



# BESIII上粲强子稀有衰变的寻找

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合肥, 04/09/2023

# Outline

- Introduction

- BESIII results

  - ✓ FCNC

    - $D^0 \rightarrow \gamma\gamma$
    - $D \rightarrow h(h')e^+e^-$
    - $D^0 \rightarrow \pi^0\nu\bar{\nu}$

  - ✓ L/BNV

    - $D \rightarrow K\pi e^+e^+$
    - $D^\pm \rightarrow n(\bar{n})e^\pm$
    - $D^+ \rightarrow \bar{\Lambda}(\bar{\Sigma}^0)e^+/\Lambda(\Sigma^0)e^+$
    - $D^0 \rightarrow \bar{p}e^+/pe^-$

  - ✓ Radiative decay

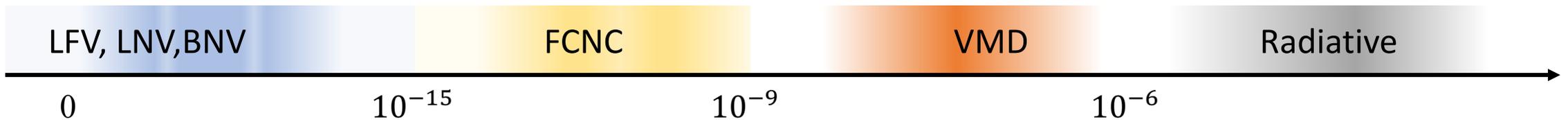
    - $\Lambda_c^+ \rightarrow \Sigma^+\gamma$

- Summary

# Introduction

- Why rare and forbidden decays?
  - ✓ SM is incomplete -> DM, neutrino masses , asymmetry between matter and antimatter ...
  - ✓ Rare decays are amongst the most powerful **indirect probes** as they are very sensitive to the presence of new particles in the virtual states.
- Rare and forbidden decays in charm sector: can be categorized as
  - ✓ flavor-changing neutral currents (FCNC)
  - ✓ Radiative decay
  - ✓ lepton-flavor-violating (LFV), lepton-number-violating (LNV), baryon- and lepton-number-violating (BNV)

## SM prediction



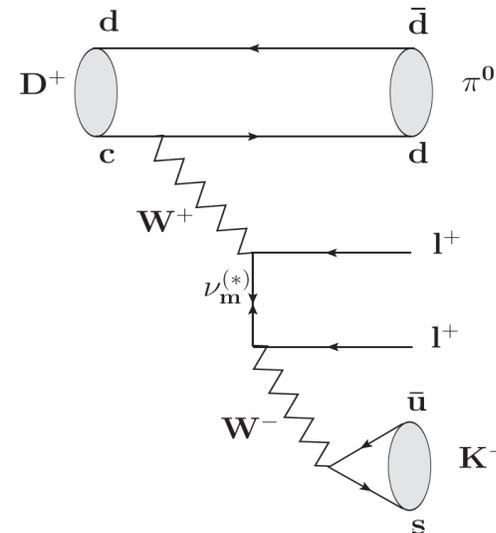
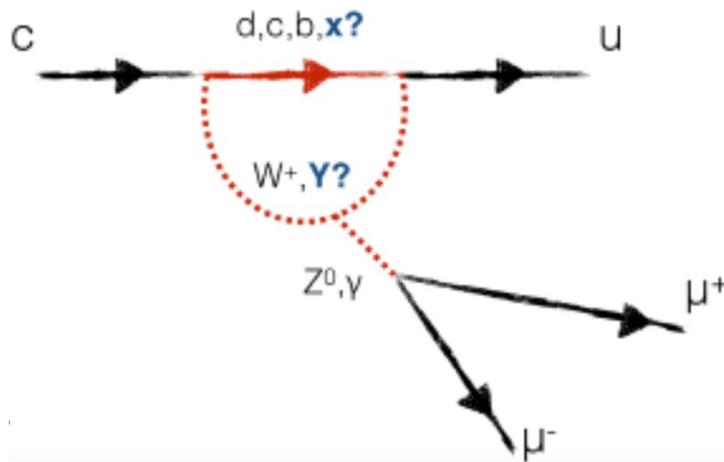
# Introduction

- Why charm ?

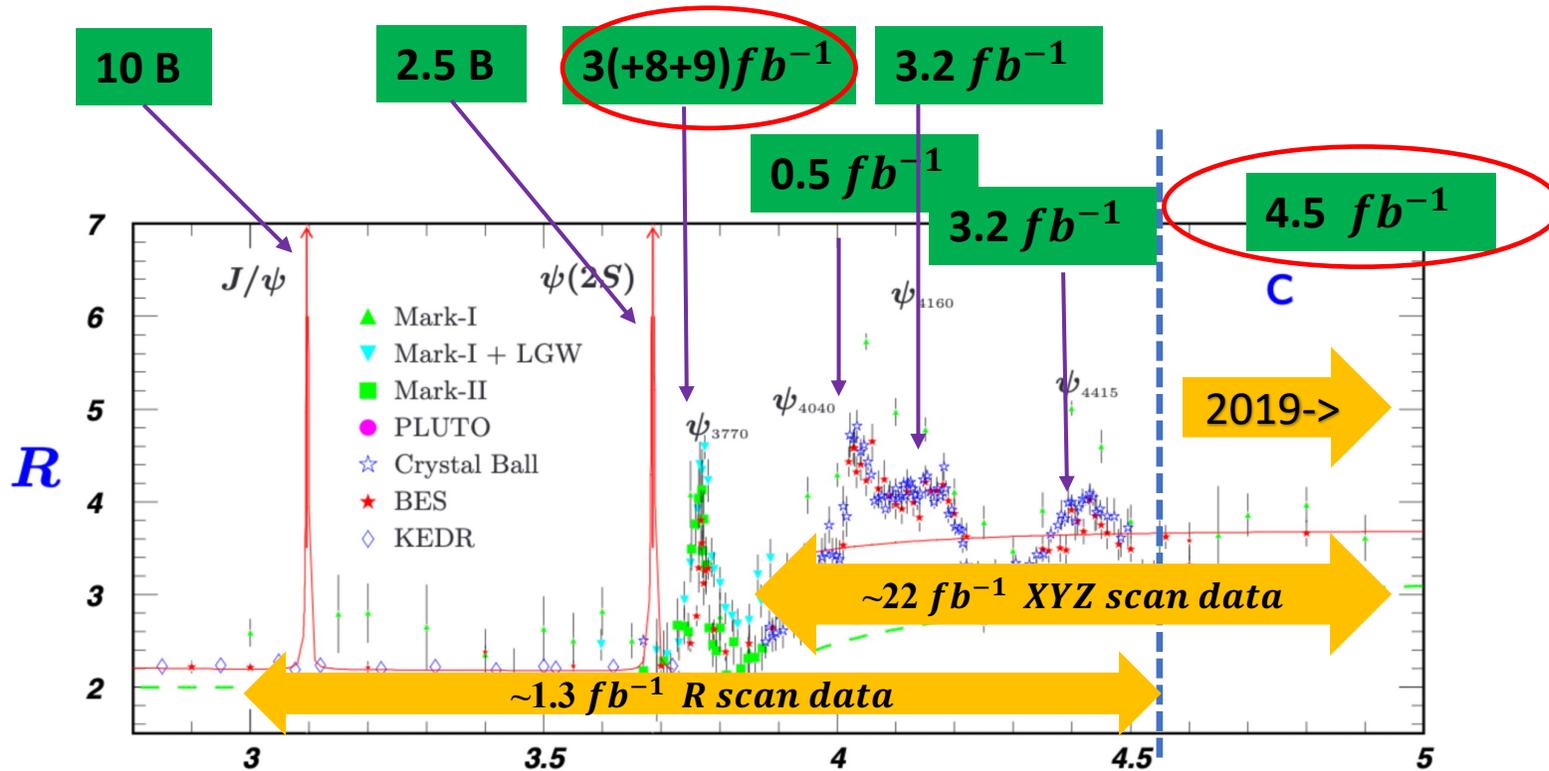
- ✓ GIM mechanism is very strong in charm decay
- ✓ Unique to test QCD in low energy
- ✓ Charm hadron is the only system made of up-type heavy quark: complementary to the B and K sectors in NP searching

- New physics effect

- ✓ Indirect: New particles (virtual, high mass) enter loops enhance BF
- ✓ Direct: New particles (real) can enhance BF significantly



# Data samples



- $2.93 fb^{-1} \psi(3770)$

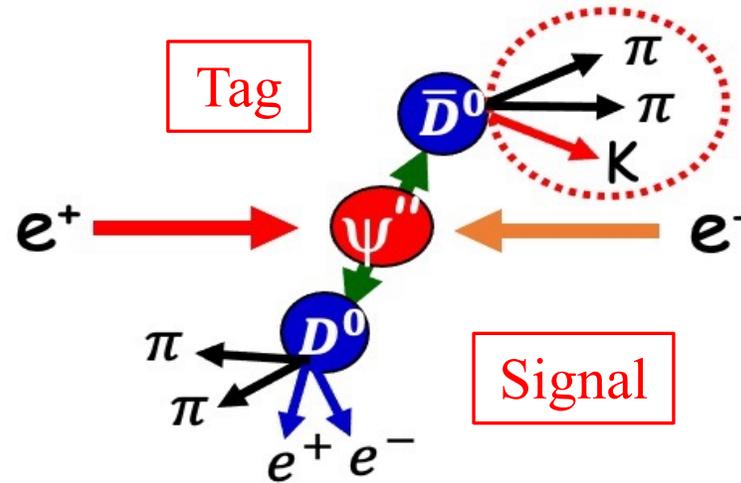
$$N_{D^0\bar{D}^0} = (10,597 \pm 28 \pm 98) \times 10^3,$$

$$N_{D^+D^-} = (8,296 \pm 31 \pm 65) \times 10^3,$$

- $4.5 fb^{-1} E_{c.m.} = 4.6 - 4.7 \text{ GeV}$

$$N_{\Lambda_c \bar{\Lambda}_c} \sim 0.9 \text{ M}$$

# Analysis strategy



- **Double tag:** reconstruct both of D mesons
  - ✓ Low background level, absolute branching fraction

$$\mathcal{B}_{\text{sig}} = N_{\text{DT}} / (N_{\text{ST}}^{\text{tot}} \cdot \epsilon_{\text{sig}}),$$

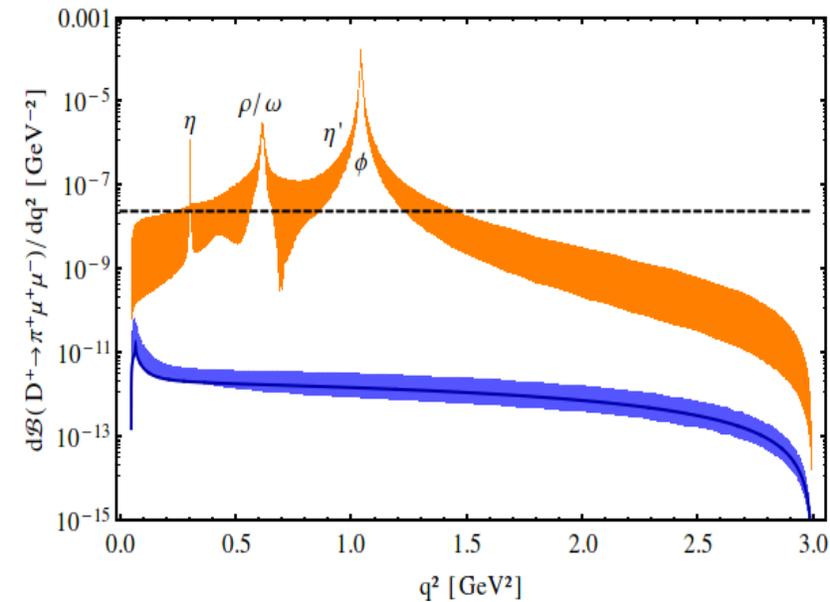
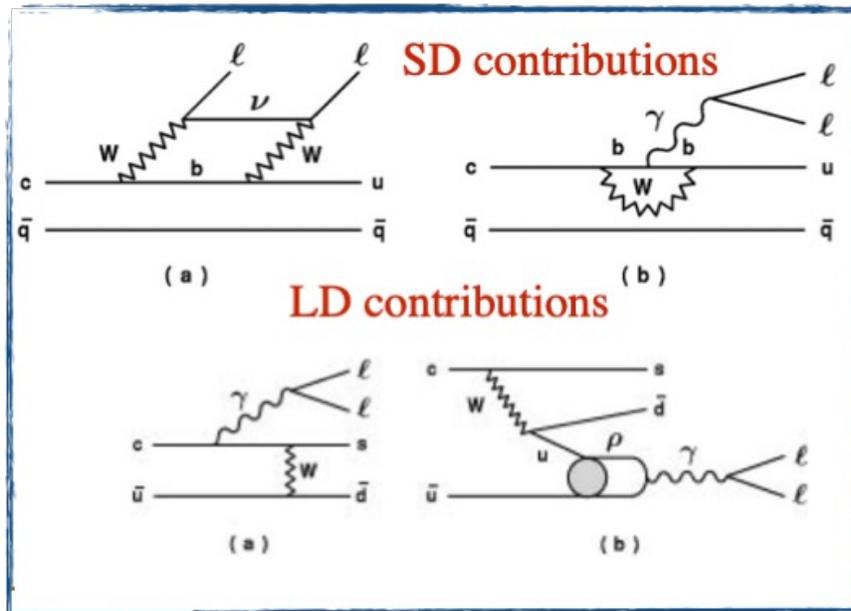
- **Single tag:** reconstruct one of D mesons
  - ✓ High efficiency, high signal yield, relative higher background level

$$\mathcal{B}_{\text{sig}} = \frac{N_{\text{sig}}}{2 \cdot N_{\text{D}\bar{\text{D}}}^{\text{tot}} \cdot \epsilon \cdot \mathcal{B}}$$

# FCNC

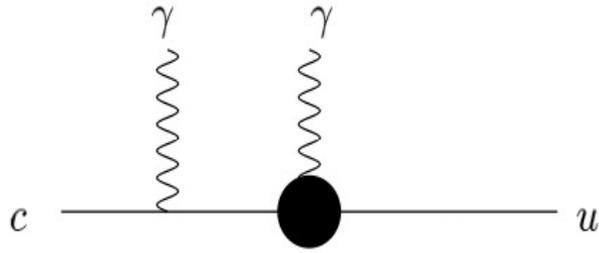
# FCNC processes

- In SM, FCNC is strongly suppressed by GIM mechanism and can happen only through loop diagram, leading to a very small BF,  $10^{-9}$ , theoretically
- However, it can reach  $10^{-6}$  under LD contribution
- The study of FCNC transitions is a key tool to [look for physics beyond the standard model \(BSM\)](#).

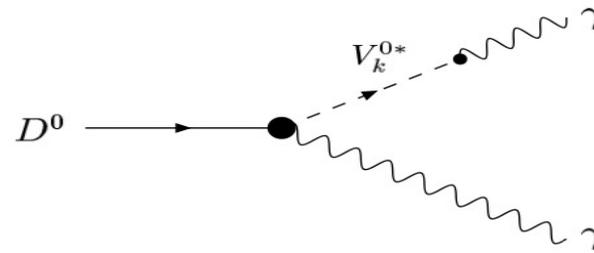


# I: $D^0 \rightarrow \gamma\gamma$

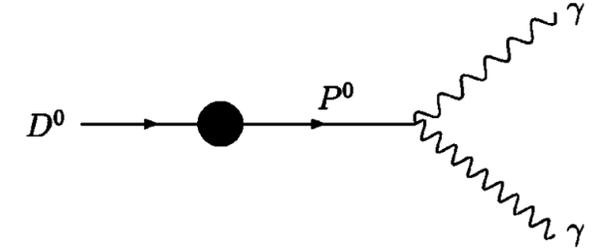
[PRD 91, 112015\(2015\)](#)



Short distance



Long distance



- The branching fractions  $B_{SD} \sim 3 \times 10^{-11}$  /  $B_{SD+LD} (1 - 3) \times 10^{-8}$ ;
- Some extensions to the SM can enhance FCNC processes by many orders of magnitude. For example, MSSM, gluino exchange can increase the branching fraction;

# $D^0 \rightarrow \gamma\gamma$

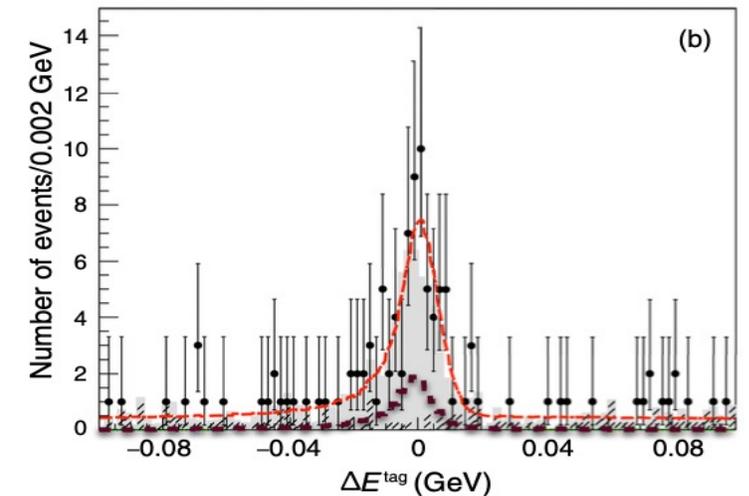
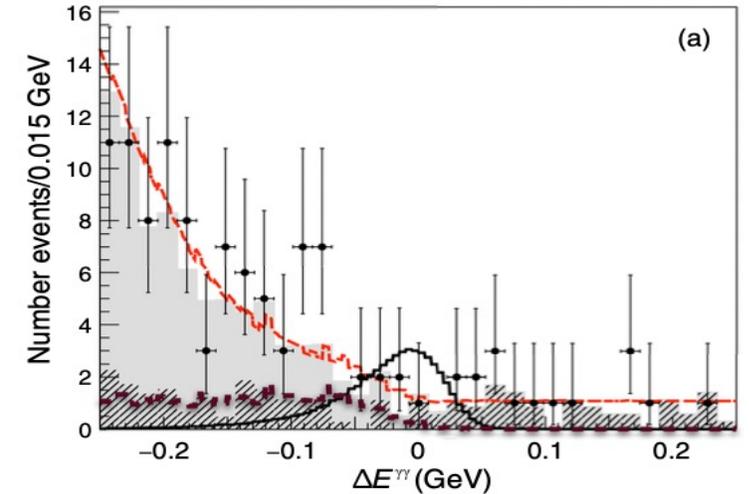
- Double-Tag method is implied
- Single tag (ST) candidate events are selected approximately 2.8 million.
- An unbinned maximum likelihood fit to the two-dimensional distribution of  $\Delta E^{\gamma\gamma}$  versus  $\Delta E^{tag}$

$$\Delta E \equiv \sum_i E_i - E_{\text{beam}},$$

- An 90% CL upper limit is set to be

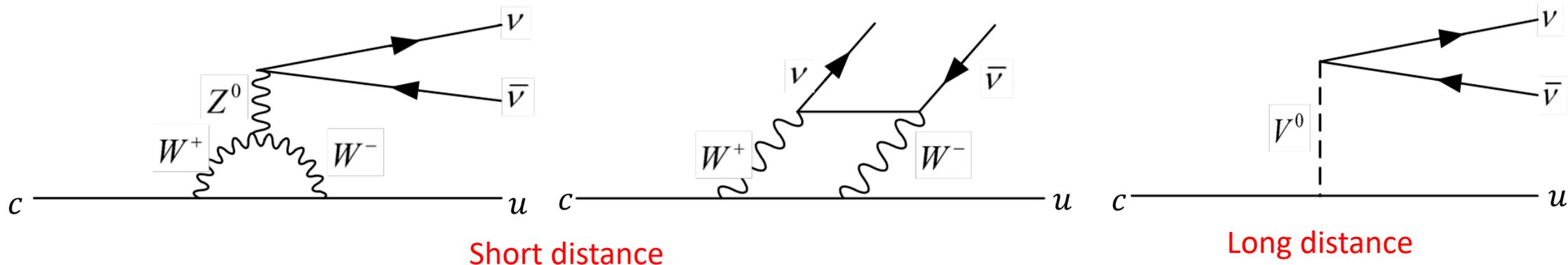
$$\mathcal{B}(D^0 \rightarrow \gamma\gamma) < 3.8 \times 10^{-6}$$

The UL is consistent with BaBar result and with the SM prediction.



## II: $D^0 \rightarrow \pi^0 \nu \bar{\nu}$

[PRD 105, L071102\(2022\)](#)



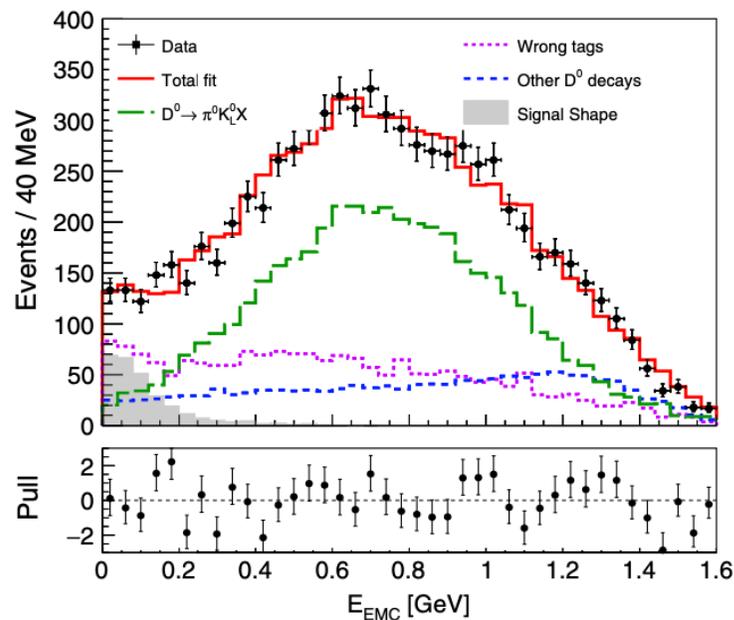
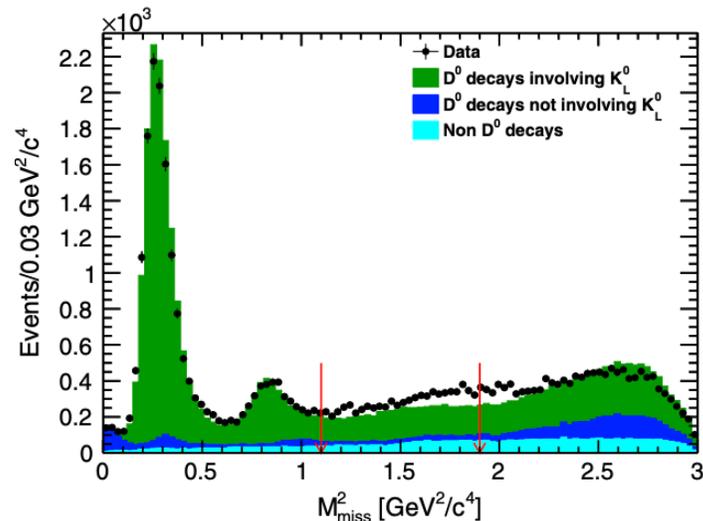
- For FCNC decays  $c \rightarrow u \nu \bar{\nu}$ , LD contributions become insignificant and the SD contributions dominate, resulting in the branching fraction **at the level of  $10^{-15}$**  in SM. Thus,  $c \rightarrow u \nu \bar{\nu}$  **provide a unique and clean probe** to study the CP violation in the charm sector and search for NP
- In the scenario of leptoquarks, the branching fraction can be enhanced up to  $9.7 \times 10^{-4}$  with the sterile neutrinos



- Double-Tag method is implied
- Discriminating variable :  $E_{EMC}$  all the showers excluding  $\pi^0$ , signified a peak  $\sim 0$ .
- $N_{sig} = 14 \pm 30$ , which consistent with 0. An 90% CL upper limit is estimated:

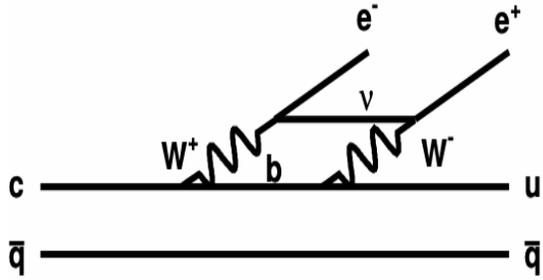
$$B(D^0 \rightarrow \pi^0 \nu \bar{\nu}) < 2.1 \times 10^{-4}$$

**the world-first experimental** in charm sector, providing constrains on the fermionic coupling strength of leptoquarks to the sterile neutrino

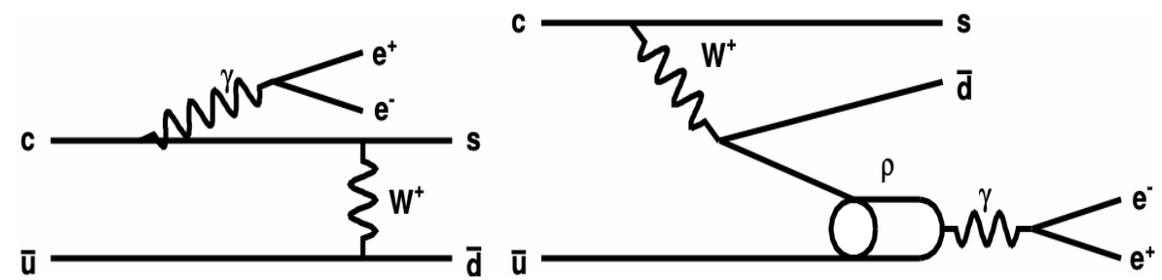
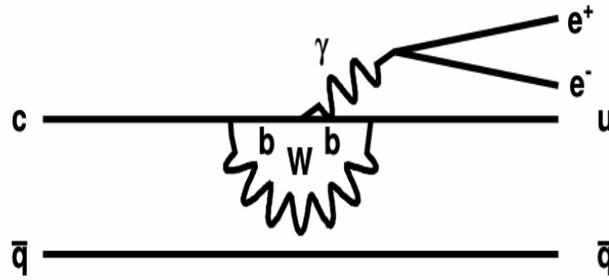


# III: $D \rightarrow h(h')e^+e^-$

PRD 97, 072015 (2018)



Short distance

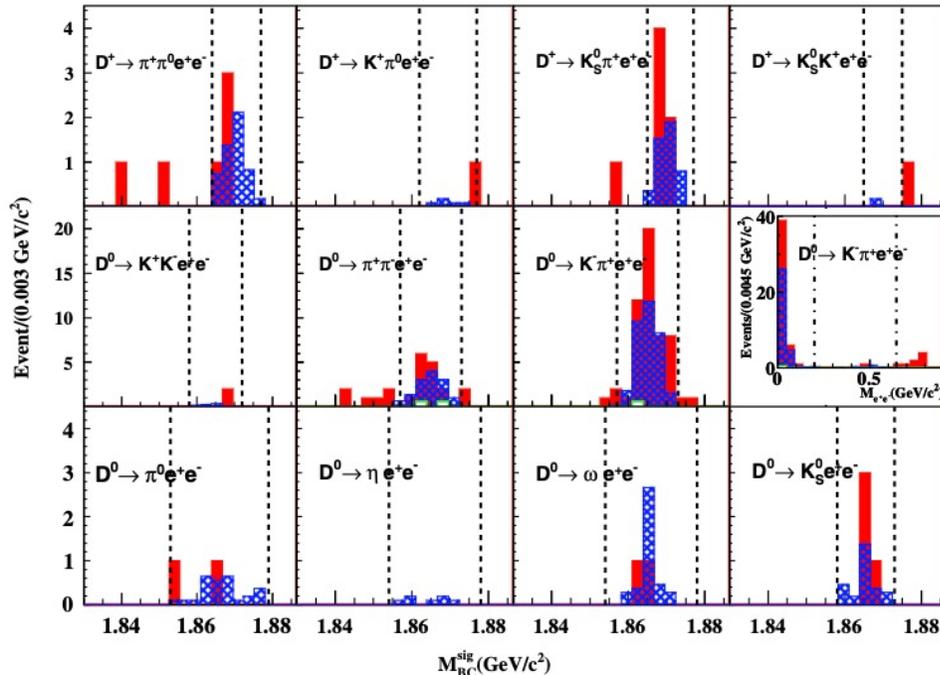


Long distance

- In SM, the FCNC process  $c \rightarrow ul^+l^-$  with a BF at the level of  $10^{-9}$ , NP can enhance the BF
- The BF with LD effect through vector meson decays at the level of  $10^{-6}$
- Experimentally,  $D^+ \rightarrow \pi^+\mu^+\mu^- (e^+e^-)$ ,  $D^0 \rightarrow K^-K^+\mu^+\mu^- / K^-\pi^+\mu^+\mu^- / \pi^-\pi^+\mu^+\mu^-$  have been observed with significant LD contribution
- 11 decays are searched in this work  $D^0 \rightarrow K^-K^+e^+e^- / \pi^-\pi^+e^+e^- / K^-\pi^+e^+e^- / \pi^0e^+e^- / \eta e^+e^- / \omega e^+e^- / K_S^0 e^+e^- / D^+ \rightarrow \pi^+\pi^0 e^+e^- / K^+\pi^0 e^+e^- / K_S^0 \pi^+ e^+e^- / K_S^0 K^+ e^+e^-$   
the four-body  $D^+$  decays are performed for the first time

# $D \rightarrow h(h')e^+e^-$

- $M(e^+e^-)$  out of  $\phi$  mass window to exclude contribution from  $D \rightarrow h(h')\phi, \phi \rightarrow e^+e^-$ ;
- The 90% CL upper limits are at the level of  $10^{-5} - 10^{-6}$ . For the  $D^0$  decays, the ULs are improved in general by a factor of 10, compared to previous measurements.
- All the measured ULs on the **BFs are above the SM predictions**, which include both LD and SD contributions.

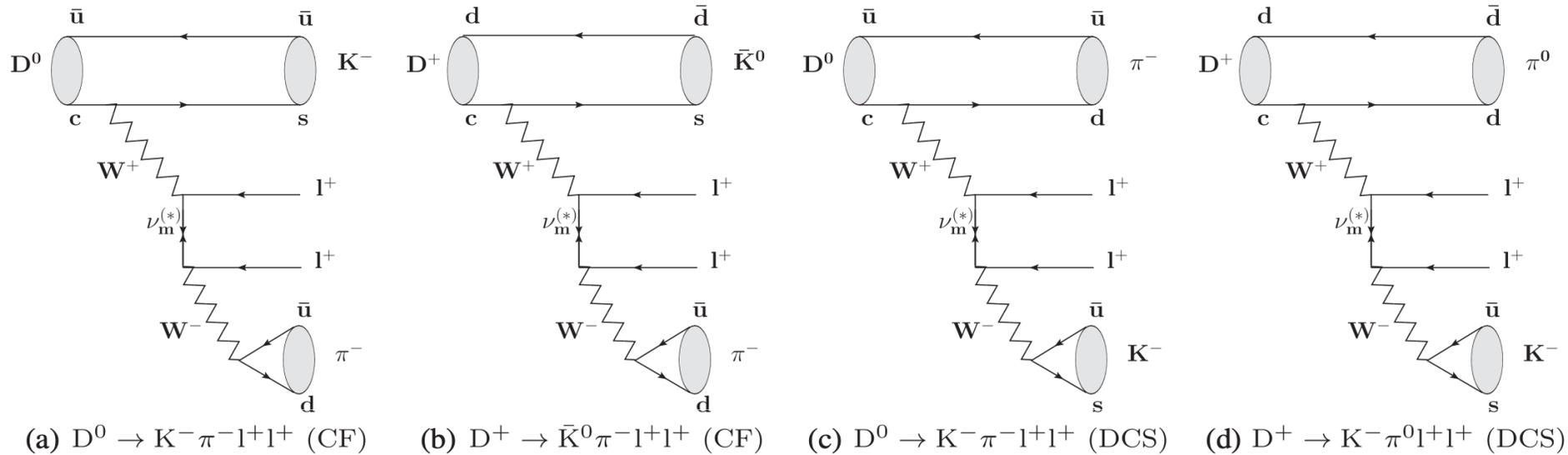


| Signal decays                         | $\mathcal{B} (\times 10^{-5})$ | PDG [9] ( $\times 10^{-5}$ ) |
|---------------------------------------|--------------------------------|------------------------------|
| $D^+ \rightarrow \pi^+ \pi^0 e^+ e^-$ | <1.4                           | ...                          |
| $D^+ \rightarrow K^+ \pi^0 e^+ e^-$   | <1.5                           | ...                          |
| $D^+ \rightarrow K_S^0 \pi^+ e^+ e^-$ | <2.6                           | ...                          |
| $D^+ \rightarrow K_S^0 K^+ e^+ e^-$   | <1.1                           | ...                          |
| $D^0 \rightarrow K^- K^+ e^+ e^-$     | <1.1                           | <31.5                        |
| $D^0 \rightarrow \pi^+ \pi^- e^+ e^-$ | <0.7                           | <37.3                        |
| $D^0 \rightarrow K^- \pi^+ e^+ e^-$   | <4.1                           | <38.5                        |
| $D^0 \rightarrow \pi^0 e^+ e^-$       | <0.4                           | <4.5                         |
| $D^0 \rightarrow \eta e^+ e^-$        | <0.3                           | <11                          |
| $D^0 \rightarrow \omega e^+ e^-$      | <0.6                           | <18                          |
| $D^0 \rightarrow K_S^0 e^+ e^-$       | <1.2                           | <11                          |
| † in $M_{e^+e^-}$ regions:            |                                |                              |
| [0.00, 0.20) $\text{GeV}/c^2$         | <3.0 ( $1.5^{+1.0}_{-0.9}$ )   | ...                          |
| [0.20, 0.65) $\text{GeV}/c^2$         | <0.7                           | ...                          |
| [0.65, 0.90) $\text{GeV}/c^2$         | <1.9 ( $1.0^{+0.5}_{-0.4}$ )   | ...                          |

# LVN/BNV

# I: $D \rightarrow K\pi e^+ e^+$

[PRD 99, 112002 \(2018\)](#)



- Neutrino oscillation -> **neutrinos have mass** ->NP  
 In “seesaw” mechanism, a new massive neutrino can provide a tiny mass of SM neutrinos
- **Nature of neutrinos:** Dirac or Majorana particles. The effects of Majorana neutrino can be manifested through violating lepton-number conservation by two units ( $\Delta L = 2$ )
- The LNV processes with  $\Delta L = 2$ :  $D^0 \rightarrow K^- \pi^- e^+ e^+$ ,  $D^+ \rightarrow K_s^0 \pi^- e^+ e^+$ ,  $D^+ \rightarrow K^- \pi^0 e^+ e^+$  are searched. These processes can occur by mediation of a Majorana neutrino.

# $D \rightarrow K\pi e^+ e^+$

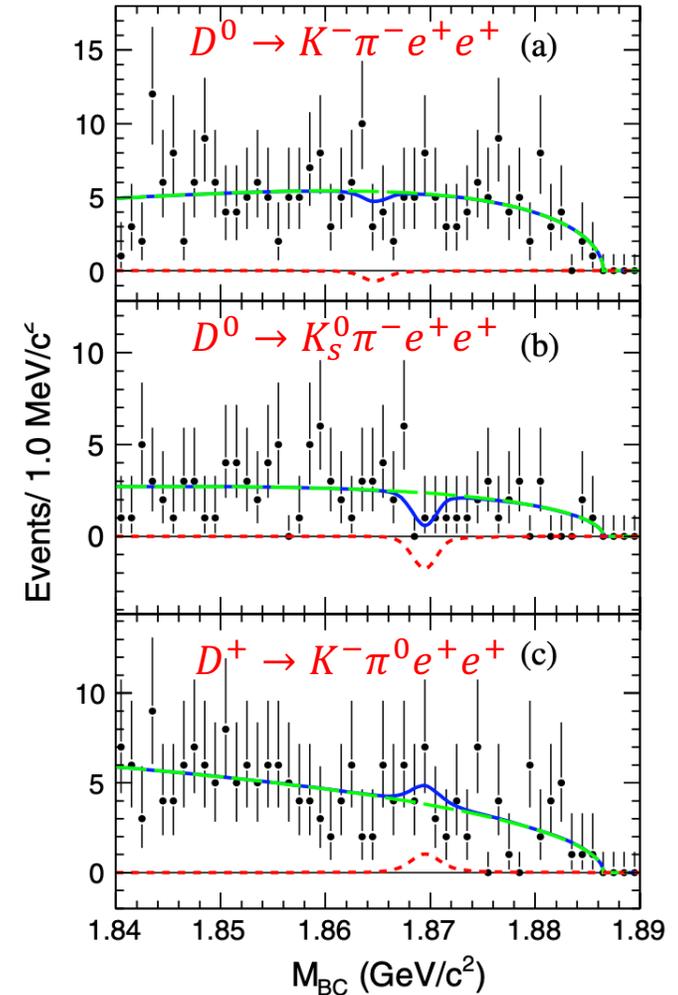
PHYS. REV. D 99, 112002 (2018)

- A Single-Tag method is implied;
- The signals are determined by performing an unbinned maximum likelihood fit on the  $M_{BC}$  distribution.

$$M_{BC} = \sqrt{E_{\text{beam}}^2 - |\vec{p}_D|^2}$$

- No obvious signal is observed, 90% CL upper limits

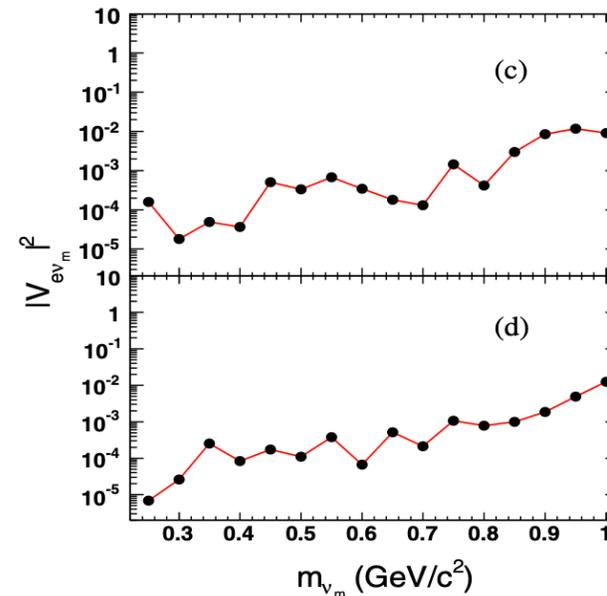
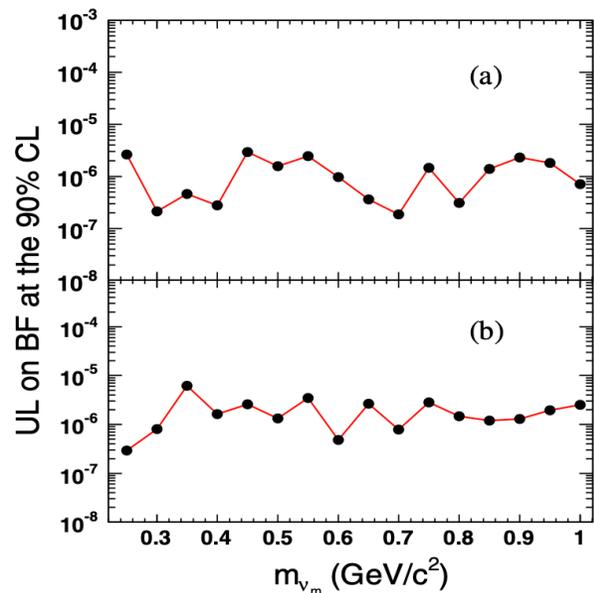
| Channel                               | $\epsilon(\%)$ | $N_{\text{sig}}^{\text{UL}}$ | $\mathcal{B}_{\text{sig}}^{\text{UL}} (\times 10^{-6})$ |
|---------------------------------------|----------------|------------------------------|---|
| $D^0 \rightarrow K^- \pi^- e^+ e^+$   | 16.8           | 10.0                         | <2.8  |
| $D^+ \rightarrow K_S^0 \pi^- e^+ e^+$ | 11.5           | 4.4                          | <3.3  |
| $D^+ \rightarrow K^- \pi^0 e^+ e^+$   | 10.6           | 14.8                         | <8.5  |



# $D \rightarrow K\pi e^+ e^+$

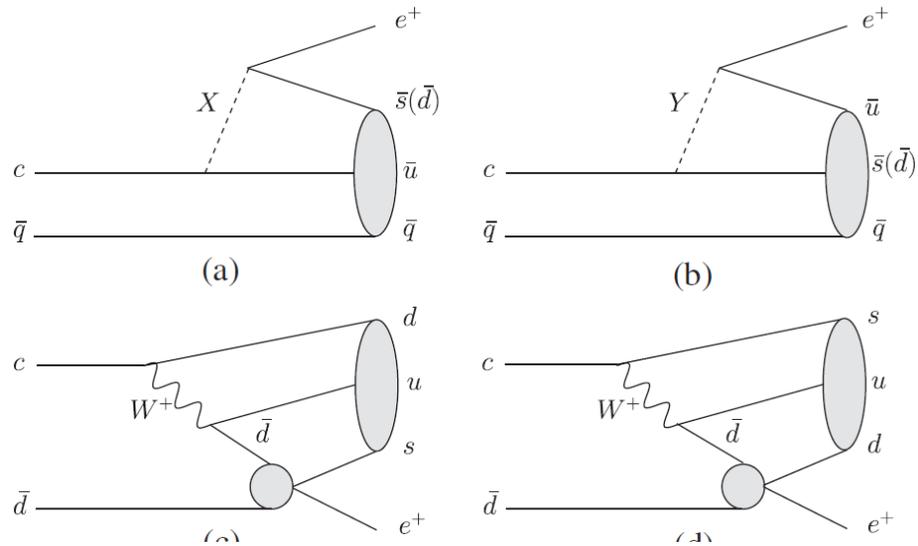
[PHYS. REV. D 99, 112002 \(2018\)](#)

- **Search for Majorana neutrino:** the Majorana neutrino are searched for in the CF processes
  - ✓  $D^0 \rightarrow K^- e^+ \nu_m, \nu_m \rightarrow \pi^- e^+$
  - ✓  $D^+ \rightarrow K_S^0 e^+ \nu_m, \nu_m \rightarrow \pi^- e^+$
- ✓ The **results on BF and mixing matrix element** provide the supplementary information in the study of mixing between the heavy Majorana neutrino and the standard model neutrino in D meson decays



# Search for baryon- and lepton-number violating decays

- The **matter-antimatter asymmetry** in the Universe suggests the existence of BNV.
- Various SM extensions, BNV processes can happen with  $\Delta(L - B) = 0$ ,  $\Delta(L - B) = 2$  mediated by heavy gauge bosons X or Y, called “leptoquarks”, or scalar field  $\phi$
- Four decays of  $D^\pm \rightarrow \Lambda(\bar{\Lambda})e^\pm$ ,  $D^\pm \rightarrow \Sigma(\bar{\Sigma}^0)e^\pm$ ,  $D^\pm \rightarrow p(\bar{p})e^\pm$  and  $D^\pm \rightarrow n(\bar{n})e^\pm$  are performed at BESIII



dimension-six operators -  $\Delta(L - B) = 0$

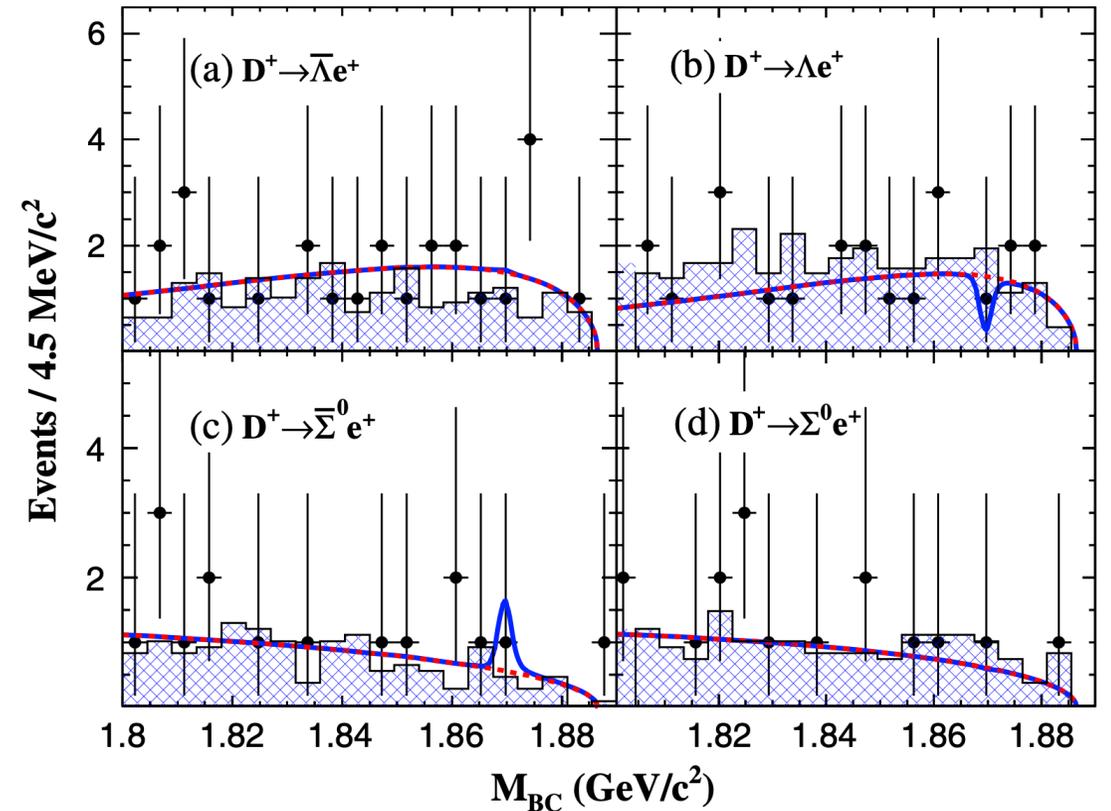
dimension-seven operators -  $\Delta(L - B) = 2$

$$|:D^\pm \rightarrow \Lambda(\bar{\Lambda})e^\pm, D^\pm \rightarrow \Sigma(\bar{\Sigma}^0)e^\pm$$

PRD 101, 031102 (2020)

- The  $D^+ \rightarrow \bar{\Lambda}e^+$ ,  $D^+ \rightarrow \bar{\Sigma}^0e^+$   $\Delta(B-L) = 0$   
 $D^+ \rightarrow \Lambda e^+$ ,  $D^+ \rightarrow \Sigma e^+$   $\Delta(B-L) = 2$   
 are searched **for the first time**;
- A Single-Tag method is implied;
- ULs are determined by likelihood scan

| Mode                 | $N_{\text{sig}}^{\text{UL}}$ | $\epsilon$ (%)   | $\mathcal{B}^{\text{UL}}$ |
|----------------------|------------------------------|------------------|---------------------------|
| $\Lambda e^+$        | 5.6                          | $31.11 \pm 0.14$ | $1.1 \times 10^{-6}$      |
| $\bar{\Lambda}e^+$   | 3.4                          | $31.18 \pm 0.10$ | $6.5 \times 10^{-7}$      |
| $\Sigma^0 e^+$       | 4.5                          | $16.31 \pm 0.07$ | $1.7 \times 10^{-6}$      |
| $\bar{\Sigma}^0 e^+$ | 3.5                          | $16.40 \pm 0.07$ | $1.3 \times 10^{-6}$      |



# II: $D^\pm \rightarrow n(\bar{n})e^\pm$

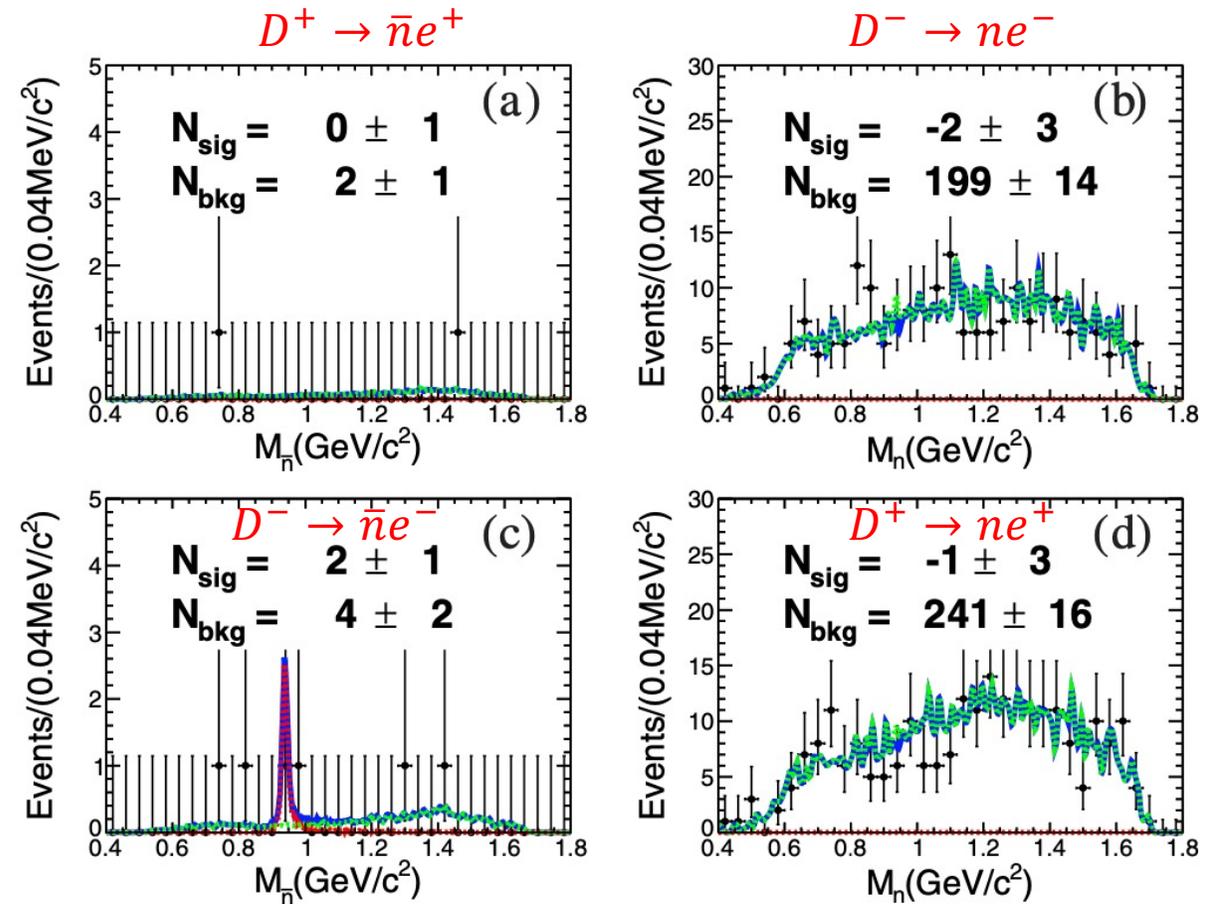
PRD 106, 112009 (2022)

- A Double-Tag method is implied
- Single tag (ST) candidate events  $\sim 1.5$  million
- ULs are determined by likelihood scan

$$\mathcal{B}_{D^+ \rightarrow \bar{n}e^+} < 1.43 \times 10^{-5}$$

$$\mathcal{B}_{D^+ \rightarrow ne^+} < 2.91 \times 10^{-5}$$

- Most stringent constraint to date



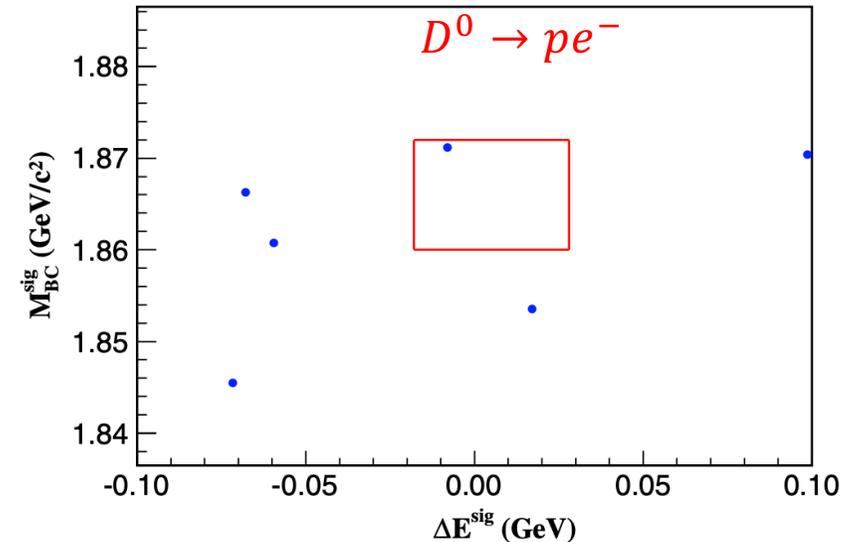
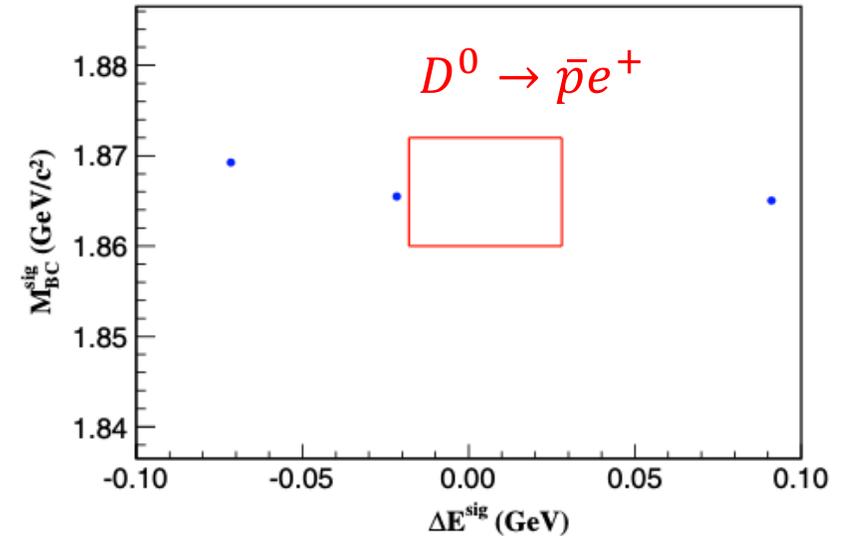
# III: $D^0 \rightarrow p(\bar{p})e^\mp$

[PRD 105, 032006 \(2022\)](#)

- A Double-Tag method is implied;
- Single tag (ST) candidate events  $\sim 2.3$  million
- The ULs are calculated by using a frequentist method with an unbounded profile likelihood (TROLKE).
- The ULs on the BF's:

$$\mathcal{B}_{D^0 \rightarrow pe^-} < 2.2 \times 10^{-6}$$

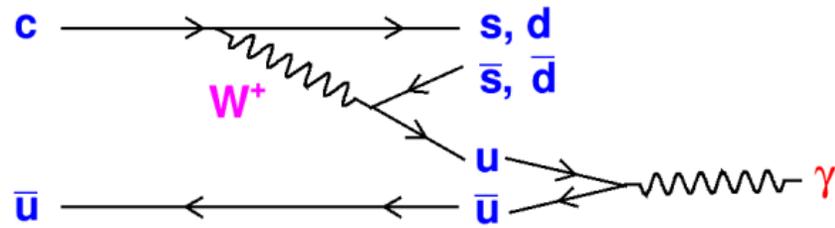
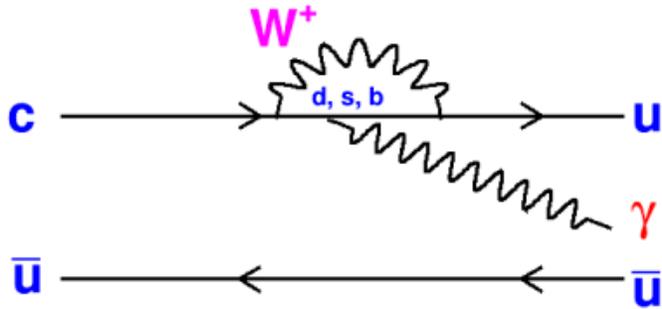
$$\mathcal{B}_{D^0 \rightarrow \bar{p}e^+} < 1.2 \times 10^{-6}$$



# Radiative decay

# Radiative decays

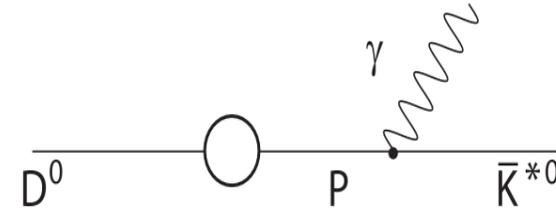
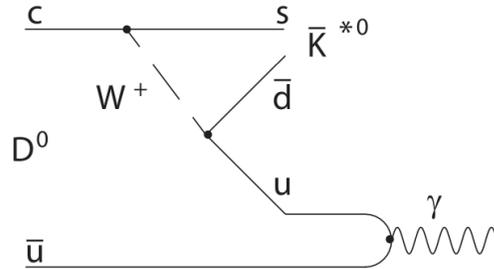
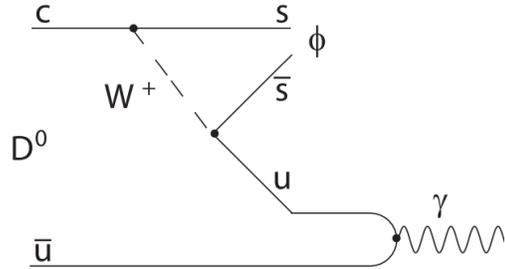
- Rare radiative decays are most likely dominated by the LD SM contributions  
SD ( $10^{-8}$ ) LD ( $10^{-4}$ )
- The rare radiative transitions could be sensitive to NP contributions:
  - ✓ the difference between different radiative transitions
  - ✓  $CP$ -violating asymmetries
  - ✓ photon polarization patterns



$$D^0 \rightarrow \gamma \rho^0 / \gamma \phi / \gamma \bar{K}^*$$

[PRL 118, 051801 \(2017\)/ PR D 78, 071101\(R\)](#)

- The radiative decays of  $D^0 \rightarrow \gamma \rho^0 / \gamma \phi / \gamma \bar{K}^*$  have been observed by Belle and BaBar



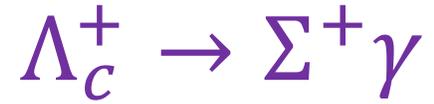
[JHEP08\(2017\)091](#)

#### ▼ Radiative modes

|                |                           |                                  |
|----------------|---------------------------|----------------------------------|
| $\Gamma_{268}$ | $\rho^0 \gamma$           | $(1.82 \pm 0.32) \times 10^{-5}$ |
| $\Gamma_{269}$ | $\omega \gamma$           | $< 2.4 \times 10^{-4}$           |
| $\Gamma_{270}$ | $\phi \gamma$             | $(2.81 \pm 0.19) \times 10^{-5}$ |
| $\Gamma_{271}$ | $\bar{K}^*(892)^0 \gamma$ | $(4.1 \pm 0.7) \times 10^{-4}$   |

| branching ratio          | $D^0 \rightarrow \phi \gamma$   | $D^0 \rightarrow \bar{K}^{*0} \gamma$ | $D^0 \rightarrow K^{*0} \gamma$ | $D^+ \rightarrow K^{*+} \gamma$ | $D_s \rightarrow \rho^+ \gamma$ |
|--------------------------|---------------------------------|---------------------------------------|---------------------------------|---------------------------------|---------------------------------|
| WA                       | $(0.0074 - 1.2) \cdot 10^{-5}$  | $(0.011 - 1.6) \cdot 10^{-4}$         | $(0.032 - 4.4) \cdot 10^{-7}$   | $(0.73 - 1.1) \cdot 10^{-5}$    | $(1.8 - 2.9) \cdot 10^{-3}$     |
| hybrid                   | $(0.24 - 2.8) \cdot 10^{-5}$    | $(0.26 - 4.6) \cdot 10^{-4}$          | $(0.076 - 1.3) \cdot 10^{-6}$   | $(0.48 - 7.6) \cdot 10^{-6}$    | $(0.11 - 1.3) \cdot 10^{-3}$    |
| [5, 6]                   | $(0.4 - 1.9) \cdot 10^{-5}$     | $(6 - 36) \cdot 10^{-5}$              | $(0.03 - 0.2) \cdot 10^{-5}$    | $(0.03 - 0.44) \cdot 10^{-5}$   | $(20 - 80) \cdot 10^{-5}$       |
| [8]                      | $(0.1 - 3.4) \cdot 10^{-5}$     | $(7 - 12) \cdot 10^{-5}$              | $0.1 \cdot 10^{-6}$             | $(0.1 - 0.3) \cdot 10^{-5}$     | $(6 - 38) \cdot 10^{-5}$        |
| [9] <sup>a</sup>         | —                               | $1.8 \cdot 10^{-4}$                   | —                               | —                               | $4.7 \cdot 10^{-5}$             |
| Belle [15] <sup>†</sup>  | $(2.76 \pm 0.21) \cdot 10^{-5}$ | $(4.66 \pm 0.30) \cdot 10^{-4}$       | —                               | —                               | —                               |
| BaBar [39] <sup>†b</sup> | $(2.81 \pm 0.41) \cdot 10^{-5}$ | $(3.31 \pm 0.34) \cdot 10^{-4}$       | —                               | —                               | —                               |

- LD contributions to radiative charm decays are expected to  $10^{-5}$ ;
- With  $20 \text{ fb}^{-1} \psi(3770)$  data, the radiative decays are expected to be observed;

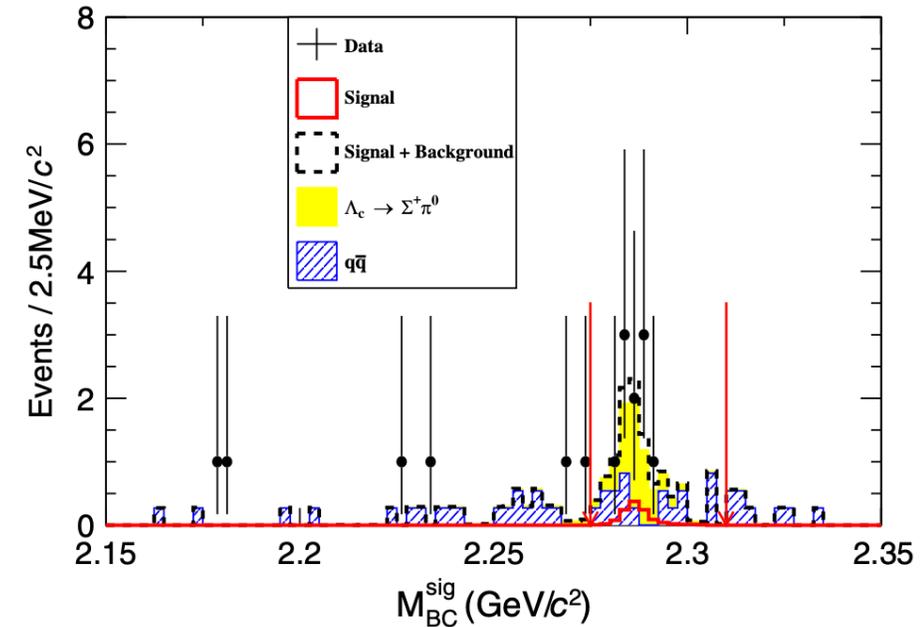
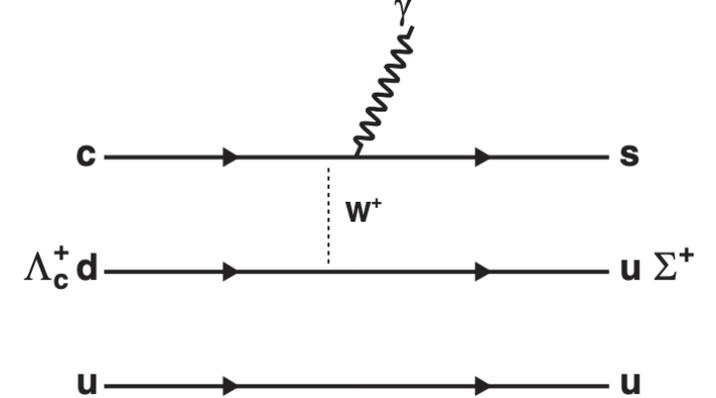


- A Double-Tag method is implied;
- Single tag (ST) candidate events  $\sim 105\text{K}$
- Since no significant signal is observed, the upper limit on the BF

$$\mathcal{B}(\Lambda_c^+ \rightarrow \Sigma^+ \gamma) < 4.4 \times 10^{-4}$$

- This result is consistent with the theoretical predictions from the bag model and appropriate QCD corrections, respectively, where the short-distance  $cd \rightarrow \gamma su$  mechanism is expected to be dominant.

PHYS. REV. D 107, 052002 (2023)

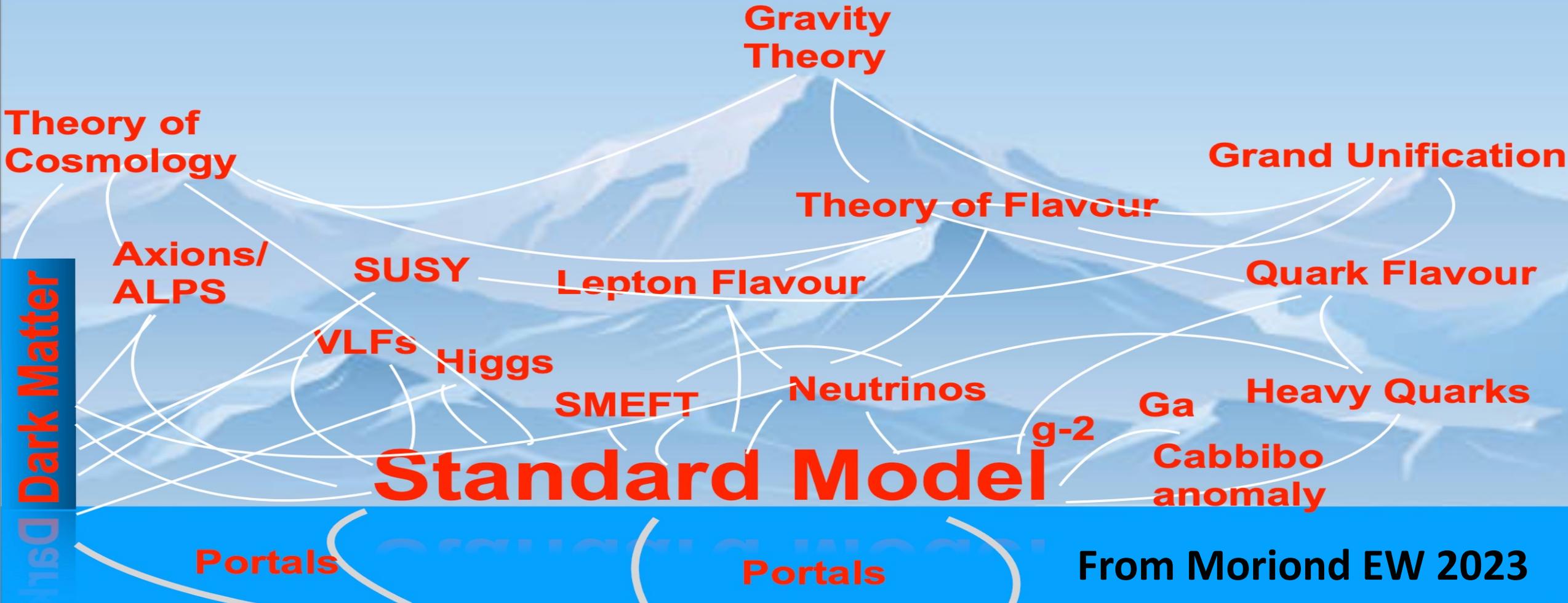


# Summary

- Rare charm decays are a powerful **indirect probes for NP**
  - ✓ **FCNC**  $D^0 \rightarrow \gamma\gamma, D \rightarrow h(h')e^+e^-, D^0 \rightarrow \pi^0\nu\bar{\nu}$
  - ✓ **L/BNV**  $D \rightarrow K\pi e^+e^+, D^\pm \rightarrow n(\bar{n})e^\pm, D^+ \rightarrow \bar{\Lambda}(\bar{\Sigma}^0)e^+/\Lambda(\Sigma^0)e^+, D^0 \rightarrow \bar{p}e^+/pe^-$
  - ✓ **Radiative decay.**  $\Lambda_c^+ \rightarrow \Sigma^+\gamma$
- Ongoing
  - ✓ **FCNC:**  $D_s^+ \rightarrow h(h')e^+e^-$  **L/BNV:**  $D_s^+ \rightarrow h(h')e^+e^+, D_s^+ \rightarrow \Lambda e^+$
  - ✓ **Radiative decay:**  $D_s^+ \rightarrow \gamma\rho^+(K^{*+}), D^0 \rightarrow \gamma\omega/\gamma\phi/\gamma\bar{K}^*$
- A more stringent **constraint, or discovery (LD)**, is expected with the larger dataset that BESIII expects to accumulate in the near future!

Thanks

# Summary of Summary



Whatever you work on, enjoy the ride!