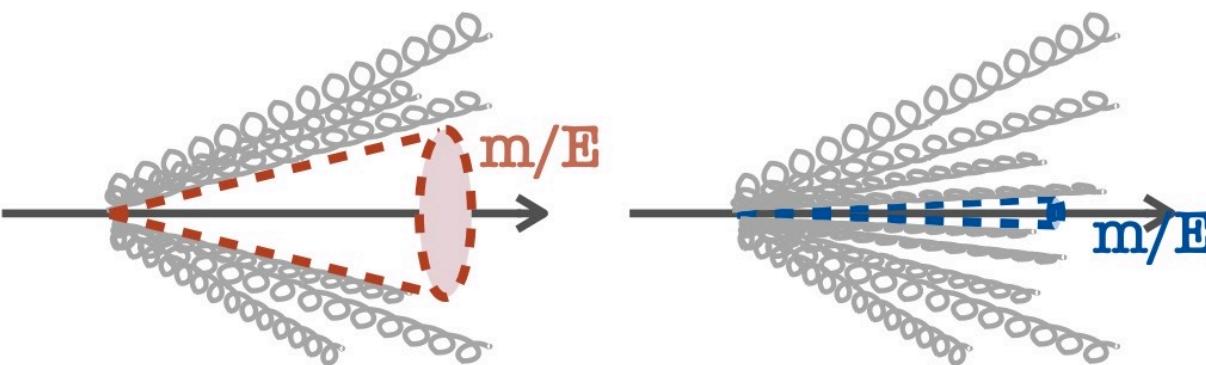
<https://www.nature.com/articles/s41586-022-04572-w/figures/2>

# One source of flavor hierarchy: Dead cone effect

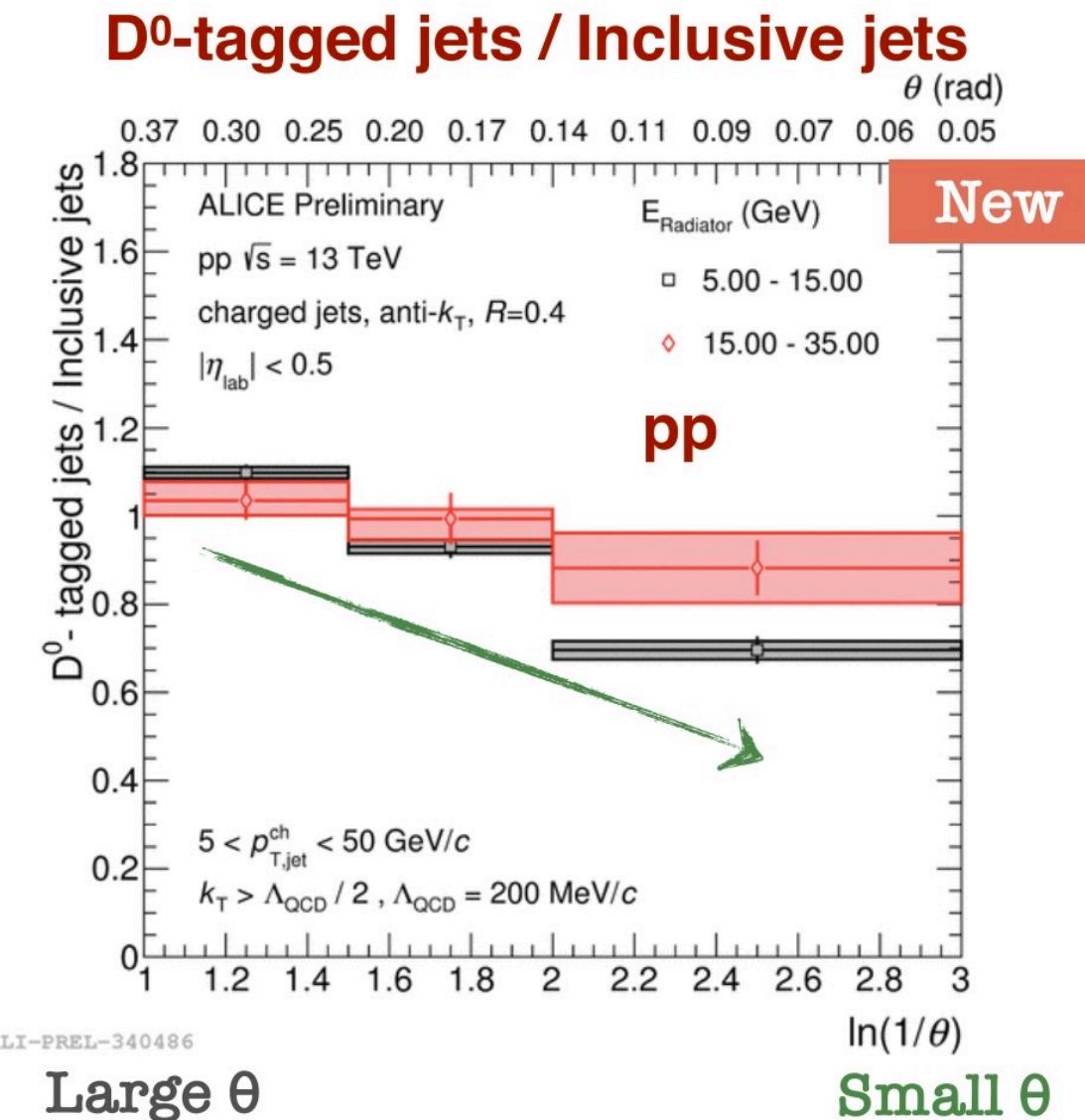
- **Dead cone effect**
  - Radiation (for both vacuum and medium induced) is suppressed inside  $\theta < m/E$

Large parton mass

Small parton mass



- **D-tagged jets have lower splitting at small angle**
- First direct observation of dead cone effect!
- **Lower-energy radiator has stronger effect**

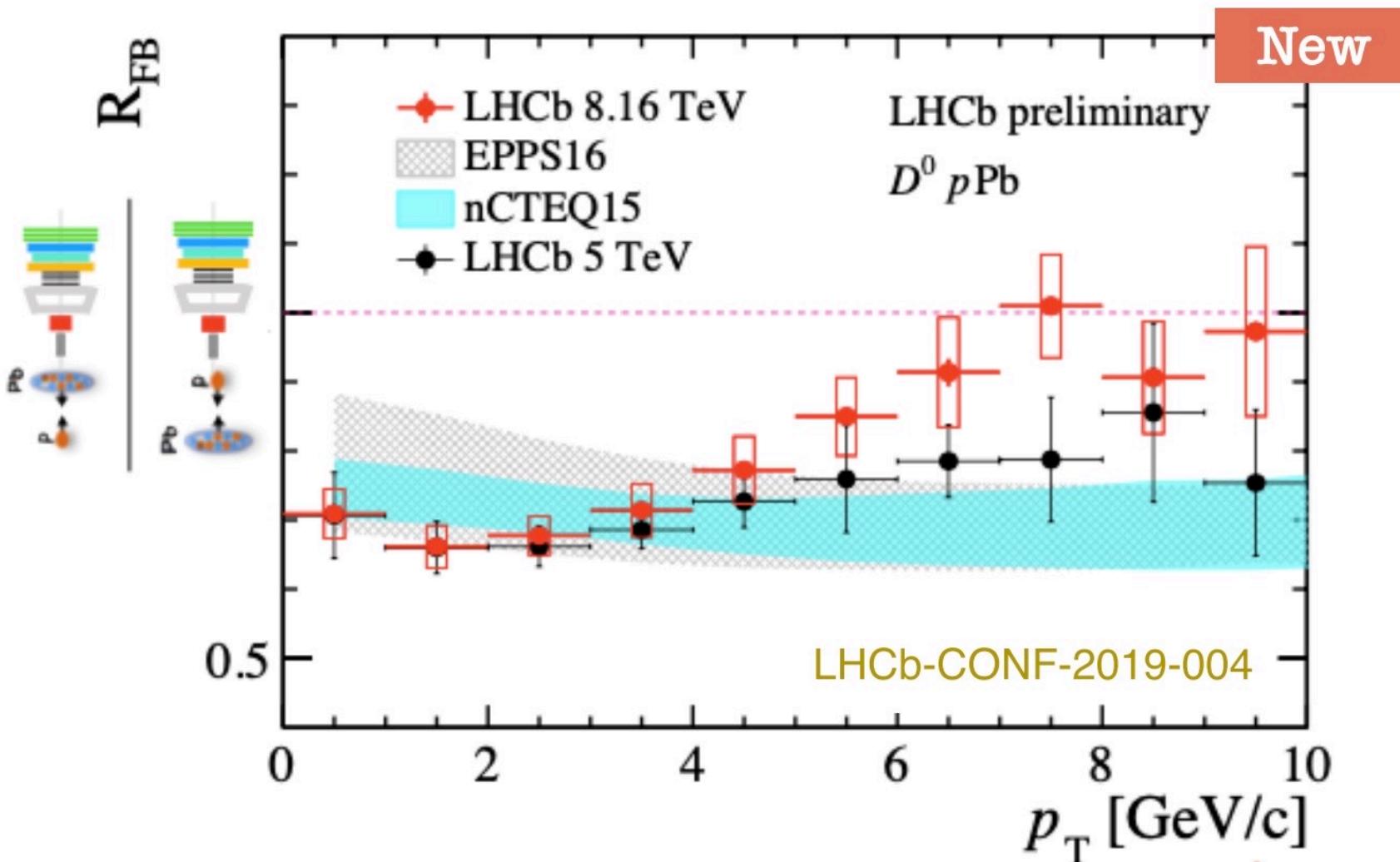


In heavy quark sector, the first estimate of radiative energy loss in the QGP medium was made by Mustafa [192]. For heavy quarks, there is an important effect named "dead cone" effect. It means that, the heavy quark mass suppresses the soft gluon radiation off heavy quarks with energy E in forward-direction within angle smaller than  $\theta_D = m_Q/E$ . Subsequently, the gluon bremsstrahlung of heavy quark energy loss is suppressed by a factor of  $\mathcal{D}_{DK} = (1 + \theta_D^2/\theta^2)^{-2}$  compared to light parton energy loss, and the enhancement of heavy-to-light hadron ratio is predicted in high transverse momentum regime [193]. Based on the GLV opacity expansion, DGLV [194] derived the heavy quark energy loss due to medium-induced gluon radiation to all orders in opacity. To first order in opacity, the heavy quark total radiative energy loss including the plasmon asymptotic mass is

$$\frac{\Delta E}{E} = \int dx d^2 k_\perp x \frac{dn_g}{dx d^2 k_\perp}, \quad (71)$$

PA

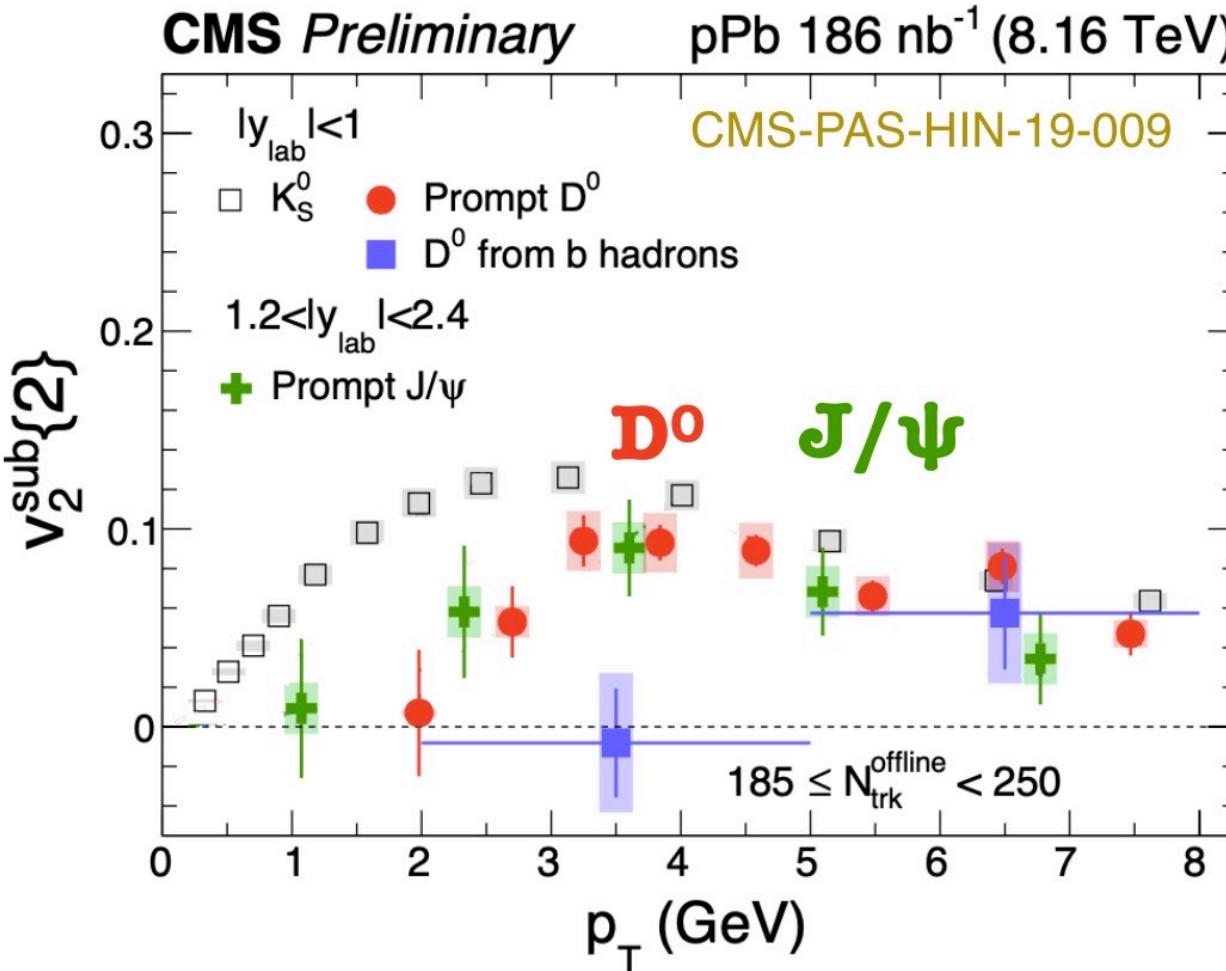
# Constrain nPDF



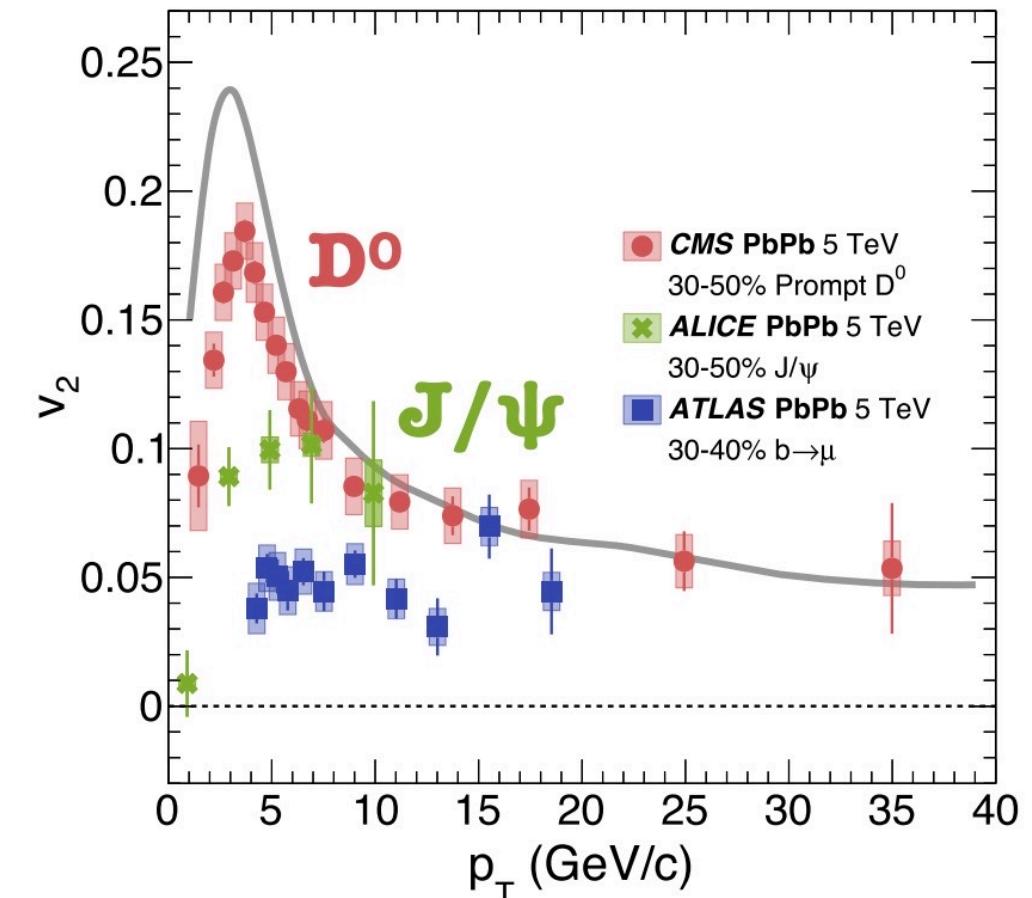
- D meson in pPb contributes to constraining gluon nPDF down to  $x \sim 10^{-5}$
- Tension between data and nPDF model predictions?

# Collective phenomena in small system ( $pA$ )

**pPb**

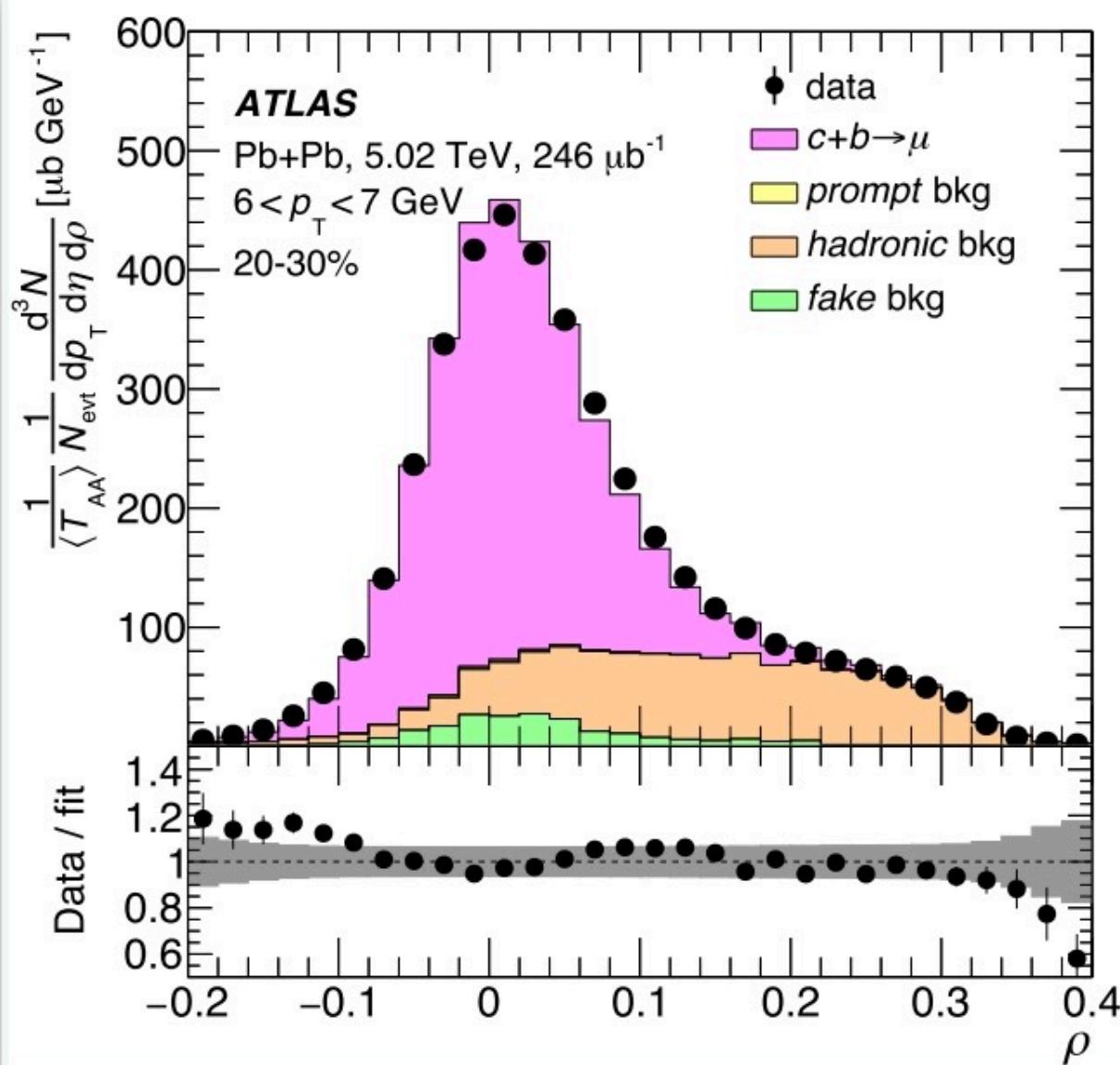


**PbPb**



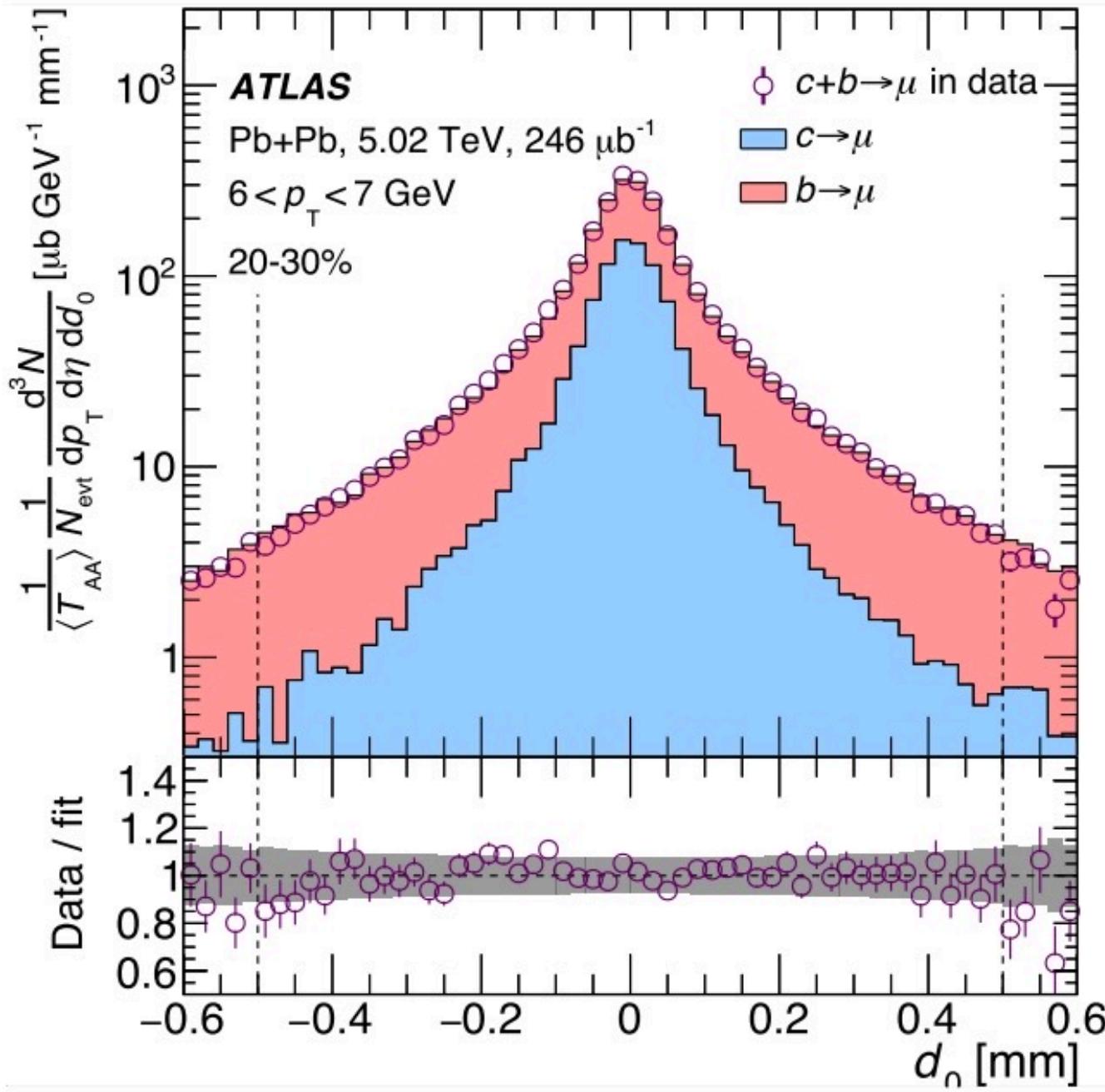
- $v_2(D^0) \approx v_2(J/\psi)$  in pPb: final state interactions cannot explain

A.A. Baty, 5 Nov, 08:40



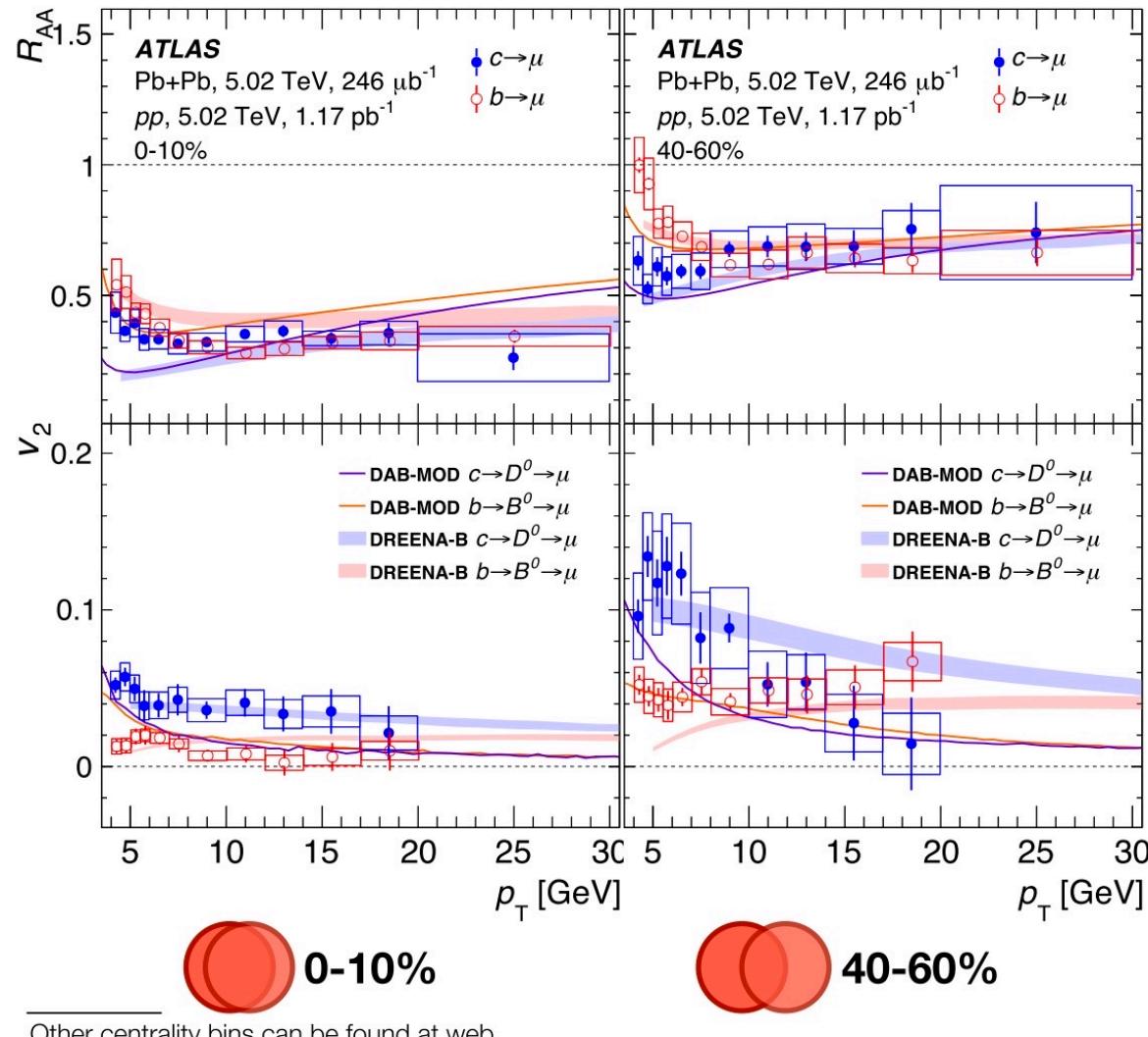
Background removed using  
**ID-MS** momentum difference:

$$\rho = (p_{\text{T}}^{\text{ID}} - p_{\text{T}}^{\text{MS}})/p_{\text{T}}^{\text{ID}}$$



**Charm / bottom**  
separated via ID  
impact parameter  
(DCA):  $d_0$

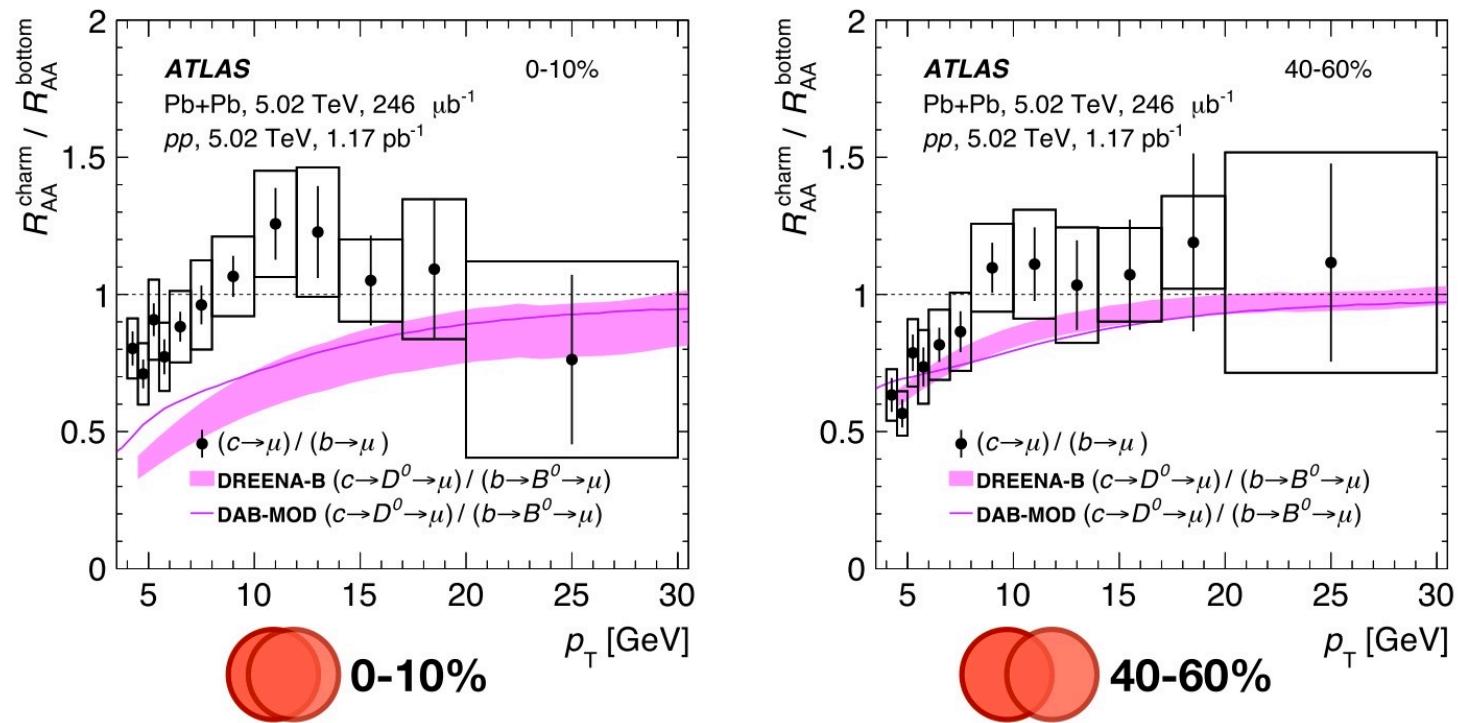
# $R_{AA}$ vs. $v_2$ – model comparison



- **DAB-MOD** Langevin
- **DREENA-B** dynamical radiative + collisional  $E_{loss}$

Other centrality bins can be found at [web](#)

# Charm to bottom double ratio

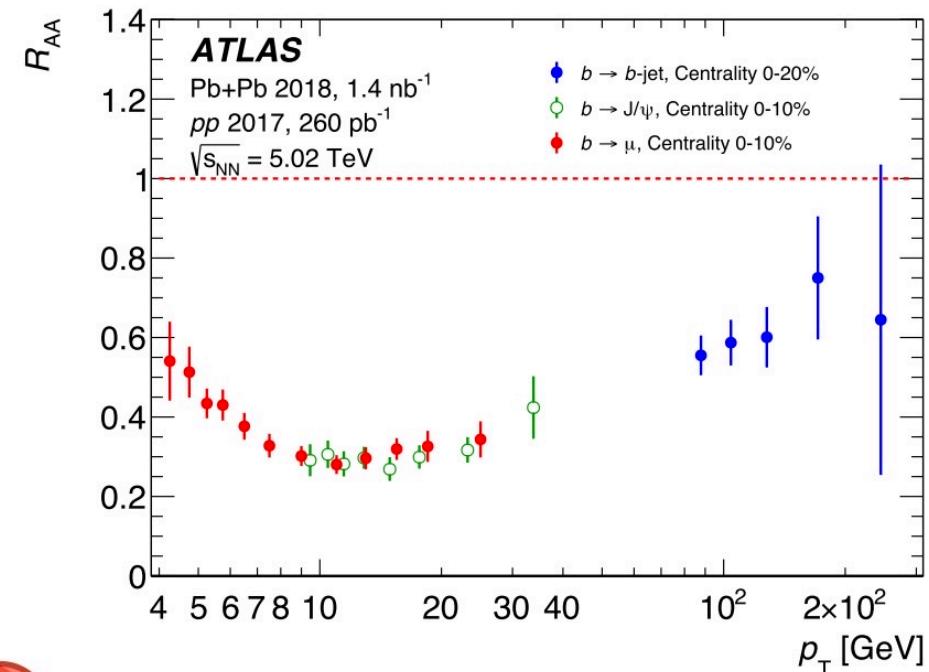
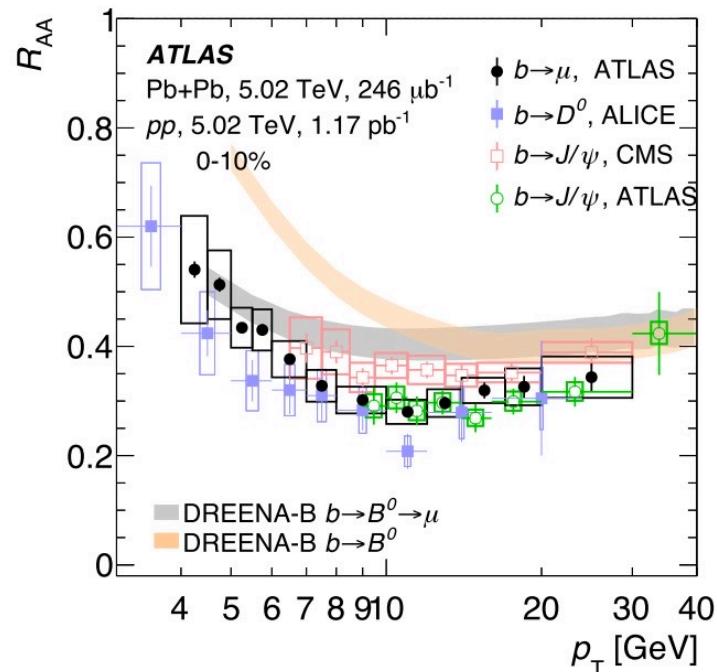


- Large uncertainties due to strong anti-correlation between charm and bottom
- **Charm is more suppressed than bottom** at low  $p_T$ ; comparable at high  $p_T$
- In radiation picture: mass hierarchy inline with “dead-cone” effect

$$dP_{\text{Rad};Q}(\theta) \propto \left( 1 + \left( \frac{M_Q}{E_Q} \right)^2 \frac{1}{\theta^2} \right)^{-2}$$

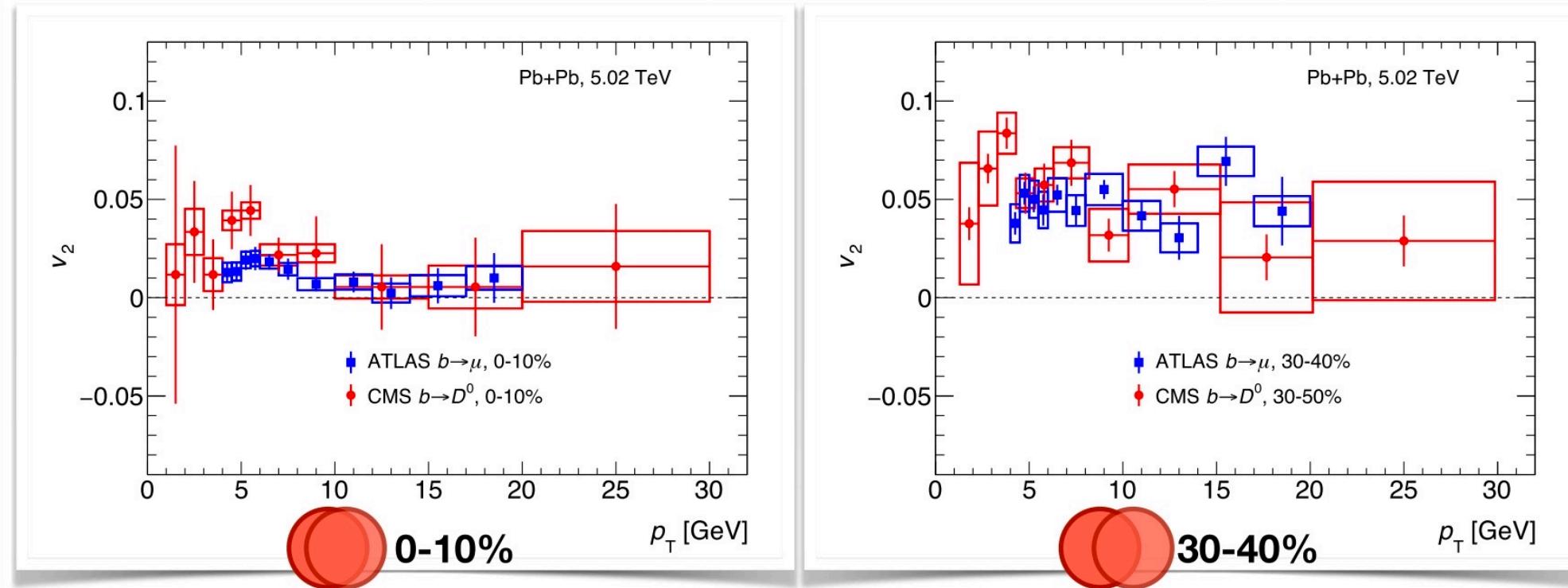
Other centrality bins can be found at [web](#)

# Comparison – bottom $R_{AA}$



- B-decay (muon,  $D^0$ ,  $J/\psi$ ) in 0-10% in comparison to **DREENA-B**
- B-decay and (muon-tagged)  $b$ -jet show smooth trend in wide  $p_T$  range

# Comparison – bottom $v_2$

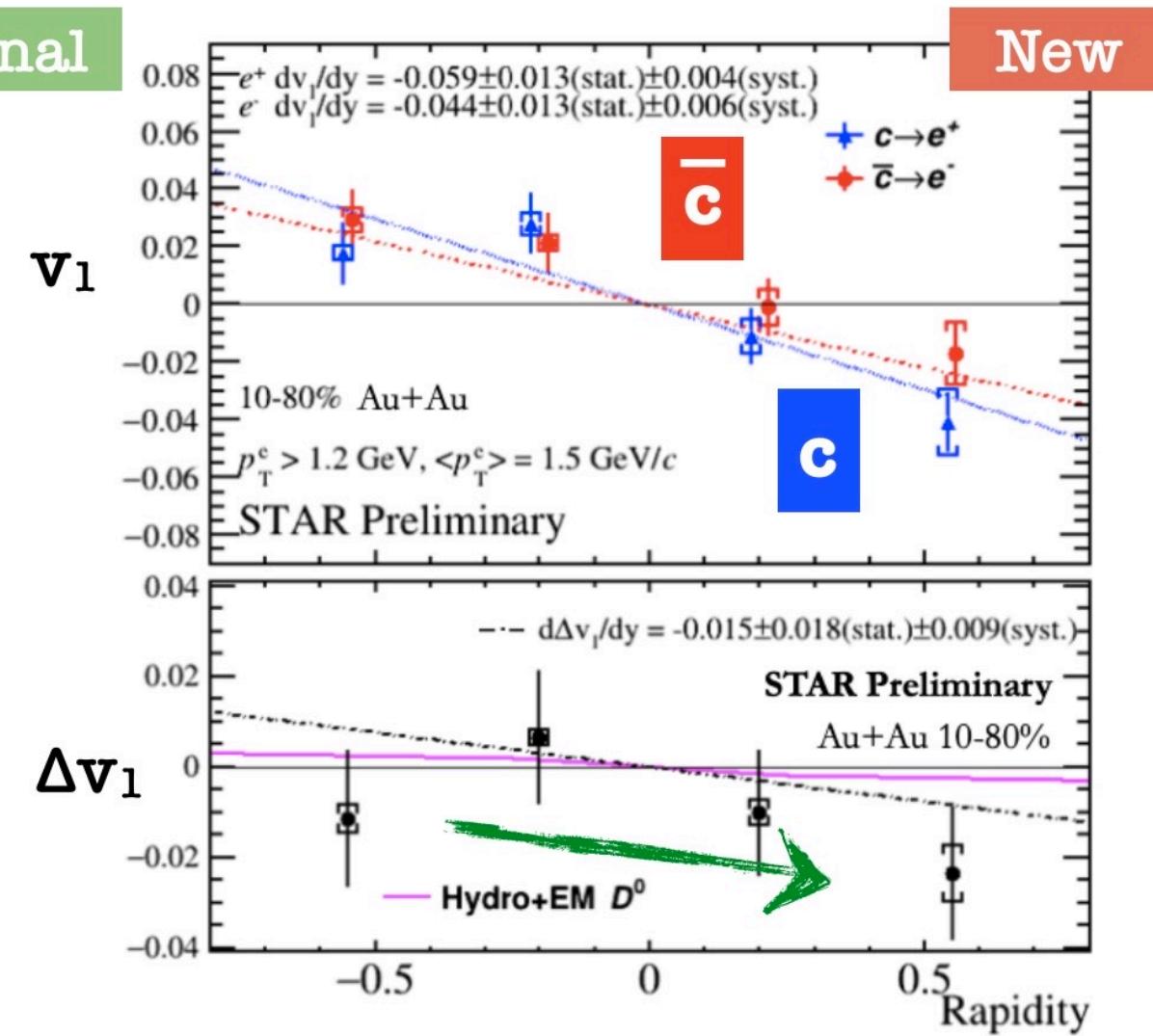
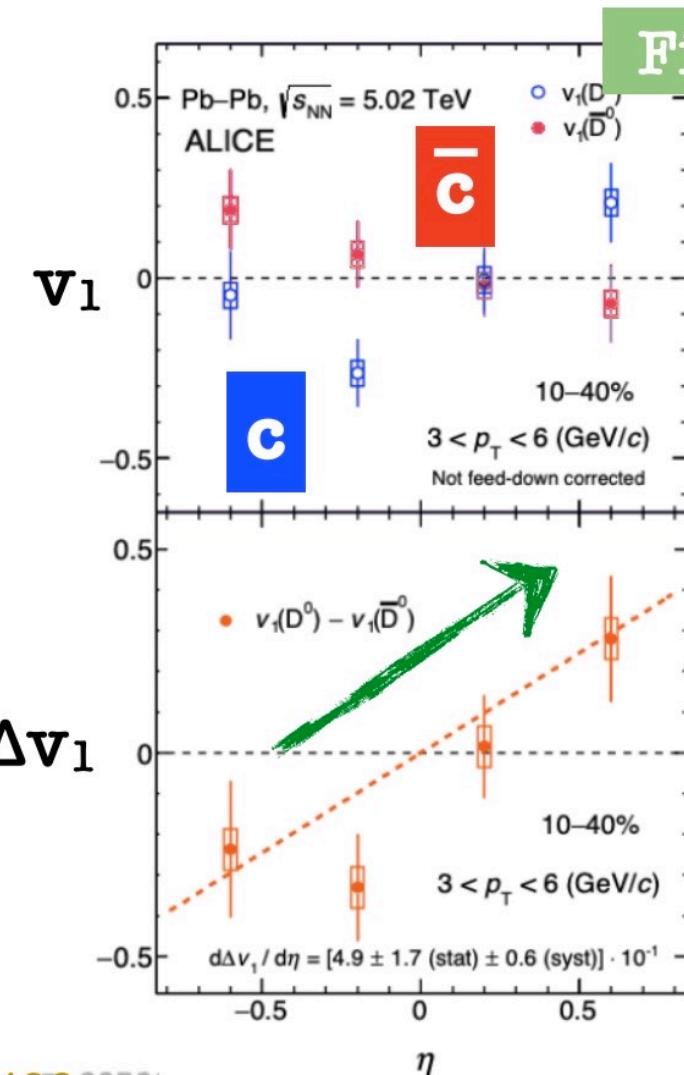


- Similar  $v_2$  for muon and  $D^0$  from  $b$
- Different channels have different advantages



# Probing the strong initial EM-field

**ALICE**  
**D<sup>0</sup> v<sub>1</sub>**  
in PbPb



**STAR**  
 **$c \rightarrow e$  v<sub>1</sub>**  
in AuAu

arXiv:1910.14406

S. Tang 5 Nov, 16:40

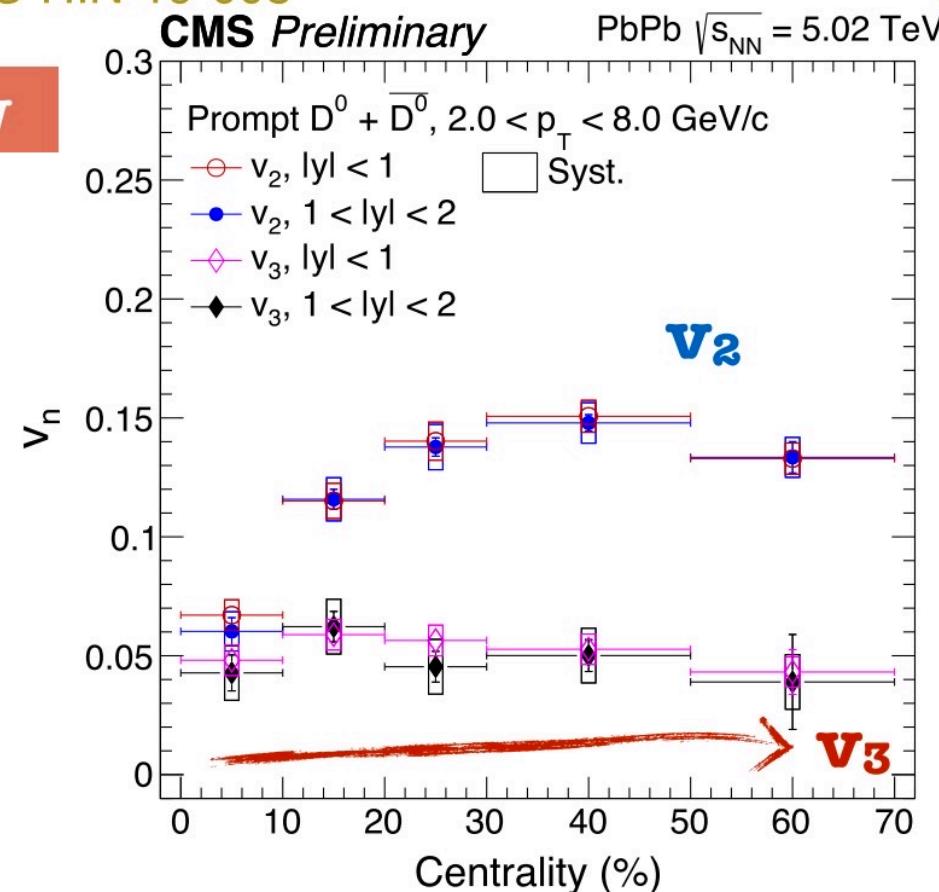
M. Kelsey, 5 Nov, 17:40

- $d\Delta v_1/d\eta$  slope: negative (RHIC) vs. positive(LHC)?

# Probing initial fluctuations: heavy flavor $v_3$

CMS-PAS-HIN-19-008

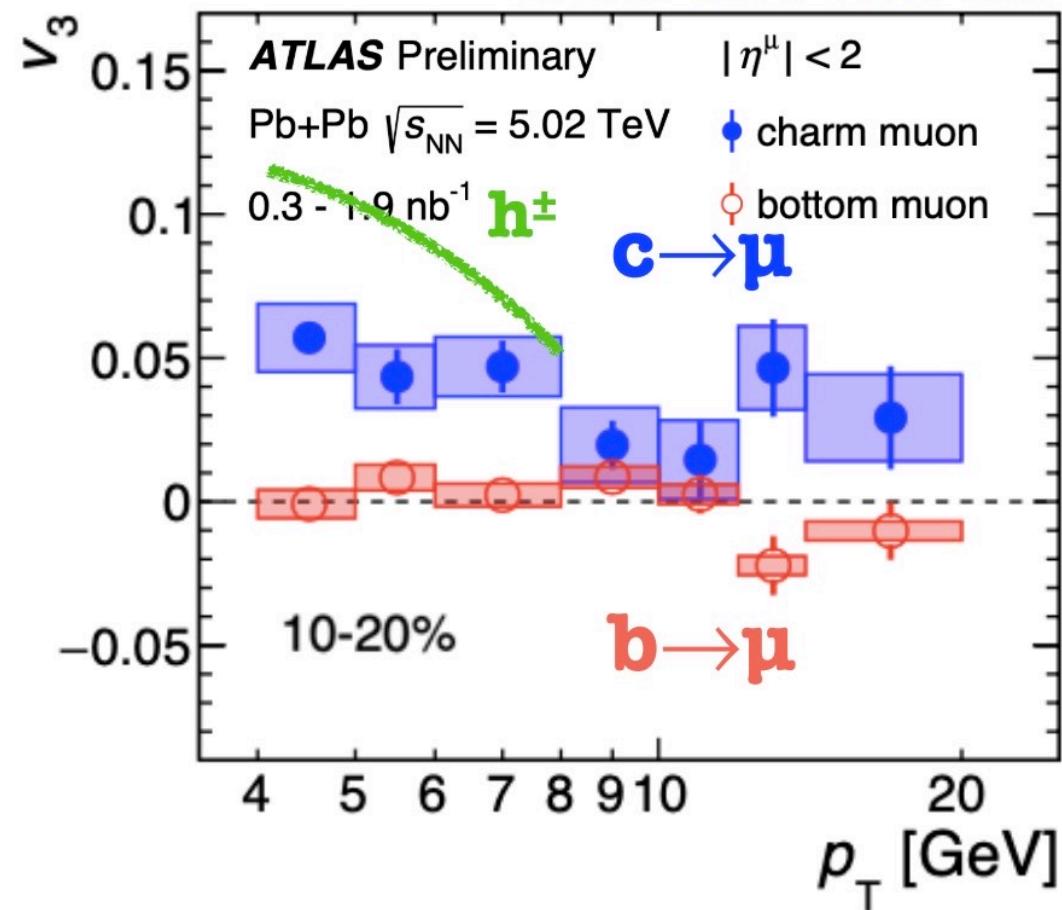
New



**$v_3$  in PbPb**

ATLAS-CONF-2019-053

New

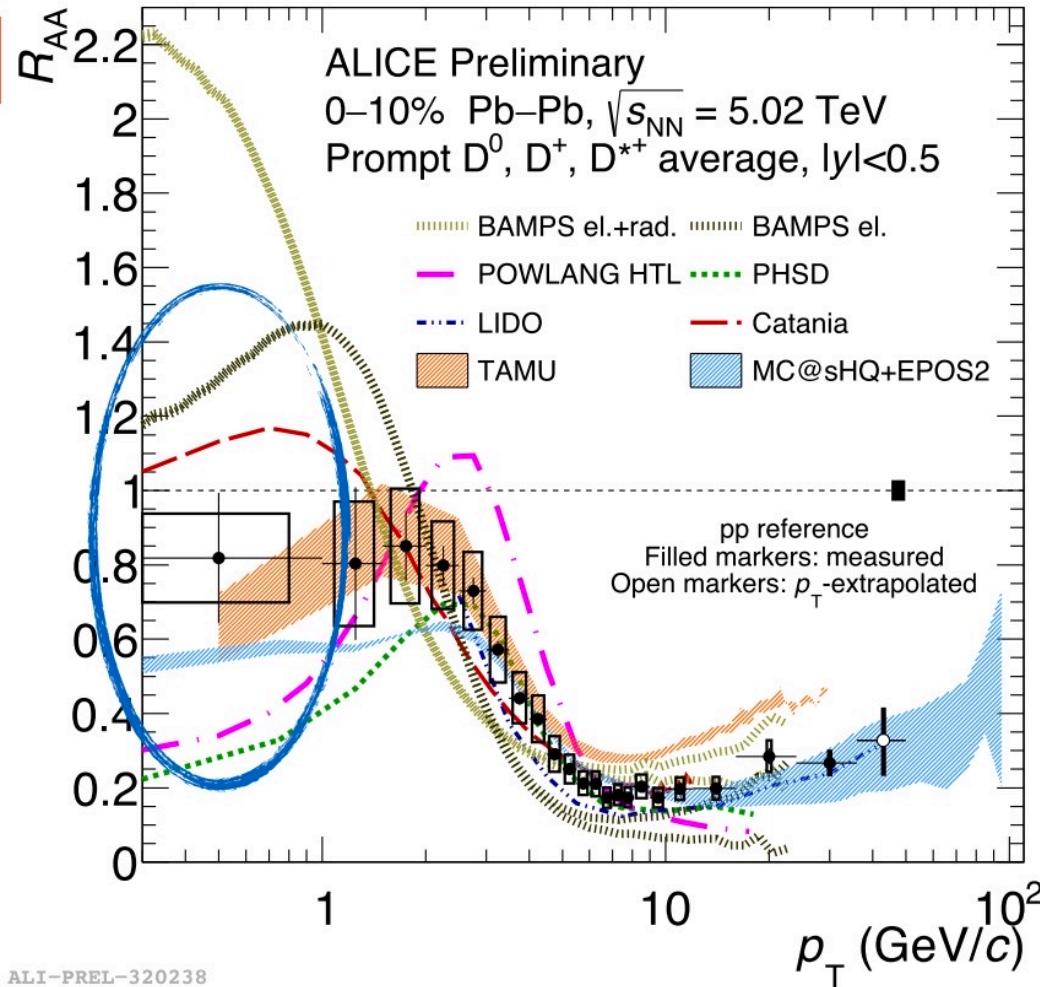


- No centrality dependence

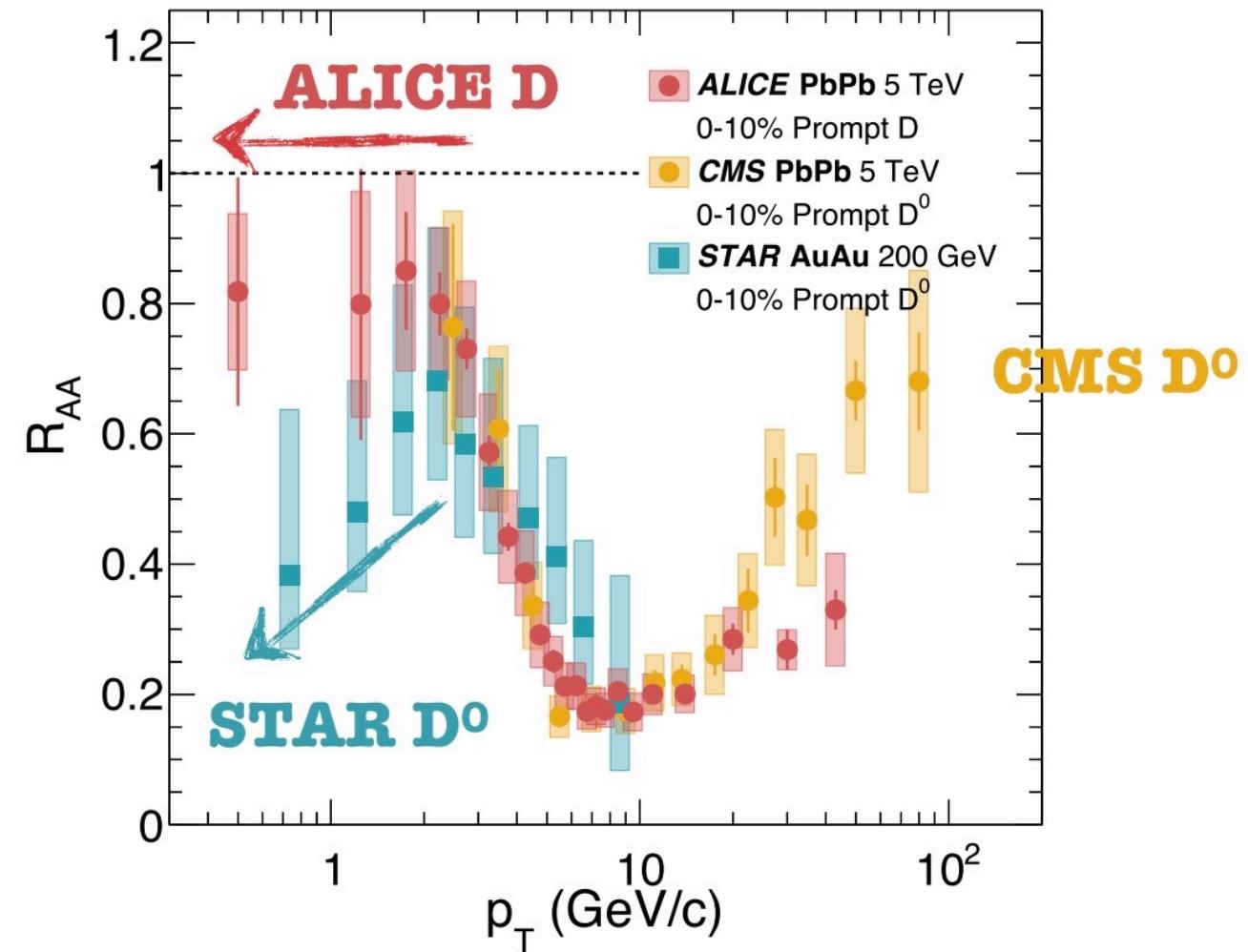
- $v_3(h^\pm) > v_3(\text{charm}) > v_3(\text{beauty}) \approx 0$

# Energy loss in medium: Open charm $R_{AA}$

New



## World open charm $R_{AA}$ (0-10%)



- Difference trend between LHC and RHIC?

