

# Mixing of charmed mesons: theoretical overview



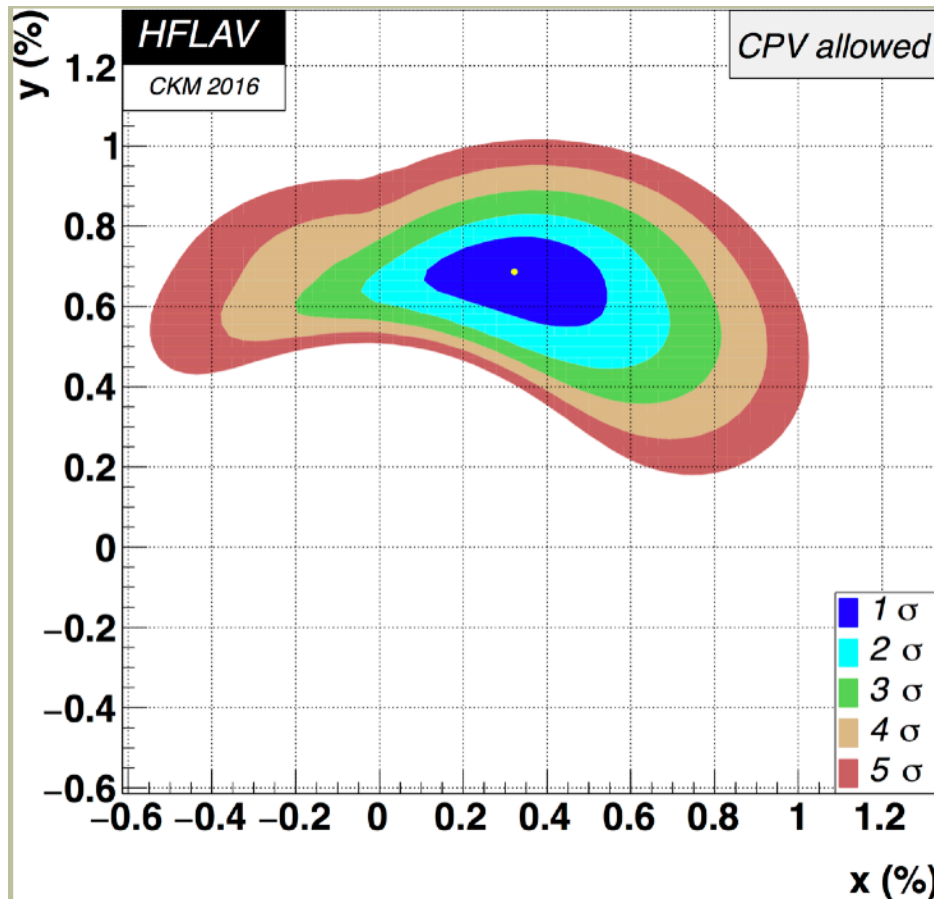
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Michigan Center for Theoretical Physics

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# Introduction

★ Experimental fact: charm mixing parameters are non-zero



★ ... and rather large

- if CP-violation is neglected...

$$x = (0.46^{+0.14}_{-0.15}) \%$$

$$y = (0.62 \pm 0.08) \%$$

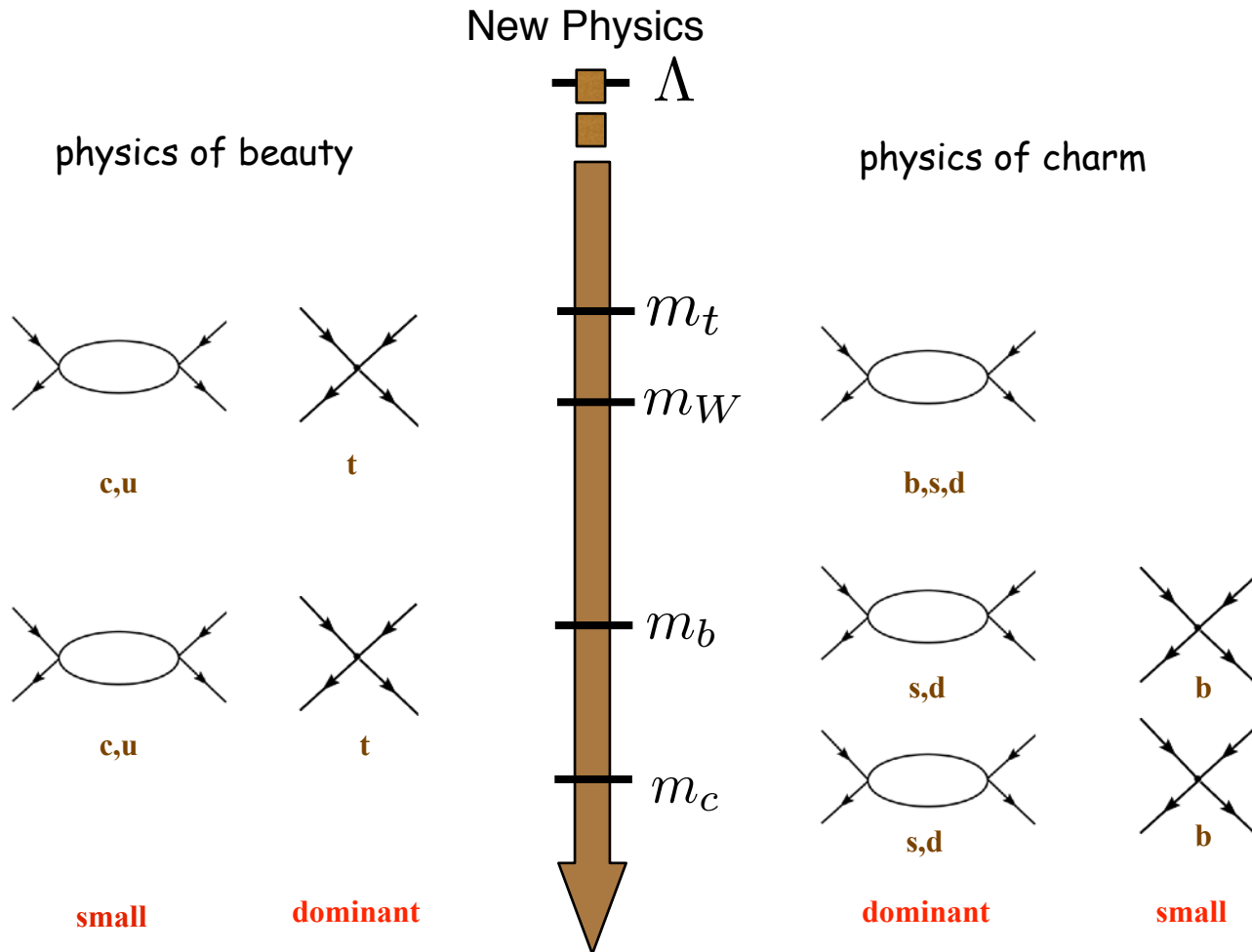
- if CP-violation is allowed

$$x = (0.32 \pm 0.14) \%$$

$$y = (0.69^{+0.06}_{-0.07}) \%$$

# Introduction

- ★ Main goal of the exercise: understand physics at the most fundamental scale
- ★ It is important to understand relevant energy scales for the problem at hand



# Quark-hadron duality: lifetimes

★ New Physics couples to quark degrees of freedom, we observe hadrons!

- ➔ need to know how to compute non-perturbative matrix elements
- ➔ need to understand how quark-hadron duality works

★ Observables computed in terms of hadronic degrees of freedom...

$$\Gamma_{hadron}(H_b) = \sum_{\substack{\text{all final state} \\ \text{hadrons}}} \Gamma(H_b \rightarrow h_i)$$

Bloom, Gilman;  
Poggio, Quinn, Weinberg

★ ... must match observables computed in terms of quark degrees of freedom

$$\Gamma(H_b) = \frac{1}{2M_b} \langle H_b | T | H_b \rangle = \frac{1}{2M_b} \langle H_b | \text{Im} i \int d^4x T \{ H_{eff}^{\Delta B=1}(x) H_{eff}^{\Delta B=1}(0) \} | H_b \rangle$$



$$\Gamma(H_b) = \frac{G_F^2 m_Q^5}{192\pi^3} \left[ A_0 + \frac{A_2}{m_Q^2} + \frac{A_2}{m_Q^3} + \dots \right]$$

HQ expansion converges reasonably well...

# Quark-hadron duality: lifetimes

## ★ How to define quark-hadron duality and quantify its violations?

- ➡ Compute quark correlator in Euclidian space and analytically continue to Minkowski space [exact calculation in ES = exact result in MS]
- ➡ Expand it in  $a_s$  and " $1/Q \sim 1/m_Q$ ": series truncation
- ➡ Any deviation beyond "natural uncertainty" is treated as violation of quark-hadron duality [resonances, instantons,...]

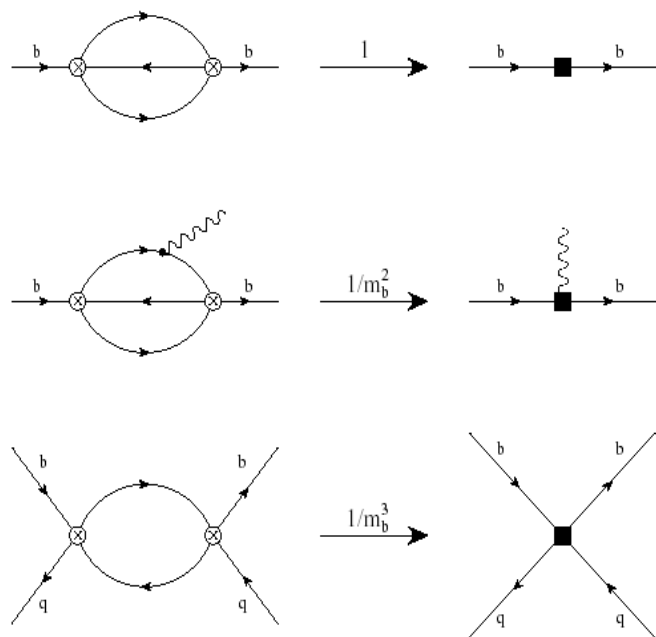
This definition is due to M. Shifman



Rob Gonzalves

# Quark-hadron duality: lifetimes

★ In case of b-flavored hadrons can compare directly to experiment



Lifetime ratio	Experimental average	HQE prediction
$\tau(B^+)/\tau(B^0)$	$1.076 \pm 0.004$	$1.04^{+0.05}_{-0.01} \pm 0.02 \pm 0.01$
$\tau(B_s^0)/\tau(B^0)$	$0.990 \pm 0.004$	$1.001 \pm 0.002$
$\tau(\Lambda_b^0)/\tau(B^0)$	$0.967 \pm 0.007$	$0.935 \pm 0.054$
$\tau(\Xi_b^0)/\tau(\Xi_b^-)$	$0.929 \pm 0.028$	$0.95 \pm 0.06$

HFLAV, 2017

★ ... works surprisingly well...

Channel	Expansion parameter $x$	Numerical value	$\exp[-1/x]$
$b \rightarrow c\bar{c}s$	$\frac{\Lambda}{\sqrt{m_b^2 - 4m_c^2}} \approx \frac{\Lambda}{m_b} \left(1 + 2\frac{m_c^2}{m_b^2}\right)$	0.054 – 0.58	$9.4 \cdot 10^{-9} - 0.18$
$b \rightarrow c\bar{u}s$	$\frac{\Lambda}{\sqrt{m_b^2 - m_c^2}} \approx \frac{\Lambda}{m_b} \left(1 + \frac{1}{2}\frac{m_c^2}{m_b^2}\right)$	0.045 – 0.49	$1.9 \cdot 10^{-10} - 0.13$
$b \rightarrow u\bar{u}s$	$\frac{\Lambda}{\sqrt{m_b^2 - 4m_u^2}} = \frac{\Lambda}{m_b}$	0.042 – 0.48	$4.2 \cdot 10^{-11} - 0.12$

Jubb, Kirk, Lenz, Tetlalmatzi-Xolocotzi, 2017

★ How does it work for charmed hadrons?

For the lifetimes, see Prof. H.Y. Cheng's talk from yesterday



# Quark-hadron duality: mixing

★ How can one tell that a process is dominated by long-distance or short-distance?

★ To start thing off, mass and lifetime differences of mass eigenstates...

$$x_D = \frac{M_2 - M_1}{\Gamma_D}, \quad y_D = \frac{\Gamma_2 - \Gamma_1}{2\Gamma_D}$$

★ ...can be calculated as real and imaginary parts of a correlation function

$$y_D = \frac{1}{2M_D\Gamma_D} \text{Im} \langle \overline{D^0} | i \int d^4x T \left\{ \mathcal{H}_w^{|\Delta C|=1}(x) \mathcal{H}_w^{|\Delta C|=1}(0) \right\} | D^0 \rangle$$

bi-local time-ordered product

$$x_D = \frac{1}{2M_D\Gamma_D} \text{Re} \left[ 2 \langle \overline{D^0} | H^{|\Delta C|=2} | D^0 \rangle + \langle \overline{D^0} | i \int d^4x T \left\{ \mathcal{H}_w^{|\Delta C|=1}(x) \mathcal{H}_w^{|\Delta C|=1}(0) \right\} | D^0 \rangle \right]$$

local operator  
(b-quark, NP): small?

bi-local time-ordered product

★ ... or can be written in terms of hadronic degrees of freedom...

$$y = \frac{1}{2\Gamma} \sum_n \rho_n \left[ \langle D^0 | H_W^{\Delta C=1} | n \rangle \langle n | H_W^{\Delta C=1} | \overline{D^0} \rangle + \langle \overline{D^0} | H_W^{\Delta C=1} | n \rangle \langle n | H_W^{\Delta C=1} | D^0 \rangle \right]$$

# Mixing: short vs long distance

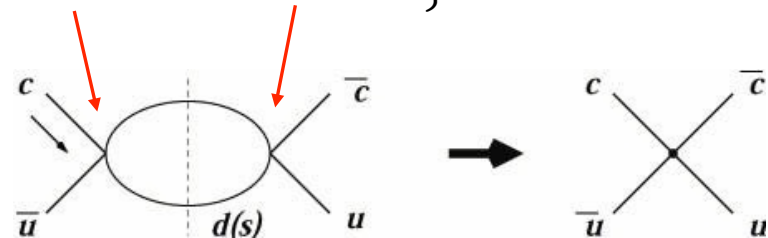
★ How can one tell that a process is dominated by long-distance or short-distance?

$$y = \frac{1}{2\Gamma} \sum_n \rho_n \left[ \langle D^0 | H_W^{\Delta C=1} | n \rangle \langle n | H_W^{\Delta C=1} | \bar{D}^0 \rangle + \langle \bar{D}^0 | H_W^{\Delta C=1} | n \rangle \langle n | H_W^{\Delta C=1} | D^0 \rangle \right]$$

★ It is important to remember that the expansion parameter is  $1/E_{\text{released}}$

$$y_D = \frac{1}{2M_D \Gamma_D} \text{Im} \langle \bar{D}^0 | i \int d^4x T \left\{ \mathcal{H}_w^{|\Delta C|=1}(x) \mathcal{H}_w^{|\Delta C|=1}(0) \right\} | D^0 \rangle$$

OPE-leading contribution:



★ In the heavy-quark limit  $m_c \rightarrow \infty$  we have  $m_c \gg \sum m_{\text{intermediate quarks}}$ , so  $E_{\text{released}} \sim m_c$

- the situation is similar to B-physics, where it is "short-distance" dominated
- one can consistently compute pQCD and  $1/m$  corrections

★ But wait,  $m_c$  is NOT infinitely large! What happens for finite  $m_c$ ???

- how is large momentum routed in the diagrams?
- are there important hadronization (threshold) effects?

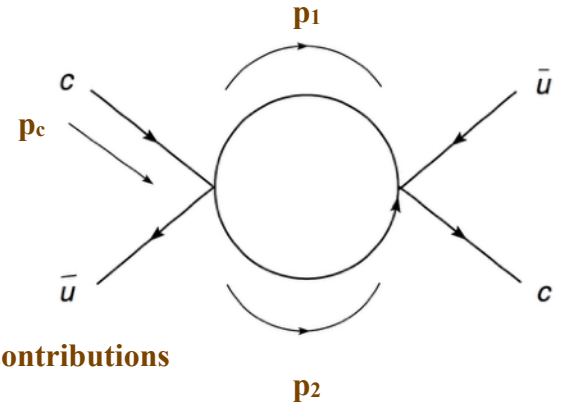


# Threshold (and related) effects in OPE

★ How can one tell that a process is dominated by long-distance or short-distance?

★ Let's look at how the momentum is routed in a leading-order diagram

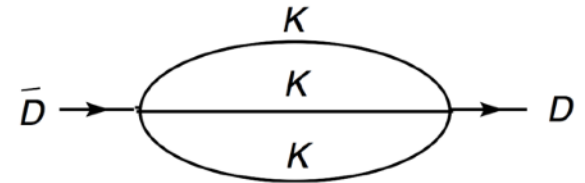
- injected momentum is  $p_c \sim m_c$
- thus,  $p_1 \sim p_2 \sim m_c/2 \sim O(\Lambda_{\text{QCD}})$



Still OK with OPE, signals large nonperturbative contributions

★ For a particular example of the lifetime difference, have hadronic intermediate states

- let's use an example of KKK intermediate state
- in this example,  $E_{\text{released}} \sim m_D - 3 m_K \sim O(\Lambda_{\text{QCD}})$

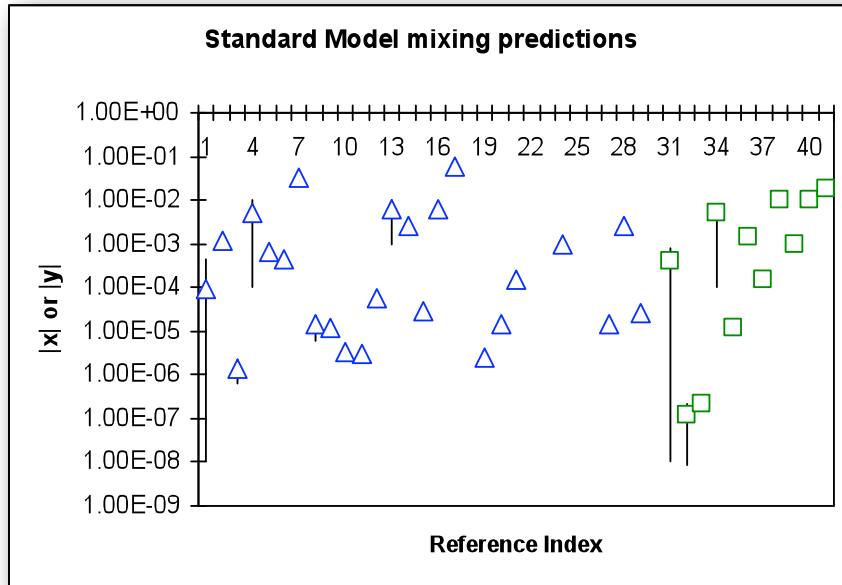


★ Similar threshold effects exist in B-mixing calculations

- but  $m_b \gg \sum m_{\text{intermediate quarks}}$ , so  $E_{\text{released}} \sim m_b$  (almost) always
- quark-hadron duality takes care of the rest!

Thus, two approaches: 1. insist on  $1/m_c$  expansion, hope for quark-hadron duality  
2. saturate correlators by hadronic states

# Mixing: Standard Model **p**redictions



\* **Not an actual representation of theoretical uncertainties. Objects might be bigger than what they appear to be...**



\*

## ★ Predictions of $x$ and $y$ in the SM are complicated

- second order in flavor SU(3) breaking
- $m_c$  is not quite large enough for OPE
  - $x, y \ll 10^{-3}$  ("short-distance")
  - $x, y \sim 10^{-2}$  ("long-distance")

## ★ Short distance:

- assume  $m_c$  is large
- combined  $m_s, 1/m_c, \alpha_s$  expansions
- leading order:  $m_s^2, 1/m_c^6!$
- threshold effects?

H. Georgi; T. Ohl, ...  
I. Bigi, N. Uraltsev;

M. Bobrowski et al

## ★ Long distance:

- assume  $m_c$  is NOT large
- sum of large numbers with alternating signs, SU(3) forces zero!
- multiparticle intermediate states dominate

J. Donoghue et. al.  
P. Colangelo et. al.

Falk, Grossman, Ligeti, Nir. A.A.P.  
Phys.Rev. D69, 114021, 2004  
Falk, Grossman, Ligeti, and A.A.P.  
Phys.Rev. D65, 054034, 2002

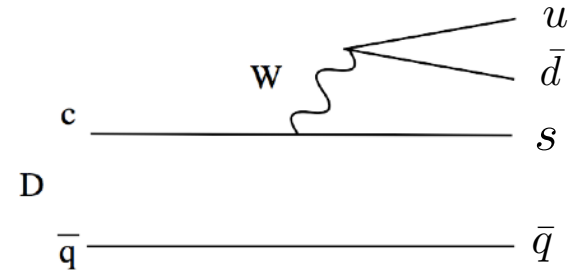
# Aside: classification of charm decays

★ Can be classified by SM CKM suppression

★ Cabibbo-favored (CF) decay

- originates from  $c \rightarrow s u \bar{d}$
- examples:  $D^0 \rightarrow K^- \pi^+$

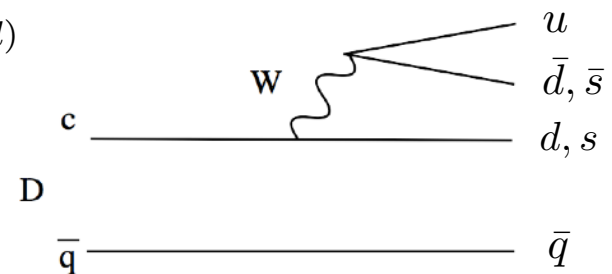
$$V_{cs} V_{ud}^*$$



★ Singly Cabibbo-suppressed (SCS) decay

- originates from  $c \rightarrow q u \bar{q}$
- examples:  $D^0 \rightarrow \pi \pi$  and  $D^0 \rightarrow K K$

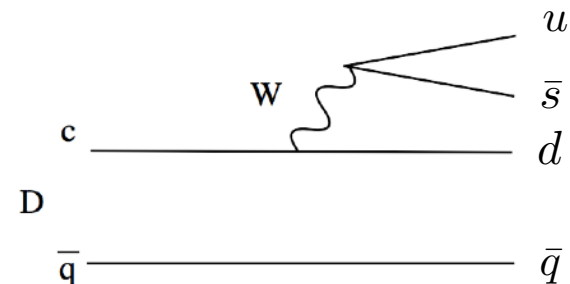
$$V_{cs(d)} V_{us(d)}^*$$



★ Doubly Cabibbo-suppressed (DCS) decay

- originates from  $c \rightarrow d u \bar{s}$
- examples:  $D^0 \rightarrow K^+ \pi^-$

$$V_{cd} V_{us}^*$$



★ "Common final states" for  $D$  and  $\bar{D}$  generate mixing in exclusive approach

# Exclusive approach to mixing: use data?

★ LD calculation: saturate the correlator by hadronic states, e.g.

$$y = \frac{1}{2\Gamma} \sum_n \rho_n \left[ \langle D^0 | H_W^{\Delta C=1} | n \rangle \langle n | H_W^{\Delta C=1} | \bar{D}^0 \rangle + \langle \bar{D}^0 | H_W^{\Delta C=1} | n \rangle \langle n | H_W^{\Delta C=1} | D^0 \rangle \right]$$

... with n being all states to which  $D^0$  and  $\bar{D}^0$  can decay. Consider  $\pi\pi$ ,  $\pi K$ ,  $KK$  intermediate states as an example...

$$y_2 = Br(D^0 \rightarrow K^+ K^-) + Br(D^0 \rightarrow \pi^+ \pi^-) \\ - 2 \cos \delta \sqrt{Br(D^0 \rightarrow K^+ \pi^-) Br(D^0 \rightarrow \pi^+ K^-)}$$

J. Donoghue et. al.  
L. Wolfenstein  
P. Colangelo et. al.

H.Y. Cheng and C. Chiang

cancellation  
expected

If every Br is known up to  $O(1\%)$   $\Rightarrow$  the result is expected to be  $O(1\%)!$

The result here is a series of large numbers with alternating signs, SU(3) forces 0

If experimental data on Br is used, are we only sensitive to exit. uncertainties?

★ Need to “repackage” the analysis: look at complete multiplet contribution

$$y = \sum_{F_R} y_{F,R} Br(D^0 \rightarrow F_R) = \sum_{F_R} y_{F,R} \frac{1}{\Gamma} \sum_{n \in F_R} \Gamma(D^0 \rightarrow n)$$

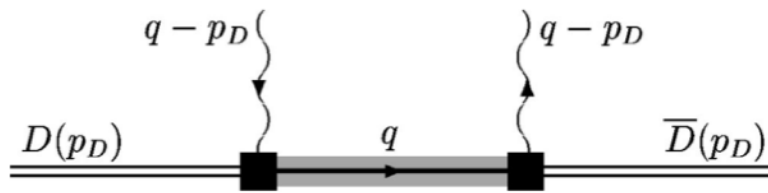
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Phys.Rev. D69, 114021, 2004  
Falk, Grossman, Ligeti, and A.A.P.  
Phys.Rev. D65, 054034, 2002

# Exclusive approach to mixing: no data

Falk, Grossman, Ligeti, Nir, A.A.P.  
Phys.Rev. D69, 114021, 2004  
Falk, Grossman, Ligeti, and A.A.P.  
Phys.Rev. D65, 054034, 2002

★ LD calculation: consider the correlation

$$\Sigma_{p_D}(q) = i \int d^4 z \left\langle \bar{D}(p_D) \left| T [H_w(z) H_w(0)] \right| D(p_D) \right\rangle e^{i(q-p_D)z}$$



$$-\frac{1}{2m_D} \Sigma_{p_D}(p_D) = \left( \Delta m - \frac{i}{2} \Delta \Gamma \right)$$

★  $\Sigma_{p_D}(q)$  is an analytic function of  $q$ . To write a disp. relation, go to HQET:

$$H_w = \frac{4G_F}{\sqrt{2}} V_{cq_1} V_{uq_2}^* \sum_i C_i O_i = \hat{H}_w \left[ e^{-im_c v \cdot z} h_v^{(c)} + e^{im_c v \cdot z} \tilde{h}_v^{(c)} \right] + \dots$$

$$|D(p = mv)\rangle = \sqrt{m} |H(v)\rangle + \dots$$

Now we can interpret  $\Sigma_{p_D}(q)$  for all  $q$

# Dispersion relations for mixing

★ ...this implies for the correlator

Rapidly oscillates for large  $m_c$

$$\Sigma_{p_D}(q) = i \int d^4 z \left\langle \overline{H}(v) \right| T e^{i(q-p_D-m_c v)z} \left[ \hat{H}_w h_v^{(c)}(z), \hat{H}_w \tilde{h}_v^{(c)}(0) \right] \left| H(v) \right\rangle + \\ i \int d^4 z \left\langle \overline{H}(v) \right| T e^{i(q-p_D+m_c v)z} \left[ \hat{H}_w \tilde{h}_v^{(c)}(z), \hat{H}_w h_v^{(c)}(0) \right] \left| H(v) \right\rangle + \dots$$

★ HQ mass dependence drops out for the second term, so for  $\overline{\Sigma}_v(q) = \Sigma_{p_D}(q)/m_D$

$$\overline{\Sigma}_v(q) = -2\Delta m(E) + i\Delta\Gamma(E)$$

mass and width difference of a heavy meson with mass  $E$

★ Thus, a dispersion relation

$$\Delta m = -\frac{1}{2\pi} P \int_{2m_\pi}^{\infty} dE \left[ \frac{\Delta\Gamma(E)}{E - m_D} + O\left(\frac{\Lambda_{QCD}}{E}\right) \right]$$

Compute  $\Delta\Gamma$ , then find  $\Delta m$ !



# No data: $SU(3)_F$ and phase space

★ “Repackage” the analysis: look at the complete multiplet contribution

$$y = \sum_{F_R} y_{F,R} \text{Br}(D^0 \rightarrow F_R) = \sum_{F_R} y_{F,R} \frac{1}{\Gamma} \sum_{n \in F_R} \Gamma(D^0 \rightarrow n)$$

y for each  $SU(3)$  multiplet

Each is **0** in  $SU(3)$

★ Does it help? If only phase space is taken into account: mild model dependence

$$y_{F,R} = \frac{\sum_{n \in F_R} \langle \bar{D}^0 | H_W | n \rangle \rho_n \langle n | H_W | D^0 \rangle}{\sum_{n \in F_R} \Gamma(D^0 \rightarrow n)} = \frac{\sum_{n \in F_R} \langle \bar{D}^0 | H_W | n \rangle \rho_n \langle n | H_W | D^0 \rangle}{\sum_{n \in F_R} \langle D^0 | H_W | n \rangle \rho_n \langle n | H_W | D^0 \rangle}$$

Can consistently compute

# Example: PP intermediate states

★ Consider PP intermediate state. Note that  $(8 \times 8)_S = 27 + 8 + 1$ . Look at 8 as an example

Numerator:

$$A_{N,8} = |A_0|^2 s_1^2 \left[ \frac{1}{2} \Phi(\eta, \eta) + \frac{1}{2} \Phi(\pi^0, \pi^0) + \frac{1}{3} \Phi(\eta, \pi^0) + \Phi(\pi^+, \pi^-) - \Phi(\bar{K}^0, \pi^0) \right. \\ \left. + \Phi(K^+, K^-) - \frac{1}{6} \Phi(\eta, K^0) - \frac{1}{6} \Phi(\eta, \bar{K}^0) - \Phi(K^+, \pi^-) - \Phi(K^-, \pi^+) \right]$$

Denominator:

$$A_{D,8} = |A_0|^2 \left[ \frac{1}{6} \Phi(\eta, K^0) + \Phi(K^+, \pi^-) + \frac{1}{2} \Phi(K^0, \pi^0) + O(s_1^2) \right]$$

phase space function

★ This contribution is calculable....

$$y_{2,8} = \frac{A_{N,8}}{A_{D,8}} = -0.038 s_1^2 = -1.8 \times 10^{-4}$$

... but completely negligible!

1. Repeat for other states
2. Multiply by  $\text{Br}_{\text{Fr}}$  to get  $y$

# Old results

★ Repeat for other intermediate states:

Final state representation		$y_{F,R}/s_1^2$	$y_{F,R}$ (%)
$PP$	8	-0.0038	-0.018
	27	-0.00071	-0.0034
$PV$	$8_S$	0.031	0.15
	$8_A$	0.032	0.15
	10	0.020	0.10
	$\overline{10}$	0.016	0.08
	27	0.040	0.19
$(VV)_{s\text{-wave}}$	8	-0.081	-0.39
	27	-0.061	-0.30
$(VV)_{p\text{-wave}}$	8	-0.10	-0.48
	27	-0.14	-0.70
$(VV)_{d\text{-wave}}$	8	0.51	2.5
	27	0.57	2.8

Final state representation		$y_{F,R}/s_1^2$	$y_{F,R}$ (%)
$(3P)_{s\text{-wave}}$	8	-0.48	-2.3
	27	-0.11	-0.54
$(3P)_{p\text{-wave}}$	8	-1.13	-5.5
	27	-0.07	-0.36
$(3P)_{\text{form-factor}}$	8	-0.44	-2.1
	27	-0.13	-0.64
$4P$	8	3.3	16
	27	2.2	9.2
	$27'$	1.9	11

- Product is naturally  $O(1\%)$
- No (symmetry-enforced) cancellations
- Disp relation: compute  $x$  (model-dependence)

naturally implies that  $x, y \sim 1\%$  is expected in the Standard Model

Final state	fraction
$PP$	5%
$PV$	10%
$(VV)_{s\text{-wave}}$	5%
$(VV)_{d\text{-wave}}$	5%
$3P$	5%
$4P$	10%

A.F., Y.G., Z.L., Y.N. and A.A.P.  
Phys.Rev. D69, 114021, 2004

E.Golowich and A.A.P.  
Phys.Lett. B427, 172, 1998

Note dominance of near-threshold states!

# Exclusive approach to mixing: use data!

★ What if we insist on using experimental data anyway?

★ Ex., one can employ Factorization-Assisted Topological Amplitudes

in units of  $10^{-3}$

Modes	$\mathcal{B}(\text{exp})$	$\mathcal{B}(\text{FAT})$	Modes	$\mathcal{B}(\text{exp})$	$\mathcal{B}(\text{FAT})$	Modes	$\mathcal{B}(\text{exp})$	$\mathcal{B}(\text{FAT})$
$\pi^0 \bar{K}^0$	$24.0 \pm 0.8$	$24.2 \pm 0.8$	$\pi^0 \bar{K}^{*0}$	$37.5 \pm 2.9$	$35.9 \pm 2.2$	$\bar{K}^0 \rho^0$	$12.8^{+1.4}_{-1.6}$	$13.5 \pm 1.4$
$\pi^+ K^-$	$39.3 \pm 0.4$	$39.2 \pm 0.4$	$\pi^+ K^{*-}$	$54.3 \pm 4.4$	$62.5 \pm 2.7$	$K^- \rho^+$	$111.0 \pm 9.0$	$105.0 \pm 5.2$
$\eta \bar{K}^0$	$9.70 \pm 0.6$	$9.6 \pm 0.6$	$\eta \bar{K}^{*0}$	$9.6 \pm 3.0$	$6.1 \pm 1.0$	$\bar{K}^0 \omega$	$22.2 \pm 1.2$	$22.3 \pm 1.1$
$\eta' \bar{K}^0$	$19.0 \pm 1.0$	$19.5 \pm 1.0$	$\eta' \bar{K}^{*0}$	$< 1.10$	$0.19 \pm 0.01$	$\bar{K}^0 \phi$	$8.47^{+0.66}_{-0.34}$	$8.2 \pm 0.6$
$\pi^+ \pi^-$	$1.421 \pm 0.025$	$1.44 \pm 0.02$	$\pi^+ \rho^-$	$5.09 \pm 0.34$	$4.5 \pm 0.2$	$\pi^- \rho^+$	$10.0 \pm 0.6$	$9.2 \pm 0.3$
$K^+ K^-$	$4.01 \pm 0.07$	$4.05 \pm 0.07$	$K^+ K^{*-}$	$1.62 \pm 0.15$	$1.8 \pm 0.1$	$K^- K^{*+}$	$4.50 \pm 0.30$	$4.3 \pm 0.2$
$K^0 \bar{K}^0$	$0.36 \pm 0.08$	$0.29 \pm 0.07$	$K^0 \bar{K}^{*0}$	$0.18 \pm 0.04$	$0.19 \pm 0.03$	$\bar{K}^0 K^{*0}$	$0.21 \pm 0.04$	$0.19 \pm 0.03$
$\pi^0 \eta$	$0.69 \pm 0.07$	$0.74 \pm 0.03$	$\eta \rho^0$		$1.4 \pm 0.2$	$\pi^0 \omega$	$0.117 \pm 0.035$	$0.10 \pm 0.03$
$\pi^0 \eta'$	$0.91 \pm 0.14$	$1.08 \pm 0.05$	$\eta' \rho^0$		$0.25 \pm 0.01$	$\pi^0 \phi$	$1.35 \pm 0.10$	$1.4 \pm 0.1$
$\eta \eta$	$1.70 \pm 0.20$	$1.86 \pm 0.06$	$\eta \omega$	$2.21 \pm 0.23$	$2.0 \pm 0.1$	$\eta \phi$	$0.14 \pm 0.05$	$0.18 \pm 0.04$
$\eta \eta'$	$1.07 \pm 0.26$	$1.05 \pm 0.08$	$\eta' \omega$		$0.044 \pm 0.004$			
$\pi^0 \pi^0$	$0.826 \pm 0.035$	$0.78 \pm 0.03$	$\pi^0 \rho^0$	$3.82 \pm 0.29$	$4.1 \pm 0.2$			
$\pi^0 K^0$		$0.069 \pm 0.002$	$\pi^0 K^{*0}$		$0.103 \pm 0.006$	$K^0 \rho^0$		$0.039 \pm 0.004$
$\pi^- K^+$	$0.133 \pm 0.009$	$0.133 \pm 0.001$	$\pi^- K^{*+}$	$0.345^{+0.180}_{-0.102}$	$0.40 \pm 0.02$	$K^+ \rho^-$		$0.144 \pm 0.009$
$\eta K^0$		$0.027 \pm 0.002$	$\eta K^{*0}$		$0.017 \pm 0.003$	$K^0 \omega$		$0.064 \pm 0.003$
$\eta' K^0$		$0.056 \pm 0.003$	$\eta' K^{*0}$		$0.00055 \pm 0.00004$	$K^0 \phi$		$0.024 \pm 0.002$

Jiang, Yu, Qin, Li, and Lu, 2017

★ ... but it appears to yield a smaller result,  $y_{PP+PV} = (0.21 \pm 0.07)\%$ ,

# Exclusive approach to mixing: use data!

★ What if we insist on using experimental data anyway?

A.A.P. and R. Briere  
arXiv:1804.xxxx

★ Possible additional contributions?

- each intermediate state has a finite width, i.e. is not a proper asymptotic state
- within each multiplet widths experience (incomplete) SU(3) cancelations
- this effect already happens for the simplest intermediate states!

★ Consider, for illustration, a set of single-particle intermediate states:

$$-\Sigma_{p_D}(p_D)\Big|_{\text{tot}}^{\text{res}} = \frac{1}{2m_D} \sum_R \text{Re} \frac{\langle D_L | \mathcal{H}_W | R \rangle \langle R | \mathcal{H}_W^\dagger | D_L \rangle}{m_D^2 - m_R^2 + i\Gamma_R m_D} \quad - \quad (D_L \rightarrow D_S)$$

$D^0 \longrightarrow \boxed{H_W} \text{---} R \text{---} \boxed{H_W} \longrightarrow \bar{D}^0$

$$\Delta m_D \Big|_R^{\text{res}} \propto \frac{m_D^2 - m_R^2}{(m_D^2 - m_R^2)^2 + \Gamma_R^2 m_D^2}$$

$$\Delta \Gamma_D \Big|_R^{\text{res}} \propto -\frac{\Gamma_R m_D}{(m_D^2 - m_R^2)^2 + \Gamma_R^2 m_D^2}$$

★ Each resonance contributes to  $\Delta\Gamma$  only because of its finite width!

# Finite width effects and exclusive approach

## ★ Multiplet effects for (single-particle) intermediate states

- in this simple example: heavy pion, kaon and eta/eta'
- each single-particle intermediate state has a rather large width

$$\Delta\Gamma_D|_{\text{octet}}^{\text{res}} = \Delta\Gamma_D^{(K_H)} - \frac{1}{4}\Delta\Gamma_D^{(\pi_H)} - \frac{3\cos^2\theta_H}{4}\Delta\Gamma_D^{(\eta_H)} - \frac{1\sin^2\theta_H}{4}\Delta\Gamma_D^{(\eta'_H)}$$

- where for each state  $\Delta\Gamma_D^{\text{res}} = -C f_R^2 \frac{\mu_R \gamma_R}{(1 - \mu_R)^2 + \gamma_R^2}$
- ... and a model calculation gives  $C \equiv 2m_D (G_F a_2 f_D \xi_d / \sqrt{2})^2$
- SU(3) forces cancellations between members: a new SU(3) breaking effect!

Table: Magnitudes of Pseudoscalar Resonance Contributions.

Resonance	$ \Delta m_D  \times 10^{-16}$ (GeV)	$ \Delta\Gamma_D  \times 10^{-16}$ (GeV)
$K(1460)$	$\sim 1.24 (f_{K(1460)}/0.025)^2$	$\sim 0.88 (f_{K(1460)}/0.025)^2$
$\eta(1760)$	$(0.77 \pm 0.27) (f_{\eta(1760)}/0.01)^2$	$(0.43 \pm 0.53) (f_{\eta(1760)}/0.01)^2$
$\pi(1800)$	$(0.13 \pm 0.06) (f_{\pi(1800)}/0.01)^2$	$(0.41 \pm 0.11) (f_{\pi(1800)}/0.01)^2$
$K(1830)$	$\sim 0.29 (f_{K(1830)}/0.01)^2$	$\sim 1.86 (f_{K(1830)}/0.01)^2$

## ★ Similar effect for PP', PV, PA, ... intermediate states!

A.A.P. and R. Briere  
arXiv:1804.xxxx



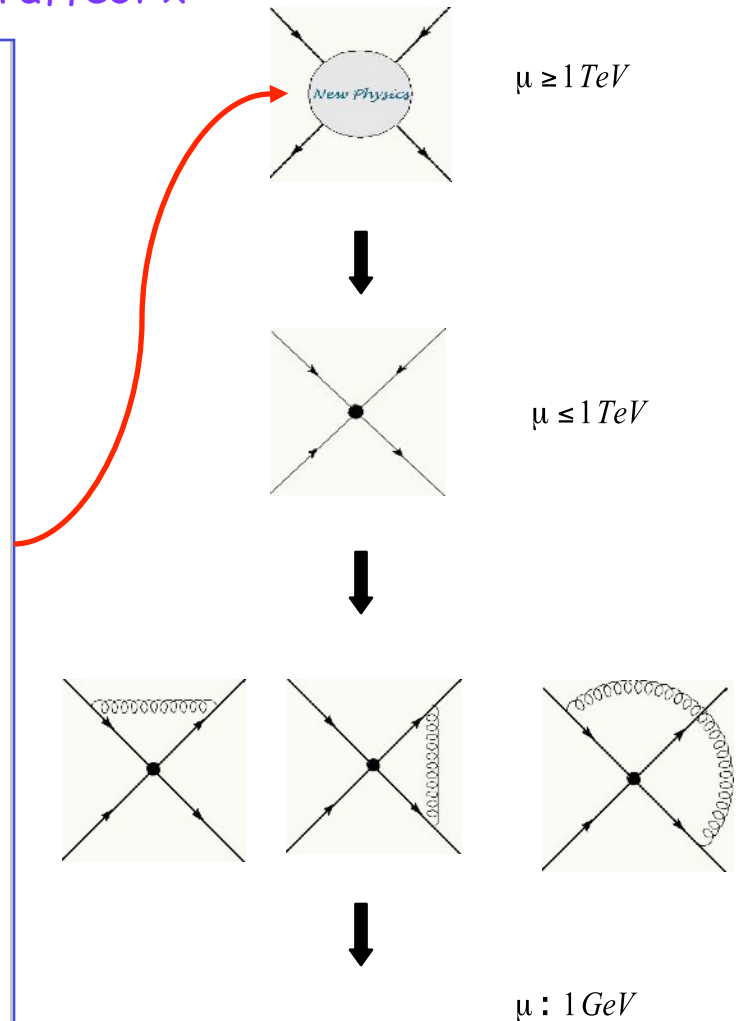
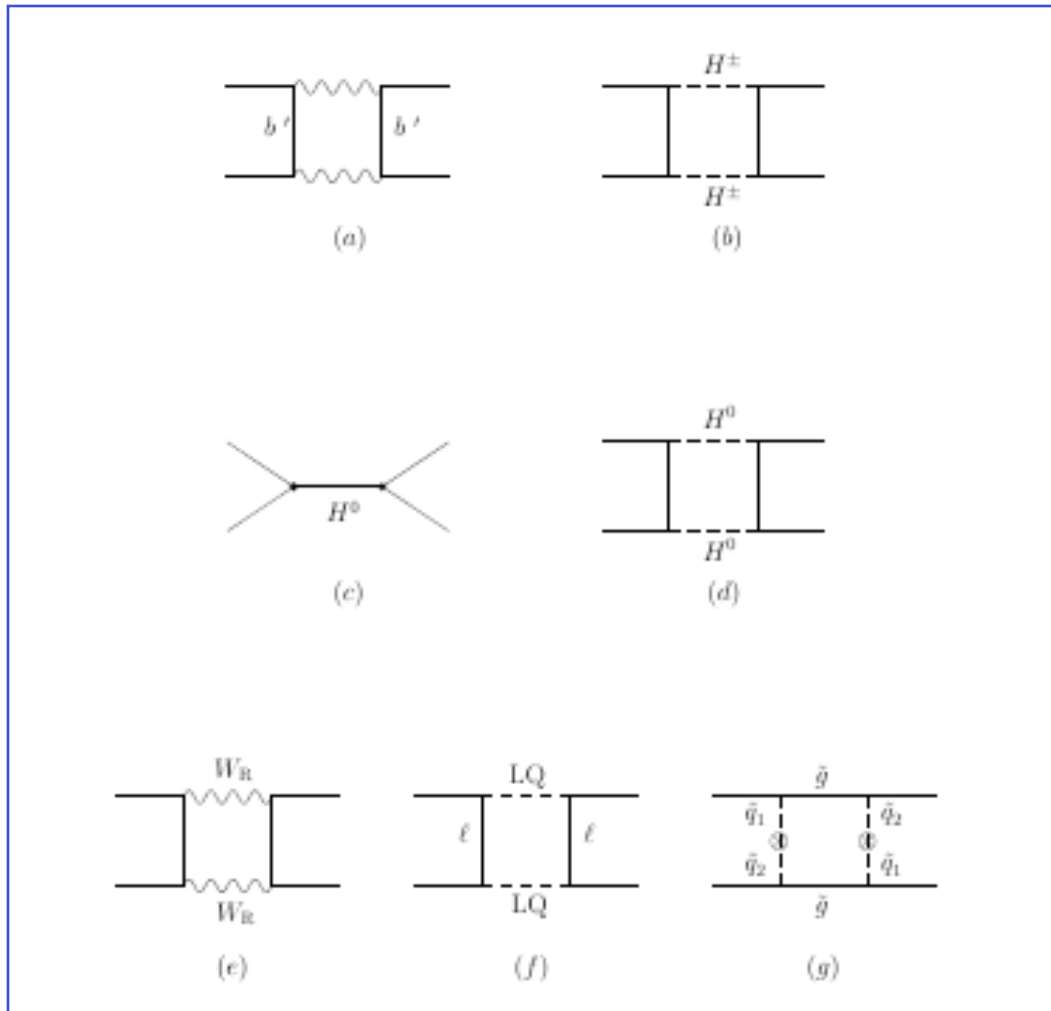
# Finite width effects: (near) future

To counteract the effects of finite widths and avoid double counting,  
work directly with Dalitz plot decays of D-mesons

A.A.P. and R. Briere  
arXiv:1804.xxxx

# New Physics in charm mixing

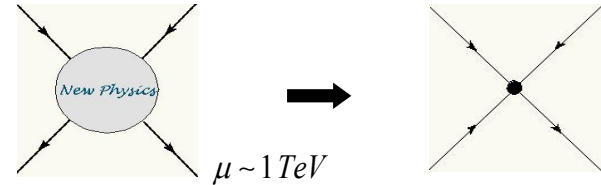
★ Multitude of various models of New Physics can affect  $x$



# How would New Physics affect charm mixing?

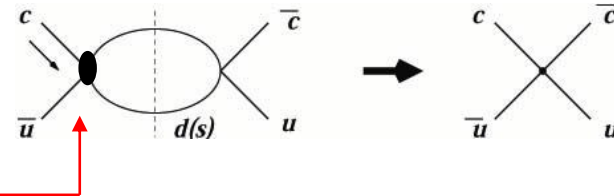
- Local  $\Delta C=2$  piece of the mass matrix affects x:

$$\left(M - \frac{i}{2}\Gamma\right)_{ij} = m_D^{(0)}\delta_{ij} + \frac{1}{2m_D} \langle D_i^0 | H_W^{\Delta C=2} | D_j^0 \rangle + \frac{1}{2m_D} \sum_I \frac{\langle D_i^0 | H_W^{\Delta C=1} | I \rangle \langle I | H_W^{\Delta C=1} | D_j^0 \rangle}{m_D^2 - m_I^2 + i\varepsilon}$$



- Double insertion of  $\Delta C=1$  affects x and y:

Amplitude  $A_n = \langle D^0 | (H_{SM}^{\Delta C=1} + H_{NP}^{\Delta C=1}) | n \rangle \equiv A_n^{SM} + A_n^{NP}$



Suppose  $|A_n^{NP}|/|A_n^{SM}| \sim O(\text{exp. uncertainty}) \leq 10\%$

Example:  $y = \frac{1}{2\Gamma} \sum_n \rho_n (\bar{A}_n^{SM} + \bar{A}_n^{NP}) (A_n^{SM} + A_n^{NP}) \approx \underbrace{\frac{1}{2\Gamma} \sum_n \rho_n \bar{A}_n^{SM} A_n^{SM}}_{\text{Zero in the SU(3) limit}} + \underbrace{\frac{1}{2\Gamma} \sum_n \rho_n (\bar{A}_n^{SM} A_n^{NP} + \bar{A}_n^{NP} A_n^{SM})}_{\text{Can be significant!!!}}$

phase space

Zero in the SU(3) limit

Falk, Grossman, Ligeti, and A.A.P.  
Phys.Rev. D65, 054034, 2002  
2<sup>nd</sup> order effect!!!

Can be significant!!!

Golowich, Pakvasa, A.A.P.  
Phys. Rev. Lett.98:181801, 2007

# Generic restrictions on NP from $D\bar{D}$ -mixing

★ Comparing to experimental value of  $x$ , obtain constraints on NP models

- assume  $x$  is dominated by the New Physics model
- assume no accidental strong cancellations b/w SM and NP

$$\mathcal{H}_{NP}^{\Delta C=2} = \frac{1}{\Lambda_{NP}^2} \sum_{i=1}^8 z_i(\mu) Q'_i$$

$$\begin{aligned} Q_1^{cu} &= \bar{u}_L^\alpha \gamma_\mu c_L^\alpha \bar{u}_L^\beta \gamma^\mu c_L^\beta, \\ Q_2^{cu} &= \bar{u}_R^\alpha c_L^\alpha \bar{u}_R^\beta c_L^\beta, \\ Q_3^{cu} &= \bar{u}_R^\alpha c_L^\beta \bar{u}_R^\beta c_L^\alpha, \end{aligned} + \left\{ \begin{array}{c} L \\ \updownarrow \\ R \end{array} \right\} + \begin{aligned} Q_4^{cu} &= \bar{u}_R^\alpha c_L^\alpha \bar{u}_L^\beta c_R^\beta, \\ Q_5^{cu} &= \bar{u}_R^\alpha c_L^\beta \bar{u}_L^\beta c_R^\alpha, \end{aligned}$$

★ ... which are

$$\begin{aligned} |z_1| &\lesssim 5.7 \times 10^{-7} \left( \frac{\Lambda_{NP}}{1 \text{ TeV}} \right)^2, \\ |z_2| &\lesssim 1.6 \times 10^{-7} \left( \frac{\Lambda_{NP}}{1 \text{ TeV}} \right)^2, \\ |z_3| &\lesssim 5.8 \times 10^{-7} \left( \frac{\Lambda_{NP}}{1 \text{ TeV}} \right)^2, \\ |z_4| &\lesssim 5.6 \times 10^{-8} \left( \frac{\Lambda_{NP}}{1 \text{ TeV}} \right)^2, \\ |z_5| &\lesssim 1.6 \times 10^{-7} \left( \frac{\Lambda_{NP}}{1 \text{ TeV}} \right)^2. \end{aligned}$$

New Physics is either at a very high scales

tree level:  $\Lambda_{NP} \geq (4 - 10) \times 10^3 \text{ TeV}$

loop level:  $\Lambda_{NP} \geq (1 - 3) \times 10^2 \text{ TeV}$

or have highly suppressed couplings to charm!

Gedalia, Grossman, Nir, Perez  
Phys.Rev.D80, 055024, 2009

E.Golowich, J. Hewett, S. Pakvasa and A.A.P.  
Phys. Rev. D76:095009, 2007

★ Constraints on particular NP models available

# New Physics in mixing: particular models

Extra gauge bosons  
Extra fermions  
Extra scalars  
Extra dimensions  
SUSY

Model	Approximate Constraint
Fourth Generation (Fig. 2)	$ V_{ub}V_{cb}  \cdot m_b < 0.5 \text{ (GeV)}$
$Q = -1/3$ Singlet Quark (Fig. 4)	$s_2 \cdot m_S < 0.27 \text{ (GeV)}$
$Q = +2/3$ Singlet Quark (Fig. 6)	$ \lambda_{uc}  < 2.4 \cdot 10^{-4}$
Little Higgs	Tree: See entry for $Q = -1/3$ Singlet Quark Box: Region of parameter space can reach observed $x_D$
Generic $Z'$ (Fig. 7)	$M_{Z'}/C > 2.2 \cdot 10^3 \text{ TeV}$
Family Symmetries (Fig. 8)	$m_1/f > 1.2 \cdot 10^3 \text{ TeV}$ (with $m_1/m_2 = 0.5$ )
Left-Right Symmetric (Fig. 9)	No constraint
Alternate Left-Right Symmetric (Fig. 10)	$M_R > 1.2 \text{ TeV}$ ( $m_{D_1} = 0.5 \text{ TeV}$ ) $(\Delta m/m_{D_1})/M_R > 0.4 \text{ TeV}^{-1}$
Vector Leptoquark Bosons (Fig. 11)	$M_{VLQ} > 55(\lambda_{PP}/0.1) \text{ TeV}$
Flavor Conserving Two-Higgs-Doublet (Fig. 13)	No constraint
Flavor Changing Neutral Higgs (Fig. 15)	$m_H/C > 2.4 \cdot 10^3 \text{ TeV}$
FC Neutral Higgs (Cheng-Sher ansatz) (Fig. 16)	$m_H/ \Delta_{uc}  > 600 \text{ GeV}$
Scalar Leptoquark Bosons	See entry for RPV SUSY
Higgsless (Fig. 17)	$M > 100 \text{ TeV}$
Universal Extra Dimensions	No constraint
Split Fermion (Fig. 19)	$M/ \Delta y  > (6 \cdot 10^2 \text{ GeV})$
Warped Geometries (Fig. 21)	$M_1 > 3.5 \text{ TeV}$
Minimal Supersymmetric Standard (Fig. 23)	$ (\delta_{12}^u)_{LR,RL}  < 3.5 \cdot 10^{-2}$ for $\tilde{m} \sim 1 \text{ TeV}$ $ (\delta_{12}^u)_{LL,RR}  < .25$ for $\tilde{m} \sim 1 \text{ TeV}$
Supersymmetric Alignment	$\tilde{m} > 2 \text{ TeV}$
Supersymmetry with RPV (Fig. 27)	$\lambda'_{12k} \lambda'_{11k}/m_{\tilde{d}_{R,k}} < 1.8 \cdot 10^{-3}/100 \text{ GeV}$
Split Supersymmetry	No constraint

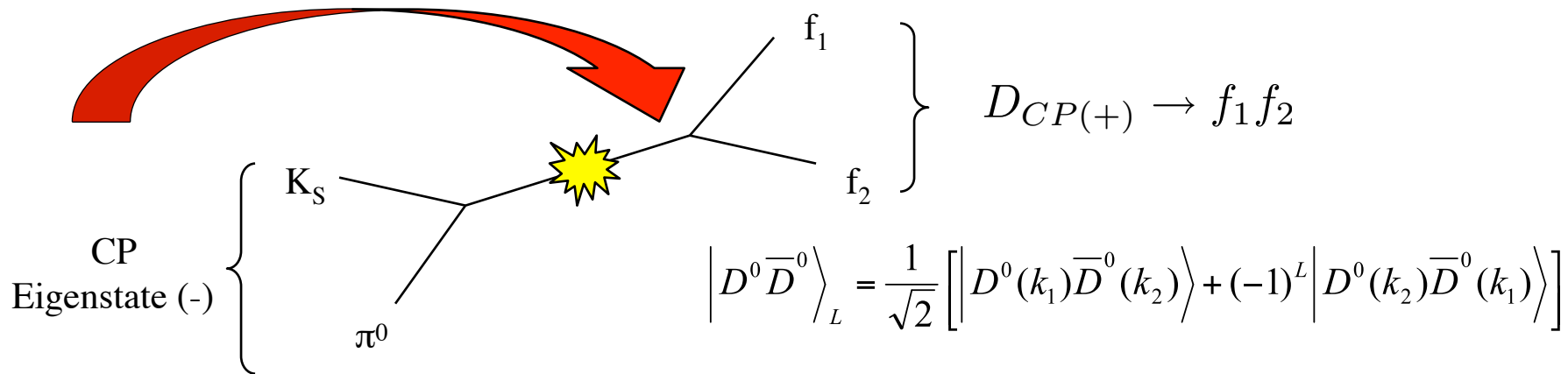
E.Golowich, J. Hewett, S. Pakvasa and A.A.P.  
Phys. Rev. D76:095009, 2007

Gedalia, Grossman, Nir, Perez  
arXiv:0906.1879 [hep-ph]

Bigi, Blanke, Buras, Recksiegel,  
JHEP 0907:097, 2009

# Measuring charm mixing with HIEPA

- ★ If CP violation is neglected: mass eigenstates = CP eigenstates
- ★ CP eigenstates do NOT evolve with time, so can be used for “tagging”



- ★  $\tau$ -charm factories have good CP-tagging capabilities  $\overbrace{(-)}$
- CP anti-correlated  $\psi(3770)$ :  $CP(\text{tag}) (-1)^L = [CP(K_S) CP(\pi^0)] (-1) = +1$
- CP correlated  $\psi(4140)$

Can measure  $(y \cos \phi)$ :  $B_{\pm}^l = \frac{\Gamma(D_{CP\pm} \rightarrow X l \nu)}{\Gamma_{tot}}$

$$y \cos \phi = \frac{1}{4} \left( \frac{B_+^l}{B_-^l} - \frac{B_-^l}{B_+^l} \right)$$

D. Atwood, A.A.P., hep-ph/0207165

D. Asner, W. Sun, hep-ph/0507238

No need for time dependence!



## 4. Things to take home

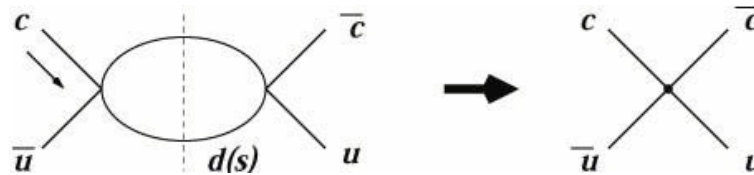
- Computation of charm mixing amplitudes is a difficult task
  - no dominant heavy dof, as in beauty decays
  - light dofs give no contribution in the flavor SU(3) limit
- Charm quark is neither heavy nor light enough for a clean application of well-established techniques
  - "heavy-quark-expansion" techniques miss threshold effects
  - "heavy-quark" techniques give numerically leading contribution that is parametrically suppressed by  $1/m^6$
  - "hadronic" techniques need to sum over large number of intermediate states, AND cannot use current experimental data on D-decays
  - "hadronic" techniques currently neglect some sources of SU(3) breaking
- Finite width effects complicate use of experimental data in exclusive analyses to obtain mass and lifetime differences
  - instead, direct use of Dalitz decays of D-mesons is desirable
- Quantum-coherent initial states allow for unique measurements
  - lifetime differences, hadronic and CP-violating observables





# Mixing: short-distance estimates

★ SD calculation: expand the operator product in  $1/m_c$ , e.g.



E. Golowich and A.A.P.  
Phys. Lett. B625 (2005) 53

★ Note that  $1/m_c$  is not small, while factors of  $m_s$  make the result small

- keep  $V_{ub} \neq 0$ , so the leading SU(3)-breaking contribution is suppressed by  $\lambda_b^2 \sim \lambda^{10}$
- ... but it is tiny, so look for SU(3)-breaking effects that come from mass insertions and quark condensates

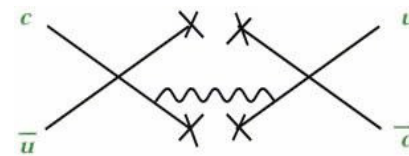
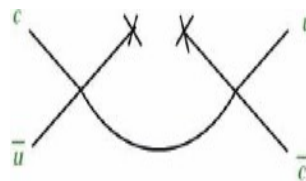
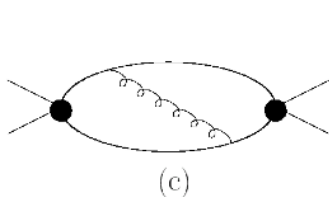
H. Georgi, ...  
I. Bigi, N. Uraltsev

$$\Gamma_{12} = -\lambda_s^2 (\Gamma_{12}^{ss} - 2\Gamma_{12}^{sd} + \Gamma_{12}^{dd}) + 2\lambda_s \lambda_b (\Gamma_{12}^{sd} - \Gamma_{12}^{dd}) - \lambda_b^2 \Gamma_{12}^{dd}$$

M. Bobrowski et al  
JHEP 1003 (2010) 009

LO:	$O(m_s^4)$	$O(m_s^2)$	$O(1)$
NLO:	$O(m_s^3)$	$O(m_s^1)$	$O(1)$

- ... main contribution comes from dim-12 operators!!!



Guestimate:  $x \sim y \sim 10^{-3}?$



# Correlate rare decays with D-mixing?

★ Let's write the most general  $\Delta C=2$  Hamiltonian

$$\mathcal{H}_{NP}^{\Delta C=2} = \frac{1}{\Lambda_{NP}^2} \sum_{i=1}^8 C_i(\mu) Q_i$$

... with the following set of 8 independent operators...

$$\begin{aligned} Q_1 &= (\bar{u}_L \gamma_\mu c_L) (\bar{u}_L \gamma^\mu c_L), & Q_5 &= (\bar{u}_R \sigma_{\mu\nu} c_L) (\bar{u}_R \sigma^{\mu\nu} c_L), \\ Q_2 &= (\bar{u}_L \gamma_\mu c_L) (\bar{u}_R \gamma^\mu c_R), & Q_6 &= (\bar{u}_R \gamma_\mu c_R) (\bar{u}_R \gamma^\mu c_R), \\ Q_3 &= (\bar{u}_L c_R) (\bar{u}_R c_L), & Q_7 &= (\bar{u}_L c_R) (\bar{u}_L c_R), \\ Q_4 &= (\bar{u}_R c_L) (\bar{u}_R c_L), & Q_8 &= (\bar{u}_L \sigma_{\mu\nu} c_R) (\bar{u}_L \sigma^{\mu\nu} c_R). \end{aligned}$$

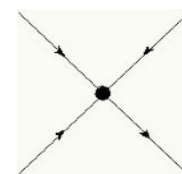
RG-running relate  $C_i(\mu)$  at NP scale to the scale of  $m \sim 1 \text{ GeV}$ , where ME are computed (on the lattice)

$$\frac{d}{d \log \mu} \vec{C}(\mu) = \hat{\gamma}^T(\mu) \vec{C}(\mu)$$

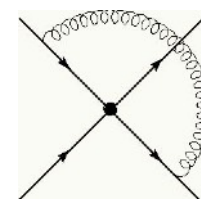
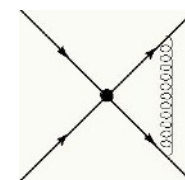
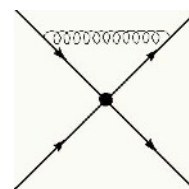
★ Comparing to experimental value of  $x$ , obtain constraints on NP models

- assume  $x$  is dominated by the New Physics model
- assume no accidental strong cancellations b/w SM and NP

E.Golowich, J. Hewett, S. Pakvasa and A.A.P. (07)  
Gedalia, Grossman, Nir, Perez (09)



$\mu \leq 1 \text{ TeV}$



$\mu : 1 \text{ GeV}$

**Each model of New Physics provides unique matching condition for  $C_i(\Lambda_{NP})$**